More data types (lists, trees) Handling Exceptions Computer Arithmetic

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Outline

Reminders, Solutions to Exercises

Function calls
Termination
Axiomatizations, Ghost Code
Ghost Functions, Lemma Functions
Programs on Arrays

Modeling Continued: Specifying More Data Types
Sum Types
Lists

Exceptions

Application: Computer Arithmetic
Handling Machine Integers
Floating-Point Computations

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Function Calls

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

$$WP(f(t_1,...,t_n),Q) = Pre[x_i \leftarrow t_i] \land \forall \vec{v}, (Post[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j@Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow v_j])$$

Modular proof

When calling function f, only the contract of f is visible, not its body

Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

we have

- \blacktriangleright variables assigned in *Body* belong to \vec{w} ,
- ▶ $\models Pre \rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$ holds,

then for any formula Q and any expression e, if $\Sigma, \Pi \models WP(e, Q)$ then execution of Σ, Π, e is *safe*

Remark: (mutually) recursive functions are allowed

Home Work 1: McCarthy's 91 Function

```
f91(n) = \text{if } n \le 100 \text{ then } f91(f91(n+11)) \text{ else } n-10
```

Find adequate specifications

```
let f91(n:int): int
  requires ?
  variant ?
  writes ?
  ensures ?
body
  if n \le 100 then f91(f91(n + 11)) else n - 10
```

Use canvas file mccarthy.mlw

Termination

- ► Loop *variant*
- Variants for (mutually) recursive function(s)

Why3 Logic Language

- ► (First-order) logic, built-in arithmetic (integers and reals)
- ► Definitions à la ML
 - ▶ logic (i.e. pure) functions, predicates
 - structured types, pattern-matching (this lecture!)
- ► type polymorphism à la ML
- ▶ higher-order logic as a built-in theory of functions
- Axiomatizations
- Inductive predicates (not detailed here)

Important note

Logic functions and predicates are always totally defined

Ghost Code

Ghost code, ghost variables

- Cannot interfere with regular code (checked by typing)
- Visible only in annotations

Axiomatic Definitions

- logic functions, predicates without body
- axioms to specify their behavior
- axiomatic types
- Risk of inconsistency

Example: division

```
function div(real,real):real
axiom mul_div:
  forall x,y. y≠0 → div(x,y)*y = x
```

Error "Division by zero" can be modeled by an abstract function

```
val div_real(x:real,y:real):real
  requires y \neq 0.0
  ensures result = div(x,y)
```

Reminder

Execution blocks when an invalid annotation is met

Home Work 2

Extend the post-condition of Euclid's algorithm for GCD to express the Bézout property:

$$\exists a, b, result = x * a + y * b$$

 Prove the program by adding appropriate ghost local variables

Use canvas file exo_bezout.mlw

More Ghosts: Programs turned into Logic Functions

If the program f is

Proved terminating

► Has no side effects

let $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$ requires Prevariant var, \prec ensures Postbody Body

then there exists a logic function:

function
$$f \tau_1 \ldots \tau_n : \tau$$

lemma $f_{spec} : \forall x_1, \ldots, x_n. \ \textit{Pre} \rightarrow \textit{Post}[\texttt{result} \leftarrow \textit{f}(x_1, \ldots, x_n)]$

and if Body is a pure term then

lemma $f_{body}: \forall x_1, \dots, x_n. \ \textit{Pre} \rightarrow f(x_1, \dots, x_n) = \textit{Body}$

Offers an important alternative to axiomatic definitions

In Why3: done using keywords let function

Example: axiom-free specification of factorial

```
let function fact (n:int) : int
  requires { n ≥ 0 }
  variant { n }
= if n=0 then 1 else n * fact(n-1)
```

generates the logic context

More Ghosts: Lemma functions

▶ if a program function is without side effects and terminating:

```
let f(x_1:\tau_1,\ldots,x_n:\tau_n): unit requires Pre variant var, \prec ensures Post body Body then it is a proof of
```

$$\forall x_1, \dots, x_n. Pre \rightarrow Post$$

▶ If *f* is recursive, it simulates a proof by induction

Example of Factorial

Exercise: Find appropriate precondition, postcondition, loop invariant, and variant, for this program:

```
let fact_imp (x:int): int
  requires ?
  ensures ?
body
  let ref y = 0 in
  let ref res = 1 in
  while y < x do
    y <- y + 1;
    res <- res * y
  done;
  res</pre>
```

