# Switch to a ML-style programming language Functions and Function calls More on Specification Languages and Application to Arrays

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#### Reminder of the last lecture

- Logics and automated prover capabilities
  - propositional logic
  - first-order logic
  - theories
    - equality
    - integer arithmetic
- classical Floyd-Hoare logic
  - very simple "IMP" programming language
  - deduction rules for triples {Pre}s{Post}
- weakest liberal pre-conditions (Dijkstra)
  - function WLP(s, Q) returning a logic formula
  - ▶ soundness: if  $P \to WLP(s, Q)$  then triple  $\{P\}s\{Q\}$  is valid
- main "creative" activity: discovering loop invariants

## Exercise 1

Consider the following (inefficient) program for computing the sum a + b

```
x <- a; y <- b;
while y > 0 do
  x <- x + 1; y <- y - 1</pre>
```

(Why3 file to fill in: imp\_sum.mlw)

- Propose a post-condition stating that the final value of x is the sum of the values of a and b
- Find an appropriate loop invariant
- Prove the program

## Exercise 2

The following program is one of the original examples of Floyd

```
q <- 0; r <- x;
while r >= y do
    r <- r - y; q <- q + 1</pre>
```

(Why3 file to fill in: imp\_euclidean\_div.mlw)

- Propose a formal precondition to express that x is assumed non-negative, y is assumed positive, and a formal post-condition expressing that q and r are respectively the quotient and the remainder of the Euclidean division of x by y
- Find appropriate loop invariants and prove the correctness of the program

#### This Lecture's Goals

- Swich to a "modern" ML-style language
- Extend that language:
  - Labels for reasoning on the past
  - Local mutable variables
  - Sub-programs, function calls, modular reasoning
- ► (First-order) logic as a *modeling language* 
  - Definitions of new types, product types
  - Definitions of functions, of predicates
  - Axiomatizations
- Application:
  - a bit of higher-order logic
  - program on Arrays

## **Outline**

"Modern" Approach, Blocking Semantics A ML-like Programming Language Blocking Operational Semantics Weakest Preconditions Revisited

Syntax extensions

Advanced Modeling of Programs

Programs on Arrays

# Beyond IMP and classical Hoare Logic

## Extended language

- more data types
- logic variables: local and immutable
- labels in specifications

#### Handle termination issues:

- prove properties on non-terminating programs
- prove termination when wanted

#### Prepare for adding later:

- run-time errors (how to prove their absence)
- local mutable variables, functions
- complex data types

# Extended Syntax: Generalities

- We want a few basic data types : int, bool, real, unit
- No difference between expressions and statements anymore

#### Basically we consider

- A purely functional language (ML-like)
- ► with *global mutable variables*

very restricted notion of modification of program states

# Base Data Types, Operators, Terms

- unit type: type unit, only one constant ()
- Booleans: type bool, constants True, False, operators and, or, not
- ▶ integers: type int, operators +, -, × (no division)
- reals: type real, operators  $+, -, \times$  (no division)
- Comparisons of integers or reals, returning a boolean
- "if-expression": written if b then  $t_1$  else  $t_2$

# Local logic variables

We extend the syntax of terms by

$$t ::= let V = t in t$$

Example: approximated cosine

```
let cos_x =
   let y = x*x in
   1.0 - 0.5 * y + 0.04166666 * y * y
in
...
```

#### **Practical Notes**

- ► Theorem provers (inc. Alt-Ergo, CVC4, Z3) typically support such a typed logic
- may also support if-expressions and let bindings

Alternatively, Why3 manages to transform terms and formulas when needed (e.g. transformation of if-expressions and/or let-expressions into equivalent formulas)

# Syntax: Formulas

It is (typed) first-order logic, as in previous lecture, but also with addition of local binding:

# **Typing**

Types:

```
	au ::= int | real | bool | unit
```

Typing judgment:

$$\Gamma \vdash t : \tau$$

where  $\Gamma$  maps identifiers to types:

- either  $v : \tau$  (logic variable, immutable)
- either x: mut  $\tau$  (program variable, mutable)

## **Important**

- a mutable variable is not a value (it is not a "reference" value)
- as such, there is no "reference on a reference"
- ► no aliasing

## Typing rules

#### Constants:

$$\overline{\Gamma \vdash n : \text{int}} \qquad \overline{\Gamma \vdash r : \text{real}}$$

$$\overline{\Gamma \vdash \textit{True} : \text{bool}} \qquad \overline{\Gamma \vdash \textit{False} : \text{bool}}$$

$$\frac{\textit{V} : \tau \in \Gamma}{\Gamma \vdash \textit{V} : \tau} \qquad \frac{\textit{X} : \text{mut } \tau \in \Gamma}{\Gamma \vdash \textit{X} : \tau}$$

Let binding:

Variables:

$$\frac{\Gamma \vdash t_1 : \tau_1 \qquad \{v : \tau_1\} \cdot \Gamma \vdash t_2 : \tau_2}{\Gamma \vdash \text{let } v = t_1 \text{ in } t_2 : \tau_2}$$

- All terms have a base type (not a "reference")
- In practice: Why3, unlike OCaml, does not require to write !x for mutable variables

## Formal Semantics: Terms and Formulas

Program states are augmented with a stack of local (immutable) variables

- Σ: maps program variables to values (a map)
- $\blacktriangleright$   $\pi$ : maps logic variables to values (a stack)

