

Separation Logic 4/4

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slides (partly) from Arthur Charguéraud

Chapter 19

Higher-order representation predicates

Overview

- 1 Higher-order predicate:

$p \rightsquigarrow \text{MList } L$ is generalized into $p \rightsquigarrow \text{Mlistof } R L$

- 2 Identity representation predicate:

$p \rightsquigarrow \text{Mlistof Id } L$ is the same as $p \rightsquigarrow \text{MList } L$

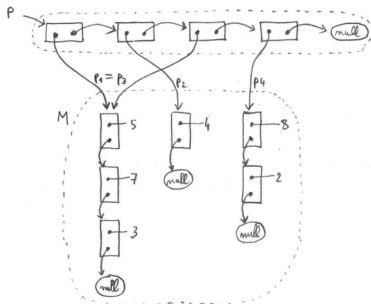
- 3 Control accesses:

$\{p \rightsquigarrow \text{MCellof Id } v_1 R_2 V_2\} (p.\text{hd}) \{\lambda x. [x = v_1] \star \dots\}$

- 4 Compose recursively:

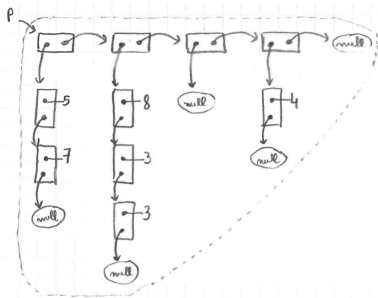
$p \rightsquigarrow \text{Nodeof } R X (\text{Mlistof } (\text{Narytreeof } R)) L$

Mutable list of possibly-aliased lists



$$p \rightsquigarrow \text{MList } K \star \left(\bigotimes_{(p_i, L_i) \in M} p_i \rightsquigarrow \text{MList } L_i \right) \star [\forall p_i \in K. p_i \in \text{dom } M]$$

Mutable list of disjoint mutable lists

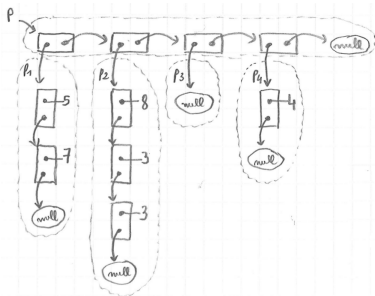


$$L = (5::7::\text{nil})::(8::3::3::\text{nil}) \\ ::(\text{nil})::(4::\text{nil})::\text{nil}$$

$$p \rightsquigarrow \text{MlistofMlist } L$$

(to be later generalized into: $p \rightsquigarrow \text{Mlistof } R L$)

Representation using iterated star



$$L = (5 :: 7 :: \text{nil}) :: (8 :: 3 :: 3 :: \text{nil}) \\ :: (\text{nil}) :: (4 :: \text{nil}) :: \text{nil}$$

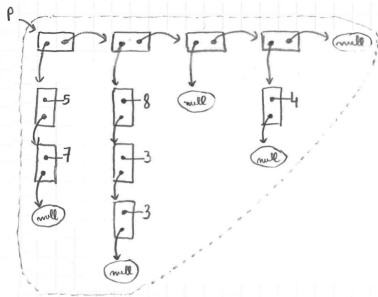
$$K = p_1 :: p_2 :: p_3 :: p_4 :: \text{nil}$$

$$p \rightsquigarrow \text{MlistofMlist } L \quad \equiv \quad \exists K. \quad p \rightsquigarrow \text{Mlist } K$$

$$\star \quad \bigotimes_{i \in [0, |L|)} (K[i]) \rightsquigarrow \text{Mlist } (L[i])$$

$$\star \quad [|K| = |L|]$$

Representation using a recursive predicate



$$L = (5 :: 7 :: \text{nil}) :: (8 :: 3 :: 3 :: \text{nil}) \\ :: (\text{nil}) :: (4 :: \text{nil}) :: \text{nil}$$

$p \rightsquigarrow \text{MlistofMlist } L \equiv \text{match } L \text{ with}$

$| \text{nil} \Rightarrow [p = \text{null}]$

$| \textcolor{red}{X} :: L' \Rightarrow \exists x p'. p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$