See file fact.mlw

Home work 3

Prove the helper lemmas stated for the fast exponentiation algorithm

See power_int_lemma_functions.mlw

Home Work 4

Prove Fermat's little theorem for case p = 3:

$$\forall x, \exists y. x^3 - x = 3y$$

using a lemma function

See little fermat 3.mlw

Exercise: Search Algorithms

```
var a: array real

let search(v:real): int
  requires 0 \le a.length
  ensures { ? }
= ?
```

- 1. Formalize postcondition: if *v* occurs in *a*, between 0 and *a.length* 1, then result is an index where *v* occurs, otherwise result is set to –1
- 2. Implement and prove linear search:

```
res \leftarrow -1; for each i from 0 to a.length - 1: if a[i] = v then res \leftarrow i; return res
```

See file lin_search.mlw

Programs on Arrays

- applicative maps as a built-in theory
- array = record (length, pure map)
- handling of out-of-bounds index check

- ► a[i] interpreted as a call to get(a,i)
- ▶ a[i] <- v interpreted as a call to set(a,i,v)</p>

Home Work: Binary Search

```
low = 0; high = a.length - 1; while low \le high:
let m be the middle of low and high
if a[m] = v then return m
if a[m] < v then continue search between m and high
if a[m] > v then continue search between low and m
```

See file bin_search.mlw

Home Work: "for" loops

Syntax: for $i = e_1$ to e_2 do e Typing:

- ▶ *i* visible only in *e*, and is immutable
- $ightharpoonup e_1$ and e_2 must be of type int, e must be of type unit

Operational semantics: (assuming e_1 and e_2 are values v_1 and v_2)

Home Work: "for" loops

Notice: loop invariant *I* typically has *i* as a free variable Informal vision of execution, stating when invariant is supposed to hold and for which value of *i*:

Home Work: "for" loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\mathsf{for}\,i=v_1\;\mathsf{to}\;v_2\;\mathsf{do}\;e\{?\}}$$

Propose a rule for computing the WP:

WP(for
$$i = v_1$$
 to v_2 invariant I do e , Q) =?

Home Work: "for" loops

So we deduce the Hoare logic rule

$$\frac{\{I \land v_1 \le i \le v_2\}e\{I[i \leftarrow i+1]\}}{\{I[i \leftarrow v_1] \land v_1 \le v_2\} \text{for } i = v_1 \text{ to } v_2 \text{ do } e\{I[i \leftarrow v_2+1]\}}$$

Remark

Some rule should be stated for case $v_1 > v_2$, left as exercise

and then a rule for computing the WP:

$$\begin{split} &\operatorname{WP}(\text{for } i = v_1 \text{ to } v_2 \text{ invariant } I \text{ do } e, Q) = \\ &v_1 \leq v_2 \wedge I[i \leftarrow v_1] \wedge \\ &\forall \vec{v}, (\\ &(\forall i, I \wedge v_1 \leq i \leq v_2 \rightarrow \operatorname{WP}(e, I[i \leftarrow i+1])) \wedge \\ &(I[i \leftarrow v_2+1] \rightarrow Q))[w_i \leftarrow v_j] \end{split}$$

Additional exercise: use a for loop in the linear search example lin_search_for.mlw

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Recursive Sum Types

- Sum types can be recursive.
- ► Recursive definitions of functions or predicates
 - ► Must terminate (only total functions in the logic)
 - ► In practice in Why3: recursive calls only allowed on structurally smaller arguments.

Sum Types

► Sum types à la ML:

```
type t =  | C_1 \tau_{1,1} \cdots \tau_{1,n_1} 
 | \vdots 
 | C_k \tau_{k,1} \cdots \tau_{k,n_k}
```

► Pattern-matching with

```
\begin{array}{l} \text{match } e \text{ with} \\ \mid C_1(p_1,\cdots,p_{n_1}) \rightarrow e_1 \\ \mid \vdots \\ \mid C_k(p_1,\cdots,p_{n_k}) \rightarrow e_k \\ \text{end} \end{array}
```

Extended pattern-matching, wildcard: _

Sum Types: Example of Lists

```
type list \alpha = Nil | Cons \alpha (list \alpha)

function append(l1:list \alpha,l2:list \alpha): list \alpha =
    match l1 with
    | Nil \rightarrow l2
    | Cons(x,l) \rightarrow Cons(x, append(l,l2))
    end

function length(l:list \alpha): int =
    match l with
    | Nil \rightarrow 0
    | Cons(_,r) \rightarrow 1 + length r
    end

function rev(l:list \alpha): list \alpha =
    match l with
    | Nil \rightarrow Nil
    | Cons(x,r) \rightarrow append(rev(r), Cons(x,Nil))
    end
```

"In-place" List Reversal

Exercise: fill the holes below.

```
val ref l: list int

let rev_append(r:list int)
   variant ? writes ? ensures ?

body
   match r with
   | Nil \rightarrow ()
   | Cons(x,r) \rightarrow l <- Cons(x,l); rev_append(r)
   end

let reverse(r:list int)
   writes l ensures l = rev r
body ?</pre>
```

See rev.mlw

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Binary Trees

```
type tree \alpha = Leaf | Node (tree \alpha) \alpha (tree \alpha)
```

Home work: specify, implement, and prove a procedure returning the maximum of a tree of integers.