## Warning

Semantics is a partial function, it is not defined on ill-typed formulas

## Common notation for formulas

```
\Sigma, \pi \models \varphi \text{ means } \llbracket \varphi \rrbracket_{\Sigma,\pi} = \text{true}
```

# Type Soundness Property

Our logic language satisfies the following standard property of purely functional language

## Theorem (Type soundness)

Every well-typed terms and well-typed formulas have a semantics

Proof: induction on the derivation tree of well-typing

# Expressions: generalities

- Former statements of IMP are now expressions of type unit Expressions may have Side Effects
- Statement skip is identified with ()
- The sequence is replaced by a local binding
- From now on, the condition of the if then else and the while do in programs is a Boolean expression

# Syntax

▶ sequence  $e_1$ ;  $e_2$ : syntactic sugar for

let 
$$v = e_1$$
 in  $e_2$ 

when  $e_1$  has type unit and v not used in  $e_2$ 

# Toy Examples

```
z \leftarrow if x >= y then x else y
let v = r in (r < -v + 42; v)
while (x < -x - 1; x > 0)
      (* (--x > 0) in C *)
  do ()
while (let v = x in x < -x - 1; v > 0)
      (* (x--> 0) in C*)
  do ()
```

# Typing Rules for Expressions

Assignment:

$$\frac{X : \mathsf{mut} \ \tau \in \Gamma \qquad \Gamma \vdash \theta : \tau}{\Gamma \vdash X \leftarrow \theta : \mathsf{unit}}$$

Let binding:

$$\frac{\Gamma \vdash e_1 : \tau_1 \qquad \{v : \tau_1\} \cdot \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash \mathsf{let} \ v = e_1 \ \mathsf{in} \ e_2 : \tau_2}$$

Conditional:

$$\frac{\Gamma \vdash c : \mathsf{bool} \qquad \Gamma \vdash e_1 : \tau \qquad \Gamma \vdash e_2 : \tau}{\Gamma \vdash \mathsf{if} \ c \ \mathsf{then} \ e_1 \ \mathsf{else} \ e_2 : \tau}$$

Loop:

$$\frac{\Gamma \vdash c : bool \qquad \Gamma \vdash e : unit}{\Gamma \vdash while \ c \ do \ e : unit}$$

# **Operational Semantics**

## Novelty w.r.t. IMP

Need to precise the order of evaluation: left to right (e.g. x < 0; ((x < 1); 2) + x) = 2 or 3 ?)

one-step execution has the form

$$\Sigma, \pi, e \leadsto \Sigma', \pi', e'$$

 $\pi$  is the *stack of local variables* 

values do not reduce

# **Operational Semantics**

Assignment

$$\frac{\Sigma, \pi, \boldsymbol{e} \leadsto \Sigma', \pi', \boldsymbol{e}'}{\Sigma, \pi, \boldsymbol{x} \lessdot \boldsymbol{e} \leadsto \Sigma', \pi', \boldsymbol{x} \lessdot \boldsymbol{e}'}$$

$$\overline{\Sigma, \pi, \boldsymbol{x} \lessdot \boldsymbol{val} \leadsto \Sigma[\boldsymbol{x} \leftarrow \boldsymbol{val}], \pi, ()}$$

Let binding

$$\frac{\Sigma, \pi, \boldsymbol{e}_1 \leadsto \Sigma', \pi', \boldsymbol{e}_1'}{\Sigma, \pi, \text{let } \boldsymbol{v} = \boldsymbol{e}_1 \text{ in } \boldsymbol{e}_2 \leadsto \Sigma', \pi', \text{let } \boldsymbol{v} = \boldsymbol{e}_1' \text{ in } \boldsymbol{e}_2}$$

$$\overline{\Sigma, \pi, \text{let } v = val \text{ in } e \rightsquigarrow \Sigma, \{v = val\} \cdot \pi, e}$$

# Operational Semantics, Continued

Binary operations

$$\frac{\Sigma, \pi, \textbf{\textit{e}}_1 \leadsto \Sigma', \pi', \textbf{\textit{e}}_1'}{\Sigma, \pi, \textbf{\textit{e}}_1 + \textbf{\textit{e}}_2 \leadsto \Sigma', \pi', \textbf{\textit{e}}_1' + \textbf{\textit{e}}_2}$$

$$\frac{\Sigma, \pi, \textbf{\textit{e}}_2 \leadsto \Sigma', \pi', \textbf{\textit{e}}_2'}{\Sigma, \pi, \textit{\textit{val}}_1 + \textbf{\textit{e}}_2 \leadsto \Sigma', \pi', \textit{\textit{val}}_1 + \textbf{\textit{e}}_2'}$$

$$\frac{\textit{val} = \textit{val}_1 + \textit{val}_2}{\Sigma, \pi, \textit{val}_1 + \textit{val}_2 \leadsto \Sigma, \pi, \textit{val}}$$