★ $p' \rightsquigarrow \text{MlistofMlist } L'$

★ $\textcolor{red}{x} \rightsquigarrow \textcolor{red}{\text{Mlist } X}$

Generalization to a higher-order predicate

$$\begin{aligned} p \rightsquigarrow \text{MlistofMlist } L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow [p = \text{null}] \\ &| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad \star p' \rightsquigarrow \text{MlistofMlist } L' \\ &\quad \star x \rightsquigarrow \text{Mlist } X \end{aligned}$$

Generalization:

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow [p = \text{null}] \\ &| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad \star p' \rightsquigarrow \text{Mlistof } R L' \\ &\quad \star x \rightsquigarrow R X \end{aligned}$$

In particular:

$$p \rightsquigarrow \text{MlistofMlist } L = p \rightsquigarrow \text{Mlistof Mlist } L$$

Type-checking

$p \rightsquigarrow \text{Mlistof } R L$ is a notation for $\text{Mlistof } R L p$ (of type Hprop)
 $x \rightsquigarrow R X$ is a notation for $R X x$ (of type Hprop)

$p \rightsquigarrow \text{Mlistof } R L \equiv \text{match } L \text{ with}$
 $| \text{nil} \Rightarrow [p = \text{null}]$
 $| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$
 $\star p' \rightsquigarrow \text{Mlistof } R L'$
 $\star x \rightsquigarrow R X$

Exercise: since $(p : \text{loc})$ and $(x : \text{Val})$ and $(X : A)$ for some A ,
what is the type of R ? What is the type of Mlistof ?

Type-checking

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Exercise: since $(p : \text{loc})$ and $(x : \text{Val})$ and $(X : A)$ for some A , what is the type of R ? What is the type of Mlistof ?

- $R : A \rightarrow \text{Val} \rightarrow \text{Hprop}$
- $\text{Mlistof} : \forall A. (A \rightarrow \text{Val} \rightarrow \text{Hprop}) \rightarrow \text{list } A \rightarrow \text{loc} \rightarrow \text{Hprop}$

The identity representation predicate

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star p' \rightsquigarrow \text{Mlistof } R L' \\ &\qquad \star x \rightsquigarrow R X \end{aligned}$$

$$\begin{aligned} p \rightsquigarrow \text{MList } L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | x :: L' \Rightarrow \exists p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star p' \rightsquigarrow \text{MList } L' \end{aligned}$$

Exercise: define the identity representation predicate Id such that

$$p \rightsquigarrow \text{Mlistof Id } L = p \rightsquigarrow \text{MList } L$$

The identity representation predicate

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star p' \rightsquigarrow \text{Mlistof } R L' \\ &\qquad \star x \rightsquigarrow R X \end{aligned}$$

$$\begin{aligned} p \rightsquigarrow \text{MList } L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | x :: L' \Rightarrow \exists p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star p' \rightsquigarrow \text{MList } L' \end{aligned}$$

Exercise: define the identity representation predicate Id such that

$$p \rightsquigarrow \text{Mlistof Id } L = p \rightsquigarrow \text{MList } L$$

Definition:

$$x \rightsquigarrow \text{Id } X \equiv [x = X]$$

Summary

- ① Higher-order predicate:

$p \rightsquigarrow \text{MList } L$ is generalized into $p \rightsquigarrow \text{Mlistof } R L$

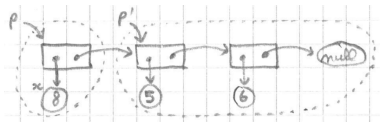
- ② Identity representation predicate:

$p \rightsquigarrow \text{MlistofId } L$ is the same as $p \rightsquigarrow \text{MList } L$

Chapter 20

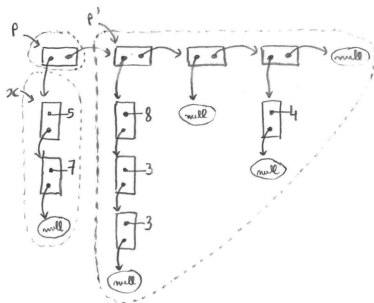
Higher-order representation predicates and the access problem