(problem 2 of the FoVeOOS verification competition in 2011, http://foveoos2011.cost-ic0701.org/verification-competition)

Exceptions

We extend the syntax of expressions with

```
e ::= raise exn
| try e with exn \rightarrow e
```

with exn a set of exception identifiers, declared as exception exn < type >

Remark: <type> can be omitted if it is unit

Example: linear search revisited in lin_search_exc.mlw

Operational Semantics

► Values: either constants *v* or raise *exn*

Propagation of thrown exceptions:

$$\Sigma$$
, Π , (let $X = \text{raise } exn \text{ in } e$) $\rightsquigarrow \Sigma$, Π , raise exn

Reduction of try-with:

$$\frac{\Sigma,\Pi,\boldsymbol{e}\leadsto\Sigma',\Pi',\boldsymbol{e}'}{\Sigma,\Pi,(\mathsf{try}\;\boldsymbol{e}\;\mathsf{with}\;\boldsymbol{exn}\to\boldsymbol{e}'')\leadsto\Sigma',\Pi',(\mathsf{try}\;\boldsymbol{e}'\;\mathsf{with}\;\boldsymbol{exn}\to\boldsymbol{e}'')}$$

Normal execution:

$$\Sigma, \Pi, (\text{try } v \text{ with } exn \rightarrow e') \rightsquigarrow \Sigma, \Pi, v$$

Exception handling:

$$\Sigma, \Pi, (\text{try raise } exn \text{ with } exn \rightarrow e) \rightsquigarrow \Sigma, \Pi, e$$

$$\frac{exn \neq exn'}{\Sigma, \Pi, (\text{try raise } exn \text{ with } exn' \rightarrow e) \rightsquigarrow \Sigma, \Pi, \text{ raise } exn}$$

WP Rules

Extension of WP for composite expressions:

$$\begin{aligned} & \text{WP}(\text{let } x = e_1 \text{ in } e_2, Q, exn_i \to R_i) = \\ & \text{WP}(e_1, \text{WP}(e_2, Q, exn_i \to R_i)[\text{result} \leftarrow x], exn_i \to R_i) \\ & \text{WP}(\text{if } t \text{ then } e_1 \text{ else } e_2, Q, exn_i \to R_i) = \\ & \text{if } t \text{ then WP}(e_1, Q, exn_i \to R_i) \\ & \text{else WP}(e_2, Q, exn_i \to R_i) \end{aligned}$$

$$& \text{WP}\left(\begin{array}{c} \text{while } c \text{ invariant } I \\ \text{do } e \end{array}, Q, exn_i \to R_i \right) = I \land \forall \vec{v}, \\ & (I \to \text{if } c \text{ then WP}(e, I, exn_i \to R_i) \text{ else } Q)[w_i \leftarrow v_i] \\ & \text{where } w_1, \dots, w_k \text{ is the set of assigned variables in } e \text{ and } v_1, \dots, v_k \text{ are fresh logic variables.} \end{aligned}$$

WP Rules

Function WP modified to allow exceptional post-conditions too:

$$WP(e, Q, exn_i \rightarrow R_i)$$

Implicitly, $R_k = False$ for any $exn_k \notin \{exn_i\}$.

Extension of WP for simple expressions:

$$WP(x \leftarrow t, Q, exn_i \rightarrow R_i) = Q[result \leftarrow (), x \leftarrow t]$$

WP(assert
$$R, Q, exn_i \rightarrow R_i) = R \wedge Q$$

WP Rules

Exercise: propose rules for

WP(raise
$$exn, Q, exn_i \rightarrow R_i$$
)

and

WP(try
$$e_1$$
 with $exn \rightarrow e_2$, Q , $exn_i \rightarrow R_i$)