# Operational Semantics, Continued

Conditional

$$\frac{\Sigma, \pi, \textbf{\textit{c}} \leadsto \Sigma', \pi', \textbf{\textit{c}}'}{\Sigma, \pi, \text{if } \textbf{\textit{c}} \text{ then } \textbf{\textit{e}}_1 \text{ else } \textbf{\textit{e}}_2 \leadsto \Sigma', \pi', \text{if } \textbf{\textit{c}}' \text{ then } \textbf{\textit{e}}_1 \text{ else } \textbf{\textit{e}}_2}$$

$$\overline{\Sigma, \pi, \text{if } \textit{True} \text{ then } \textbf{\textit{e}}_1 \text{ else } \textbf{\textit{e}}_2 \leadsto \Sigma, \pi, \textbf{\textit{e}}_1}}$$

$$\overline{\Sigma, \pi, \text{if } \textit{False} \text{ then } \textbf{\textit{e}}_1 \text{ else } \textbf{\textit{e}}_2 \leadsto \Sigma, \pi, \textbf{\textit{e}}_2}}$$

Loop

$$\begin{array}{c} \Sigma,\pi, \text{while } \textit{\textbf{C}} \text{ do } \textit{\textbf{e}} \leadsto \\ \Sigma,\pi, \text{if } \textit{\textbf{C}} \text{ then } (\textit{\textbf{e}}; \text{while } \textit{\textbf{C}} \text{ do } \textit{\textbf{e}}) \text{ else } () \end{array}$$

# Context Rules versus Let Binding

Remark: most of the context rules can be avoided

► An equivalent operational semantics can be defined using let *v* = ... in ... instead, e.g.:

$$\frac{\textit{v}_1, \textit{v}_2 \text{ fresh}}{\Sigma, \pi, \textit{e}_1 + \textit{e}_2 \leadsto \Sigma, \pi, \text{let } \textit{v}_1 = \textit{e}_1 \text{ in let } \textit{v}_2 = \textit{e}_2 \text{ in } \textit{v}_1 + \textit{v}_2}$$

Thus, only the context rule for let is needed

# Type Soundness

#### **Theorem**

Every well-typed expression evaluate to a value or execute infinitely

#### Classical proof:

- type is preserved by reduction
- execution of well-typed expressions that are not values can progress

# Blocking Semantics: General Ideas

- add assertions in expressions
- failed assertions = "run-time errors"

First step: modify expression syntax with

- new expression: assertion
- adding loop invariant in loops

```
e ::= assert p (assertion)
| while e invariant I do e (annotated loop)
```

# Toy Examples

```
z < -if x > = y then x else y ;
assert (z >= x / \langle z >= y)
while (x < -x - 1; x > 0)
      (* (--x > 0) in C *)
  invariant x >= 0 do ();
assert (x = 0)
while (let v = x in x < -x - 1; v > 0)
      (* (x--> 0) in C *)
  invariant x \ge -1 do ():
assert (x = -1)
```

# **Blocking Semantics: Modified Rules**

$$\frac{ \llbracket P \rrbracket_{\Sigma,\pi} \text{ holds} }{\Sigma,\pi, \text{assert } P \leadsto \Sigma,\pi,()}$$

## $[I]_{\Sigma,\pi}$ holds

 $\Sigma, \pi, \text{ while } c \text{ invariant } l \text{ do } e \leadsto$  $\Sigma, \pi, \text{ if } c \text{ then } (e; \text{ while } c \text{ invariant } l \text{ do } e) \text{ else } ()$ 

## Important remark

Execution blocks as soon as an invalid annotation is met

## Definition (Safety of execution)

Execution of an expression in a given state is *safe* if it does not block: either terminates on a value or runs infinitely.

# Hoare triples: result value in post-conditions

New addition in the logic language:

- keyword result in post-conditions
- denotes the value of the expression executed

## Example:

```
{ true }
if x >= y then x else y
{ result >= x /\ result >= y }
```

# Hoare triples: Soundness

## Definition (validity of a triple)

A triple  $\{P\}e\{Q\}$  is *valid* if for any state  $\Sigma, \pi$  satisfying P, e executes safely in  $\Sigma, \pi$ , and if it terminates, the final state satisfies Q

#### Difference with first lecture

Validity of a triple now implies safety of its execution, even if it does not terminate

## Weakest Preconditions Revisited

#### Goal:

construct a new calculus WP(e, Q)

Expected property: in any state satisfying WP(e, Q),

- e is guaranteed to execute safely
- if it terminates, Q holds in the final state

#### Difference with first lecture

This calculus is no more "liberal", the computed precondition guarantees safety of execution, even if it does not terminate

## **New Weakest Precondition Calculus**

Pure expressions (i.e. without side-effects, a.k.a. "terms")

$$WP(t, Q) = Q[result \leftarrow t]$$

## 'let' binding

$$\begin{aligned} \operatorname{WP}(\operatorname{let} x &= e_1 \text{ in } e_2, Q) &= \\ \operatorname{WP}(e_1, (\operatorname{WP}(e_2, Q)[x \leftarrow \mathit{result}])) \end{aligned}$$

Reminder: sequence is a particular case of 'let'

$$WP((e_1; e_2), Q) = WP(e_1, WP(e_2, Q))$$

# Weakest Preconditions, continued

Assignment:

$$\mathrm{WP}(\textit{x} < \textit{e}, \textit{Q}) = \mathrm{WP}(\textit{e}, \textit{Q}[\textit{result} \leftarrow (); \textit{x} \leftarrow \textit{result}])$$

Alternative:

$$\begin{aligned} & \mathrm{WP}(x < e, Q) &= \mathrm{WP}(\mathrm{let} \ v = e \ \mathrm{in} \ x < v, Q) \\ & \mathrm{WP}(x < t, Q) &= Q[\mathit{result} \leftarrow (); x \leftarrow t]) \end{aligned}$$