Specification of construction, for basic values



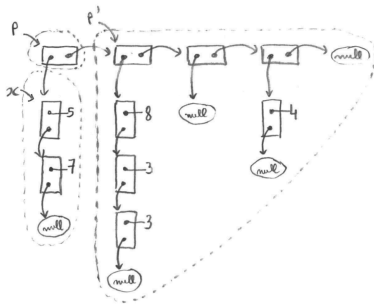
$$\{p' \rightsquigarrow \text{MList } L\} (\text{cons } x \ p') \ \{\lambda p. \ p \rightsquigarrow \text{MList } (x :: L)\}$$

Specification of construction



$$\{x \rightsquigarrow R X \star p' \rightsquigarrow \text{Mlistof } R L\} (\text{cons } x p') \{\lambda p. p \rightsquigarrow \text{Mlistof } R (X :: L)\}$$

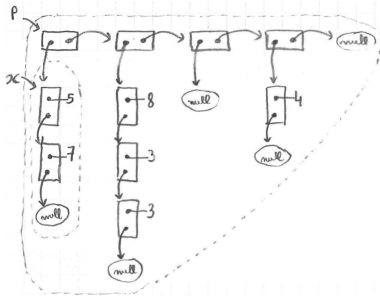
Specification of deconstruction



$$\{p \rightsquigarrow \text{Mlistof } R(X :: L)\} (\text{uncons } p)$$

$$\{\lambda(x, p'). x \rightsquigarrow R X \star p' \rightsquigarrow \text{Mlistof } R L\}$$

Specification of accesses: the problem

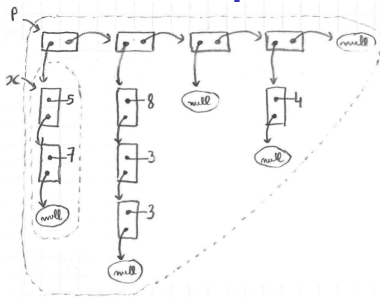


Incorrect specification for head:

$$\{p \rightsquigarrow \text{Mlistof } R(X :: L)\} (\text{head } p)$$

$$\{\lambda x. x \rightsquigarrow R X \star p \rightsquigarrow \text{Mlistof } R(X :: L)\}$$

Specification of accesses: a partial solution



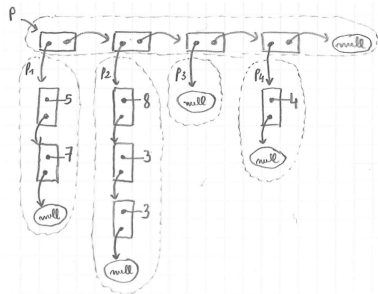
Correct yet limited specification:

$$\{p \rightsquigarrow \text{Mlistof } R(X :: L)\} (\text{head } p) \\ \{ \lambda x. x \rightsquigarrow R X \star (x \rightsquigarrow R X \rightarrow p \rightsquigarrow \text{Mlistof } R(X :: L)) \}$$

An intuition for $(\rightarrow)$ is: $(H \star (H \rightarrow H')) \triangleright H'$. More precisely:

$$\frac{H_1 \star H_2 \vdash H_3}{H_1 \vdash (H_2 \rightarrow H_3)} \quad \frac{H_1 \vdash (H_2 \rightarrow H_3) \quad H_4 \vdash H_2}{H_1 \star H_4 \vdash H_3}$$

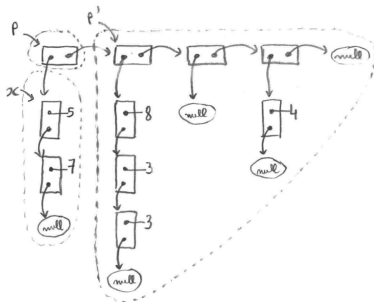
Specification of accesses: a brute force solution



$$p \rightsquigarrow \text{Mlistof } R L \quad = \quad \exists K. \quad p \rightsquigarrow \text{MList } K$$

- ★ $\bigotimes_{i \in [0, |L|)} (K[i]) \rightsquigarrow R(L[i])$
- ★ $[|K| = |L|]$

Specification of accesses: focus before read



$$p \rightsquigarrow \text{Mlistof } R (X :: L) = \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$$

$$\star \quad x \rightsquigarrow R X$$

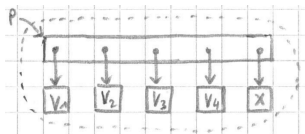
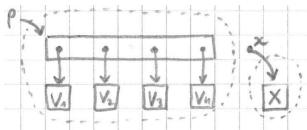
$$\star \quad p' \rightsquigarrow \text{Mlistof } R L'$$