WP(raise
$$exn_k, Q, exn_i \rightarrow R_i) = R_k$$

WP((try
$$e_1$$
 with $exn \rightarrow e_2$), Q , $exn_i \rightarrow R_i$) =

$$\operatorname{WP}\left(e_1,Q,\left\{egin{array}{l} \operatorname{\mathsf{exn}} o \operatorname{\mathsf{WP}}(e_2,Q,\operatorname{\mathsf{exn}}_i o R_i) \\ \operatorname{\mathsf{exn}}_i \backslash \operatorname{\mathsf{exn}} o R_i \end{array}
ight)$$

Functions Throwing Exceptions

Generalized contract:

```
\begin{array}{l} \operatorname{val} f(x_1:\tau_1,\ldots,x_n:\tau_n):\tau \\ \operatorname{requires} Pre \\ \operatorname{writes} \vec{w} \\ \operatorname{ensures} Post \\ \operatorname{raises} E_1 \to Post_1 \\ \vdots \\ \operatorname{raises} E_n \to Post_n \end{array}
```

Extended WP rule for function call:

```
WP(f(t_1,...,t_n), Q, E_k \to R_k) = Pre[x_i \leftarrow t_i] \land \forall \vec{v},
(Post[x_i \leftarrow t_i, w_j \leftarrow v_j] \to Q[w_j \leftarrow v_j]) \land
\land_k(Post_k[x_i \leftarrow t_i, w_j \leftarrow v_j] \to R_k[w_j \leftarrow v_j])
```

Example: "Defensive" variant of ISQRT

```
exception NotSquare

let isqrt(x:int): int
   ensures result ≥ 0 ∧ sqr(result) = x
   raises NotSquare → forall n:int. sqr(n) ≠ x

body
   if x < 0 then raise NotSquare;
   let ref res = 0 in
   let ref sum = 1 in
   while sum ≤ x do
     res <- res + 1; sum <- sum + 2 * res + 1
   done;
   if sqr(res) ≠ x then raise NotSquare;
   res</pre>
```

See Why3 version in isqrt_exc.mlw

Verification Conditions for programs

For each function defined with generalized contract

```
let f(x_1:\tau_1,\ldots,x_n:\tau_n):\tau requires Pre writes \vec{W} ensures Post raises E_1 \to Post_1 ...
: raises E_n \to Post_n body Body
```

we have to check

- ightharpoonup Variables assigned in *Body* belong to \vec{w}
- ▶ $Pre \rightarrow WP(Body, Post, E_k \rightarrow Post_k)[w_i@Old \leftarrow w_i]$ holds

Home Work

Implement and prove binary search using also a immediate exit:

```
low = 0; high = a.length - 1; while low \le high:

let m be the middle of low and high if a[m] = v then return m if a[m] < v then continue search between m and high if a[m] > v then continue search between low and m (see bin_search_exc.mlw)
```

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Some Numerical Failures

(see more at

http://catless.ncl.ac.uk/php/risks/search.php?query=rounding)

- ▶ 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.
- ▶ 1992, Green Party of Schleswig-Holstein seats in Parliament for a few hours, until a rounding error is discovered.
- ▶ 1995, Ariane 5 explodes during its maiden flight due to an overflow: insurance cost is \$500M.
- ► 2007, Excel displays 77.1 × 850 as 100000.

Computers and Number Representations

- ► 32-, 64-bit signed integers in two-complement: may overflow
 - ightharpoonup 2147483647 + 1 ightharpoonup -2147483648
 - ightharpoonup 1000002 \rightarrow 1410065408
- ► floating-point numbers (32-, 64-bit):
 - overflows
 - \triangleright 2 × 2 × · · · × 2 \rightarrow + inf
 - $ightharpoonup -1/0 \rightarrow -inf$
 - ightharpoonup 0/0
 ightarrow NaN
 - rounding errors

$$\underbrace{0.1 + 0.1 + \dots + 0.1}_{10 \textit{times}} = 1.0 \rightarrow \text{false}$$
 (because $0.1 \rightarrow 0.100000001490116119384765625$ in 32-bit)

See also arith.c

Some Numerical Failures

▶ 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.

Internal clock ticks every 0.1 second. Time is tracked by fixed-point arith.: $0.1 \simeq 209715 \cdot 2^{-24}$. Cumulated skew after 24h: -0.08s, distance: 160m. System was supposed to be rebooted periodically.

▶ 2007, Excel displays 77.1 × 850 as 100000.

Bug in binary/decimal conversion.

Failing inputs: 12 FP numbers.

Probability to uncover them by random testing: 10^{-18} .

Integer overflow: example of Binary Search

Google "Read All About It: Nearly All Binary Searches and Mergesorts are Broken"

```
let ref l = 0 in
let ref u = a.length - 1 in
while l \le u do
    let m = (l + u) / 2 in
    ...
```

I + u may overflow with large arrays!