## WP: Exercise

WP(let 
$$v = x \text{ in } (x < x + 1; v), x > result) =?$$

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$$\begin{aligned} & \text{WP}(\text{let } v = x \text{ in } (x \leftarrow x + 1; v), x > \textit{result}) \\ &= & \text{WP}(x, (\text{WP}((x \leftarrow x + 1; v), x > \textit{result})[v \leftarrow \textit{result}])) \end{aligned}$$

$$WP(let \ v = x \ in \ (x < x + 1; v), x > result) = ?$$

- WP(let v = x in (x < x + 1; v), x > result)  $= WP(x, (WP((x < x + 1; v), x > result)))[v \leftarrow result]))$   $= WP(x, (WP((x < x + 1; v), x > result)))[v \leftarrow result])$
- $= WP(x, (WP(x < x + 1, WP(\underline{v}, x > result)))[v \leftarrow result]))$

$$WP(let \ v = x \ in \ (x < x + 1; v), x > result) = ?$$

```
 \begin{aligned} & \text{WP}(\text{let } v = x \text{ in } (x < x + 1; v), x > \textit{result}) \\ &= \text{WP}(\overline{x}, (\text{WP}(\underline{(x < x + 1; v)}, x > \textit{result})[v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(\overline{x < x + 1}, \text{WP}(\underline{v}, x > \textit{result})))[v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(x < x + 1, x > v))[v \leftarrow \textit{result}])) \end{aligned}
```

$$WP(let \ v = x \ in \ (x < x + 1; v), x > result) = ?$$

```
 \begin{aligned} & \text{WP}(\text{let } v = x \text{ in } (x < x + 1; v), x > \textit{result}) \\ &= & \text{WP}(x, (\text{WP}((x < x + 1; v), x > \textit{result})[v \leftarrow \textit{result}])) \\ &= & \text{WP}(x, (\text{WP}(x < x + 1, \text{WP}(\underline{v}, x > \textit{result})))[v \leftarrow \textit{result}])) \\ &= & \text{WP}(x, (\text{WP}(\underline{x < x + 1}, x > v))[v \leftarrow \textit{result}])) \\ &= & \text{WP}(x, (\underline{x < x + 1}, x > v)[v \leftarrow \textit{result}])) \end{aligned}
```

$$WP(let \ v = x \ in \ (x < x + 1; v), x > result) = ?$$

```
 \begin{aligned} & \text{WP}(\text{let } v = x \text{ in } (x \leqslant x + 1; v), x > \textit{result}) \\ &= \text{WP}(x, (\text{WP}((x \leqslant x + 1; v), x > \textit{result}) | v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(x \leqslant x + 1, \text{WP}(\underline{v}, x > \textit{result}))) | v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(\underline{x} \leqslant x + 1, x > v)) | v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (x + 1 > v) | v \leftarrow \textit{result}]) \\ &= \text{WP}(x, (x + 1 > \textit{result})) \end{aligned}
```

$$WP(let \ v = x \ in \ (x < x + 1; v), x > result) = ?$$

```
 \begin{aligned} & \text{WP}(\text{let } v = x \text{ in } (x < x + 1; v), x > \textit{result}) \\ &= \text{WP}(x, (\text{WP}((x < x + 1; v), x > \textit{result})[v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(x < x + 1, \text{WP}(\underline{v}, x > \textit{result})))[v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\text{WP}(\underline{x < x + 1}, x > v))[v \leftarrow \textit{result}])) \\ &= \text{WP}(x, (\underline{x + 1} > v)[v \leftarrow \textit{result}])) \\ &= \frac{\text{WP}(x, (x + 1 > \textit{result}))}{x + 1 > x} \end{aligned}
```

# Weakest Preconditions, continued

Conditional

```
\operatorname{WP}(\text{if } e_1 \text{ then } e_2 \text{ else } e_3, Q) = \operatorname{WP}(e_1, \text{if } result \text{ then } \operatorname{WP}(e_2, Q) \text{ else } \operatorname{WP}(e_3, Q))
```

Alternative with let: (exercise!)

# Weakest Preconditions, continued

Assertion

$$WP(assert P, Q) = P \wedge Q$$
$$= P \wedge (P \rightarrow Q)$$

(second version useful in practice)

While loop

```
egin{aligned} &\operatorname{WP}(\mathsf{while}\ c\ \mathsf{invariant}\ I\ \mathsf{do}\ e,\,Q) = &I \land &\\ &\forall ec{v}, (I 
ightarrow \mathrm{WP}(c,\mathsf{if}\ result\ \mathsf{then}\ \mathrm{WP}(e,I)\ \mathsf{else}\ Q))[w_i \leftarrow v_i] \end{aligned}
```

where  $w_1, \ldots, w_k$  is the set of assigned variables in expressions c and e and  $v_1, \ldots, v_k$  are fresh logic variables

### Soundness of WP

## Lemma (Preservation by Reduction)

If 
$$\Sigma, \pi \models WP(e, Q)$$
 and  $\Sigma, \pi, e \leadsto \Sigma', \pi', e'$  then  $\Sigma', \pi' \models WP(e', Q)$ 

Proof: predicate induction of →.