Then read using:

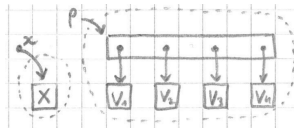
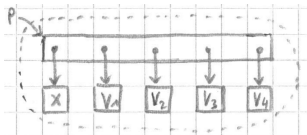
$$\{p \mapsto \{\text{hd}=x; \text{tl}=p'\}\} (p.\text{hd}) \{\lambda y. [y = x] \star p \mapsto \{\text{hd}=x; \text{tl}=p'\}\}$$

Ownership transfer with a queue of mutable items

Push:



Pop:



Specification of queues of basic items

$$\{[]\} (\text{create}()) \{\lambda p. p \rightsquigarrow \text{Queue nil}\}$$
$$\{p \rightsquigarrow \text{Queue } L\} (\text{push } x \text{ } p) \{\lambda_. p \rightsquigarrow \text{Queue } (L \& x)\}$$
$$\{p \rightsquigarrow \text{Queue } (x :: L)\} (\text{pop } p) \{\lambda r. [r = x] \star p \rightsquigarrow \text{Queue } L\}$$
$$\{p \rightsquigarrow \text{Queue } L \star p' \rightsquigarrow \text{Queue } L'\} (\text{concat } p \text{ } p') \{\lambda_. p \rightsquigarrow \text{Queue } (L \text{++} L')\}$$

Specification of queues of mutable items

Exercise: specify functions over queues using a higher-order representation predicate written $p \rightsquigarrow \text{Queueof } R \ L$.

Shorthand: just write “Q R ” instead of “Queueof R ”.

Specification of queues of mutable items

Exercise: specify functions over queues using a higher-order representation predicate written $p \rightsquigarrow \text{Queueof } R \ L$.

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$\{\{\}\} (\text{create}()) \{\lambda p. p \rightsquigarrow \text{Queueof } R \ \text{nil}\}$

Specification of queues of mutable items

Exercise: specify functions over queues using a higher-order representation predicate written $p \rightsquigarrow \text{Queueof } R \ L$.

Shorthand: just write “Q R ” instead of “Queueof R ”.

$\{\llbracket \rrbracket\} (\text{create}()) \{\lambda p. p \rightsquigarrow \text{Queueof } R \ \text{nil}\}$

$\{p \rightsquigarrow \text{Queueof } R \ L \star x \rightsquigarrow R \ X\} (\text{push } x \ p) \{\lambda -. p \rightsquigarrow \text{Queueof } R \ (L \& X)\}$

Specification of queues of mutable items

Exercise: specify functions over queues using a higher-order representation predicate written $p \rightsquigarrow \text{Queueof } R L$.

Shorthand: just write “Q R ” instead of “Queueof R ”.

$$\{[]\} (\text{create}()) \{\lambda p. p \rightsquigarrow \text{Queueof } R \text{ nil}\}$$
$$\{p \rightsquigarrow \text{Queueof } R L \star x \rightsquigarrow R X\} (\text{push } x p) \{\lambda_. p \rightsquigarrow \text{Queueof } R (L \& X)\}$$
$$\{p \rightsquigarrow \text{Queueof } R (X :: L)\} (\text{pop } p) \{\lambda x. p \rightsquigarrow \text{Queueof } R L \star x \rightsquigarrow R X\}$$

Specification of queues of mutable items

Exercise: specify functions over queues using a higher-order representation predicate written $p \rightsquigarrow \text{Queueof } R L$.

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$$\{[]\} (\text{create}()) \{\lambda p. p \rightsquigarrow \text{Queueof } R \text{ nil}\}$$
$$\{p \rightsquigarrow \text{Queueof } R L \star x \rightsquigarrow R X\} (\text{push } x p) \{\lambda_. p \rightsquigarrow \text{Queueof } R (L \& X)\}$$
$$\{p \rightsquigarrow \text{Queueof } R (X :: L)\} (\text{pop } p) \{\lambda x. p \rightsquigarrow \text{Queueof } R L \star x \rightsquigarrow R X\}$$
$$\{p \rightsquigarrow \text{Queueof } R L \star p' \rightsquigarrow \text{Queueof } R L'\} (\text{concat } p p') \\ \{\lambda_. p \rightsquigarrow \text{Queueof } R (L \uplus L')\}$$

The copy problem

Incorrect specification for copy:

$$\begin{aligned} & \{p \rightsquigarrow \text{Queueof } R\ L\} \\ & (\text{copy } p) \\ & \{\lambda p'. p \rightsquigarrow \text{Queueof } R\ L \star p' \rightsquigarrow \text{Queueof } R\ L\} \end{aligned}$$

Exercise: specify a function $\text{copy } f\ p$ that duplicates a mutable queue specified using Queueof , where f is a function to duplicate items.