Goal

prove that a program is safe with respect to overflows

Safety Checking

Idea: replace all arithmetic operations by abstract functions with preconditions. x + y becomes int32 add(x, y).

```
val int32_add(x: int, y: int): int
requires -2^31 \le x + y < 2^31
ensures result = x + y
```

Unsatisfactory: range contraints of integer must be added explicitly everywhere

Target Type: int32

- ▶ 32-bit signed integers in two-complement representation: integers between -2^{31} and $2^{31} 1$.
- ► If the mathematical result of an operation fits in that range, that is the computed result.
- Otherwise, an overflow occurs. Behavior depends on language and environment: modulo arith, saturated arith, abrupt termination, etc.

A program is safe if no overflow occurs.

Safety Checking, Second Attempt

Idea:

- replace type *int* with an abstract type *int*32
- ► introduce a *projection* from *int*32 to *int*
- ▶ axiom about the *range* of projections of *int*32 elements
- replace all operations by abstract functions with preconditions

```
type int32
function to_int(x: int32): int
axiom bounded_int32:
  forall x: int32. -2^31 \leq to_int(x) < 2^31

val int32_add(x: int32, y: int32): int32
  requires -2^31 \leq to_int(x) + to_int(y) < 2^31
  ensures to_int(result) = to_int(x) + to_int(y)</pre>
```

Binary Search with overflow checking

See bin_search_int32.mlw

Application

Used for translating mainstream programming language into Why3:

- ► From C to Why3: Frama-C, Jessie plug-in See bin_search.c
- From Java to Why3: Krakatoa
- From Ada to Why3: Spark2014

Floating-Point Data

IEEE-754 Binary Floating-Point Arithmetic.

Width: $1 + w_e + w_m = 32$, or 64, or 128.

Bias: $2^{w_e-1} - 1$. Precision: $p = w_m + 1$.

A floating-point datum

sign s biased exponent e' (w_e bits) mantissa m (w_m bits) represents

- ▶ if $0 < e' < 2^{w_e} 1$, the real $(-1)^s \cdot \overline{1.m'} \cdot 2^{e'-bias}$, normal
- ▶ if e' = 0,
 - $ightharpoonup \pm 0$ if m' = 0, zeros
 - ▶ the real $(-1)^s \cdot \overline{0.m'} \cdot 2^{-bias+1}$ otherwise, subnormal
- ▶ if $e' = 2^{w_e} 1$,
 - $(-1)^s \cdot \infty \text{ if } m' = 0,$ infinity
 - Not-a-Number otherwise.

NaN

Floating-Point Arithmetic

- ► Limited range ⇒ exceptional behaviors.
- ► Limited precision ⇒ inaccurate results.

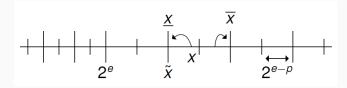
Floating-Point Data

Semantics for the Finite Case

IEEE-754 standard

A floating-point operator shall behave as if it was first computing the infinitely-precise value and then rounding it so that it fits in the destination floating-point format.

Rounding of a real number *x*:



Overflows are not considered when defining rounding: exponents are supposed to have no upper bound!

Specifications in practice

- Several possible rounding modes
- many axioms for round32, but incomplete anyway
- Specialized prover: Gappa http://gappa.gforge.inria.fr/

Demo: clock_drift.c

Specifications, main ideas

Same as with integers, we specify FP operations so that no overflow occurs.

```
constant max : real = 0x1.FFFFFEp127
predicate in_float32 (x:real) = abs x ≤ max
type float32
function to_real(x: float32): real
axiom float32_range: forall x: float32. in_float32 (to_real x)

function round32(x: real): real
(* ... axioms about round32 ... *)

function float32_add(x: float32, y: float32): float32
  requires in_float32(round32(to_real x + to_real y))
  ensures to_real result = round32 (to_real x + to_real y)
```

Deductive verification nowadays

More native support in SMT solvers:

- bitvectors supported by CVC4, Z3, others
- theory of floats supported by Z3, CVC4, MathSAT

Using such a support for deductive program verification remains an open research topic

► Issues when bitvectors/floats are mixed with other features: conversions, arrays, quantification

Fumex et al.(2016) C. Fumex, C. Dross, J. Gerlach, C. Marché. Specification and proof of high-level functional properties of bit-level programs. 8th NASA Formal Methods Symposium, LNCS 9690 Science

Boldo, Marché (2011) S. Boldo, C. Marché. Formal verification of numerical programs: from C annotated programs to mechanical proofs. Mathematics in Computer Science, 5:377–393