## Lemma (Progress)

If  $\Sigma, \pi \models \mathrm{WP}(e, Q)$  and e is not a value then there exists  $\Sigma', \pi, e'$  such that  $\Sigma, \pi, e \leadsto \Sigma', \pi', e'$ 

Proof: structural induction of e.

## Corollary (Soundness)

If  $\Sigma$ ,  $\pi \models WP(e, Q)$  then

- ightharpoonup e executes safely in  $\Sigma$ ,  $\pi$ .
- if execution terminates, Q holds in the final state

### **Outline**

"Modern" Approach, Blocking Semantics

Syntax extensions Labels

Local Mutable Variables

Functions and Functions Calls

Advanced Modeling of Programs

Programs on Arrays

## Labels: motivation

## Ability to refer to past values of variables

```
{ true }
  let v = r in (r < -v + 42; v)
  \{ r = r@0ld + 42 / result = r@0ld \}
  { true }
  let tmp = x in x < -y; y < -tmp
  \{ x = y@0ld / y = x@0ld \}
SUM revisited:
  \{ v >= 0 \}
  L:
  while y > 0 do
    invariant \{ x + y = x@L + y@L \}
    x < -x + 1; y < -y - 1
  \{ x = x@0ld + y@0ld / \ y = 0 \}
```

# Labels: Syntax and Typing

Add in syntax of *terms*:

```
t := x@L (labeled variable access)
```

Add in syntax of *expressions*:

```
e ::= L : e (labeled expressions)
```

### Typing:

- only mutable variables can be accessed through a label
- labels must be declared before use

### Implicitly declared labels:

- Here, available in every formula
- Old, available everywhere except pre-conditions

# Labels: Operational Semantics

### Program state

- becomes a collection of maps indexed by labels
- ▶ value of variable x at label L is denoted  $\Sigma(x, L)$

New semantics of variables in terms:

$$[x]_{\Sigma,\pi} = \Sigma(x, Here)$$
  
 $[x@L]_{\Sigma,\pi} = \Sigma(x, L)$ 

The operational semantics of expressions is modified as follows

$$\Sigma, \pi, x \leftarrow val \implies \Sigma\{(x, Here) \leftarrow val\}, \pi, ()$$
  
 $\Sigma, \pi, L : e \implies \Sigma\{(x, L) \leftarrow \Sigma(x, Here) \mid x \text{ any variable}\}, \pi, e$ 

### Syntactic sugar: term t@L

- attach label L to any variable of t that does not have an explicit label yet
- example:(x + y@K + 2)@L + x is x@L + y@K + 2 + x@Here

## New rules for WP

### New rules for computing WP:

```
 WP(x < t, Q) = Q[x@Here \leftarrow t@Here] 
 WP(L: e, Q) = WP(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]
```

#### Exercise:

$$WP(L: x \leftarrow x + 42, x@Here > x@L) = ?$$

# Example: computation of the GCD

(assuming notion of greatest common divisor exists in the logic)

### Euclid's algorithm:

```
requires { x >= 0 /\ y >= 0 }
ensures { result = gcd(x@0ld,y@0ld) }
= L:
while y > 0 do
   invariant { ? }
   let r = mod x y in x <- y; y <- r
done;
x</pre>
```

See file gcd\_euclid\_labels.mlw

### Mutable Local Variables

We extend the syntax of expressions with

```
e ::= let ref id = e in e
```

(note: I use "ref" instead of "mut" because of Why3)

### Example: isqrt revisited

```
val ref x : int
val ref res : int

res <- 0;
let ref sum = 1 in
while sum <= x do
    res <- res + 1; sum <- sum + 2 * res + 1
done</pre>
```

# **Operational Semantics**

$$\Sigma, \pi, e \leadsto \Sigma', \pi', e'$$

 $\pi$  no longer contains just immutable variables

$$\frac{\Sigma, \pi, \textbf{\textit{e}}_1 \leadsto \Sigma', \pi', \textbf{\textit{e}}_1'}{\Sigma, \pi, \text{let ref } \textbf{\textit{x}} = \textbf{\textit{e}}_1 \text{ in } \textbf{\textit{e}}_2 \leadsto \Sigma', \pi', \text{let ref } \textbf{\textit{x}} = \textbf{\textit{e}}_1' \text{ in } \textbf{\textit{e}}_2}$$

$$\Sigma, \pi, \text{let ref } x = v \text{ in } e \leadsto \Sigma, \pi\{(x, \textit{Here}) \leftarrow v\}, e$$

# **Operational Semantics**

$$\Sigma, \pi, e \leadsto \Sigma', \pi', e'$$

 $\pi$  no longer contains just immutable variables

$$\frac{\Sigma, \pi, e_1 \leadsto \Sigma', \pi', e_1'}{\Sigma, \pi, \text{let ref } x = e_1 \text{ in } e_2 \leadsto \Sigma', \pi', \text{let ref } x = e_1' \text{ in } e_2}$$

$$\overline{\Sigma, \pi, \text{let ref } x = v \text{ in } e \leadsto \Sigma, \pi\{(x, \textit{Here}) \leftarrow v\}, e}$$

$$\frac{x \text{ local variable}}{\Sigma, \pi, x \lessdot v \leadsto \Sigma, \pi\{(x, \textit{Here}) \leftarrow v\}, e}$$

And labels too

## Mutable Local Variables: WP rules

Rules are exactly the same as for global variables

$$\mathrm{WP}(\mathsf{let} \ \mathsf{ref} \ \mathit{X} = \mathit{e}_1 \ \mathsf{in} \ \mathit{e}_2, \mathit{Q}) = \mathrm{WP}(\mathit{e}_1, \mathrm{WP}(\mathit{e}_2, \mathit{Q})[\mathit{X} \leftarrow \mathsf{result}])$$

$$WP(x \leftarrow e, Q) = WP(e, Q[x \leftarrow result])$$

$$WP(L:e,Q) = WP(e,Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]$$

### **Functions**

### Program structure:

```
prog ::= decl*
decl ::= vardecl | fundecl
vardecl ::= val ref id : basetype
```

### **Functions**

#### Program structure:

### **Functions**

#### Program structure:

#### Function definition:

- Contract:
  - pre-condition
  - post-condition (label Old available)
  - assigned variables: clause writes
- Body: expression

# Example: isqrt

```
let isqrt(x:int): int
  requires x >= 0
  ensures result >= 0 /\
          sqr(result) \le x < sqr(result + 1)
body
  let ref res = 0 in
  let ref sum = 1 in
 while sum <= x do
    res <- res + 1;
    sum < -sum + 2 * res + 1
  done;
  res
```

# Example using *Old* label

```
val ref res: int

let incr(x:int)
  requires true
  writes res
  ensures res = res@0ld + x
body
  res <- res + x</pre>
```

# **Typing**

#### Definition *d* of function *f*:

```
let f(x_1 : \tau_1, ..., x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

#### Well-formed definitions:

$$\Gamma' = \{x_i : \tau_i \mid 1 \le i \le n\} \cdot \Gamma \qquad \vec{w} \subseteq \Gamma$$

$$\Gamma' \vdash Pre, Post : formula \qquad \Gamma' \vdash Body : \tau$$

$$\vec{w}_g \subseteq \vec{w} \text{ for each call } g \qquad y \in \vec{w} \text{ for each assign } y$$

$$\Gamma \vdash d : wf$$

where  $\Gamma$  contains the global declarations

# Typing: function calls

```
let f(x_1 : \tau_1, ..., x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

Well-typed function calls:

$$\frac{\Gamma \vdash t_i : \tau_i}{\Gamma \vdash f(t_1, \ldots, t_n) : \tau}$$

Note: for simplicity the expressions  $t_i$  are assumed without side-effect (introduce extra let-expression if needed)

# Operational Semantics of a Function Call

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

$$\frac{\pi = \{x_i \mapsto \llbracket t_i \rrbracket_{\Sigma,\pi}\} \qquad \Sigma, \pi \models \textit{Pre}}{\Sigma, \Pi, \textit{f}(t_1, \dots, t_n) \leadsto \Sigma, (\pi, \textit{Post}) \cdot \Pi, (\textit{Old} : \textit{Body})}$$

A *call frame* is a pair  $(\pi, Post)$  of a local stack and a formula  $\Pi$  denotes a *stack of call frames* 

## **Blocking Semantics**

Execution blocks at call if pre-condition does not hold

# Operational Semantics of returning from Function Call

We check that the *post-condition* holds at the end:

$$\frac{\Sigma, \pi \models \textit{Post}[\mathsf{result} \leftarrow \textit{v}]}{\Sigma, (\pi, \textit{Post}) \cdot \Pi, \textit{v} \leadsto \Sigma, \Pi, \textit{v}}$$

### **Blocking Semantics**

Execution blocks at return if post-condition does not hold

## WP Rule of Function Call

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

$$WP(f(t_1,...,t_n),Q) = Pre[x_i \leftarrow t_i] \land \forall \vec{v}, (Post[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j@Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow v_j])$$

## Modular Proof Methodology

When calling function f, only the contract of f is visible, not its body

# Example: isqrt(42)

Exercise: prove that  $\{true\}isqrt(42)\{result = 6\}$  holds

```
val isqrt(x:int): int
  requires x >= 0
  writes (nothing)
  ensures result >= 0 /\
      sqr(result) <= x < sqr(result + 1)</pre>
```

## Abstraction of sub-programs

- Keyword val introduces a function with a contract but without body
- writes clause is mandatory in that case

# Example: Incrementation

```
val ref res: int

val incr(x:int):unit
  writes res
ensures res = res@Old + x
```

Exercise: Prove that  $\{res = 6\}incr(36)\{res = 42\}$  holds

# Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{W}
ensures Post
body Body
```

#### we have

- ▶ variables assigned in *Body* belong to  $\vec{w}$ ,
- ▶  $\models Pre \rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$  holds,

then for any formula Q, any expression e, any configuration  $(\Sigma, \pi)$ :

if 
$$\Sigma, \pi \models WP(e, Q)$$
 then execution of  $\Sigma, \pi, e$  is *safe*

Remark: (mutually) recursive functions are allowed

## **Outline**

"Modern" Approach, Blocking Semantics

Syntax extensions

Advanced Modeling of Programs
(First-Order) Logic as a Modeling Language
Axiomatic Definitions

Programs on Arrays

# **About Specification Languages**

### Specification languages:

- Algebraic Specifications: CASL, Larch
- Set theory: VDM, Z notation, Atelier B
- Higher-Order Logic: PVS, Isabelle/HOL, HOL4, Coq
- Object-Oriented: Eiffel, JML, OCL

# About Specification Languages

### Specification languages:

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- Higher-Order Logic: PVS, Isabelle/HOL, HOL4, Coq
- Object-Oriented: Eiffel, JML, OCL
- **.**..

### Case of Why3, ACSL, Dafny: trade-off between

- expressiveness of specifications
- support by automated provers

# Why3 Logic Language

- (First-order) logic, built-in arithmetic (integers and reals)
- Definitions à la ML
  - ▶ logic (i.e. pure) *functions, predicates*
  - structured types, pattern-matching (next lecture)
- ▶ type polymorphism à la ML
- higher-order logic as a built-in theory of functions
- Axiomatizations
- Inductive predicates (next lecture)

### Important note

Logic functions and predicates are always totally defined

## Definition of new Logic Symbols

#### Logic functions defined as

function 
$$f(x_1 : \tau_1, \ldots, x_n : \tau_n) : \tau = e$$

#### Predicate defined as

predicate 
$$p(x_1 : \tau_1, \ldots, x_n : \tau_n) = e$$

where  $\tau_i$ ,  $\tau$  are logic types (not references)

- No recursion allowed (yet)
- No side effects
- Defines total functions and predicates

# Logic Symbols: Examples

```
function sqr(x:int) = x * x

predicate divides(x:int,y:int) =
    exists z:int. y = x * z

predicate is_prime(x:int) =
    x >= 2 /\
    forall y z:int. y >= 0 /\ z >= 0 /\ x = y*z ->
        y=1 \/ z=1
```

# Definition of new logic types: Product Types

Tuples types are built-in:

```
type pair = (int, int)
```

Record types can be defined:

```
type point = { x:real; y:real }
```

#### Fields are immutable

We allow let with pattern, e.g.

```
let (a,b) = ... in ...
let { x = a; y = b } = ... in ...
```

Dot notation for records fields, e.g.

```
p.x + p.y
```

#### Function and predicate declarations of the form

```
function f(\tau, ..., \tau_n) : \tau predicate p(\tau, ..., \tau_n)
```

together with axioms

axiom id: formula

specify that f (resp. p) is any symbol satisfying the axioms

#### Example: division

```
function div(real, real): real
axiom mul_div:
  forall x,y. y<>0 -> div(x,y)*y = x
```

#### Example: division

```
function div(real, real): real
axiom mul_div:
  forall x,y. y<>0 -> div(x,y)*y = x
```

#### Example: factorial

```
function fact(int):int
axiom fact0:
  fact(0) = 1
axiom factn:
  forall n:int. n >= 1 -> fact(n) = n * fact(n-1)
```

Exercise: axiomatize the GCD

Functions/predicates are typically underspecified
 we can model partial functions in a logic of total functions

Functions/predicates are typically underspecified
 we can model partial functions in a logic of total functions

### Warning about soundness

Axioms may introduce *inconsistencies* 

```
function div(real,real):real
axiom mul_div: forall x,y. div(x,y)*y = x
implies 1 = div(1,0)*0 = 0
```

### Underspecified Logic Functions and Run-time Errors

Error "Division by zero" can be modeled by an abstract function

```
val div_real(x:real,y:real):real
  requires y <> 0.0
  ensures result = div(x,y)
```

#### Reminder

Execution blocks when an invalid annotation is met

#### **Outline**

"Modern" Approach, Blocking Semantics

Syntax extensions

Advanced Modeling of Programs

Programs on Arrays

# Higher-order logic as a built-in theory

- ▶ type of *maps* :  $\tau_1 \rightarrow \tau_2$
- ▶ lambda-expressions: fun  $x : \tau \rightarrow t$

#### Definition of selection function:

**function** select 
$$(f: \alpha \rightarrow \beta)$$
  $(x: \alpha) : \beta = f x$ 

#### Definition of function update:

function store 
$$(f: \alpha \to \beta)$$
  $(x: \alpha)$   $(v: \beta)$  :  $\alpha \to \beta$  = fun  $(y: \alpha)$  -> if  $x = y$  then  $v$  else  $f$   $y$ 

#### SMT (first-order) theory of "functional arrays"

```
lemma select_store_eq: forall f:\alpha -> \beta, x:\alpha, v:\beta. select(store(f,x,v),x) = v lemma select_store_neq: forall f:\alpha -> \beta, x y:\alpha, v:\beta. x <> y -> select(store(f,x,v),y) = select(f,j)
```

# Arrays as Mutable Variables of type "Map"

- ightharpoonup Array variable: mutable variable of type int ->  $\alpha$
- In a program, the standard assignment operation

is interpreted as

```
val ref a: int -> int

let test()
  writes a
  ensures select(a,0) = 13  (* a[0] = 13 *)
body
  a <- store(a,0,13);  (* a[0] <- 13 *)
  a <- store(a,1,42)  (* a[1] <- 42 *)</pre>
```

Exercise: prove this program

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
= select(store(a, 0, 13), 0) = 13
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
= select(store(a, 0, 13), 0) = 13
= 13 = 13
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
= select(store(a, 0, 13), 0) = 13
= 13 = 13
= true
```

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
= select(store(a, 0, 13), 0) = 13
= 13 = 13
= true
```

Note how we use both lemmas select\_store\_eq and select\_store\_neq

### Example: Swap

Permute the contents of cells *i* and *j* in an array *a*:

```
val ref a: int -> int
let swap(i:int,j:int)
  writes a
  ensures select(a,i) = select(a@0ld,j) /\
          select(a,j) = select(a@Old,i) /\
          forall k:int. k <> i / k <> j ->
            select(a,k) = select(a@Old,k)
body
  let tmp = select(a,i) in (* tmp < -a[i]*)
  a <- store(a,i,select(a,j)); (* a[i]<-a[i]*)
                      (* a[i]<-tmp *)
  a <- store(a,j,tmp)</pre>
```

### Arrays as Variables of Type "length $\times$ map"

- Goal: model "out-of-bounds" run-time errors
- ightharpoonup Array variable: mutable variable of type array lpha

```
type array 'a = { length : int; elts : int -> 'a}
val get (ref a:array 'a) (i:int) : 'a
  requires 0 <= i < a.length
  ensures result = select(a.elts,i)
val set (ref a:array 'a) (i:int) (v:'a) : unit
  requires 0 <= i < a.length
 writes
  ensures a.length = a@Old.length /\
           a.elts = store(a@Old.elts,i,v)
```

- a[i] interpreted as a call to get(a,i)
- a[i] <- v interpreted as a call to set(a,i,v)</p>

### Example: Swap again

```
val ref a: array int
let swap(i:int,j:int)
  requires 0 \le i \le a.length / 0 \le j \le a.length
 writes a
  ensures select(a.elts,i) = select(a@0ld.elts,j) /\
         select(a.elts,j) = select(a@0ld.elts,i) /\
         forall k:int. 0 \le k < a.length / k <> i / k <> j ->
           select(a.elts,k) = select(a@Old.elts,k)
body
  let tmp = get(a,i) in (* tmp < -a[i]*)
  set(a,i,get(a,j)); (* a[i]<-a[j]*)
  set(a,j,tmp)
               (* a[j]<-tmp *)
```

### Note about Arrays in Why3

```
use array.Array
syntax: a.length, a[i], a[i]<-v</pre>
```

#### Example: swap

```
val a: array int
let swap (i:int) (j:int)
  requires { 0 \le i \le a.length / \ 0 \le j \le a.length \}
  writes { a }
  ensures { a[i] = old \ a[j] /  a[j] = old \ a[i]}
  ensures { forall k:int.
                0 \le k \le a. length / k \iff i / k \iff j \implies
                a[k] = old a[k]
=
  let tmp = a[i] in a[i] <- a[j]; a[j] <- tmp
```

### **Exercises on Arrays**

- Prove Swap by computing the WP
- Using WP, prove the program

```
let test()
  requires
    select(a,0) = 13 /\ select(a,1) = 42 /\
    select(a,2) = 64
  ensures
    select(a,0) = 64 /\ select(a,1) = 42 /\
    select(a,2) = 13
body
  swap(0,2)
```

# Exercise on Arrays: incrementation

Specify, implement, and prove a program that increments by 1 all cells, between given indices i and j, of an array of reals

See file array\_incr.mlw

## Exercise: Search Algorithms

```
var a: array real

let search(n:int, v:real): int
  requires 0 <= n
  ensures { ? }
= ?</pre>
```

- 1. Formalize postcondition: if v occurs in a, between 0 and n-1, then result is an index where v occurs, otherwise result is set to -1
- 2. Implement and prove *linear search*:

```
res < -1; for each i from 0 to n-1: if a[i] = v then res < i; return res
```

See file lin\_search.mlw

# Home Work 4: Binary Search

```
low = 0; high = n - 1;
while low \le high:
let m be the middle of low and high
if a[m] = v then return m
if a[m] < v then continue search between m and high
if a[m] > v then continue search between low and m
```

See file bin\_search.mlw

## Home Work 5: "for" loops

Syntax: for  $i = e_1$  to  $e_2$  do e Typing:

- i visible only in e, and is immutable
- ▶ e₁ and e₂ must be of type int, e must be of type unit

### Operational semantics:

(assuming  $e_1$  and  $e_2$  are values  $v_1$  and  $v_2$ )

$$\frac{\textit{V}_1 > \textit{V}_2}{\Sigma, \pi, \text{for } \textit{i} = \textit{V}_1 \text{ to } \textit{V}_2 \text{ do } \textit{e} \!\rightsquigarrow\! \Sigma, \pi, ()}$$

$$v_1 \leq v_2$$

$$\Sigma, \pi, \text{for } i = \textit{V}_1 \text{ to } \textit{V}_2 \text{ do } \textit{e} \leadsto \Sigma, \pi, \ \, \begin{array}{l} (\text{let } \textit{i} = \textit{V}_1 \text{ in } \textit{e}); \\ (\text{for } \textit{i} = \textit{V}_1 + 1 \text{ to } \textit{V}_2 \text{ do } \textit{e}) \end{array}$$

### Home Work: "for" loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\mathsf{for}\; i=\mathit{V}_1\;\mathsf{to}\;\mathit{V}_2\;\mathsf{do}\;e\{?\}}$$

Propose a rule for computing the WP:

$$\operatorname{WP}(\operatorname{for} i = V_1 \operatorname{to} V_2 \operatorname{invariant} I \operatorname{do} e, Q) = ?$$

#### That's all for today, Merry Christmas!



- Next lecture on January 4th
- Several home work exercises to do
- Project text will be given on January 4th