The copy problem

Incorrect specification for copy:

$$\begin{aligned} & \{p \rightsquigarrow \text{Queueof } R L\} \\ & (\text{copy } p) \\ & \{\lambda p'. p \rightsquigarrow \text{Queueof } R L \star p' \rightsquigarrow \text{Queueof } R L\} \end{aligned}$$

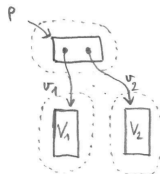
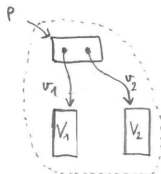
Exercise: specify a function $\text{copy } f p$ that duplicates a mutable queue specified using Queueof , where f is a function to duplicate items.

$$\begin{aligned} & (\forall x X. \{x \rightsquigarrow R X\} (f x) \{\lambda x'. x \rightsquigarrow R X \star x' \rightsquigarrow R X\}) \\ \Rightarrow & \{p \rightsquigarrow \text{Queueof } R L\} \\ & (\text{copy } f p) \\ & \{\lambda p'. p \rightsquigarrow \text{Queueof } R L \star p' \rightsquigarrow \text{Queueof } R L\} \end{aligned}$$

Chapter 21

Higher-order representation predicates for records

Representation for records



$$p \rightsquigarrow \text{MCellof } R_1 V_1 R_2 V_2 \equiv \exists v_1 v_2. \quad p \rightsquigarrow \{\text{hd}=v_1; \text{tl}=v_2\}$$

$$\star v_1 \rightsquigarrow R_1 V_1$$

$$\star v_2 \rightsquigarrow R_2 V_2$$

Representation predicate for lists, revisited

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star x \rightsquigarrow R X \\ &\qquad \star p' \rightsquigarrow \text{Mlistof } R L' \\ p \rightsquigarrow \text{MCellof } R_1 V_1 R_2 V_2 &\equiv \exists v_1 v_2. \quad p \rightsquigarrow \{\text{hd}=v_1; \text{tl}=v_2\} \\ &\qquad \star v_1 \rightsquigarrow R_1 V_1 \\ &\qquad \star v_2 \rightsquigarrow R_2 V_2 \end{aligned}$$

Exercise: rewrite the specification of Mlistof using MCellof.

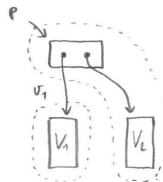
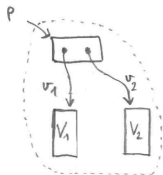
Representation predicate for lists, revisited

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\qquad \star x \rightsquigarrow R X \\ &\qquad \star p' \rightsquigarrow \text{Mlistof } R L' \\ p \rightsquigarrow \text{MCellof } R_1 V_1 R_2 V_2 &\equiv \exists v_1 v_2. \quad p \rightsquigarrow \{\text{hd}=v_1; \text{tl}=v_2\} \\ &\qquad \star v_1 \rightsquigarrow R_1 V_1 \\ &\qquad \star v_2 \rightsquigarrow R_2 V_2 \end{aligned}$$

Exercise: rewrite the specification of Mlistof using MCellof.

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &\quad | \text{nil} \Rightarrow [p = \text{null}] \\ &\quad | X :: L' \Rightarrow p \rightsquigarrow \text{MCellof } R X (\text{Mlistof } R) L' \end{aligned}$$

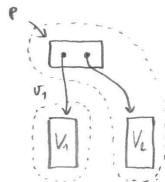
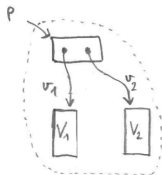
Focus/unfocus for accessing a record field



Focus on a field:

$$p \rightsquigarrow \text{MCellof } R_1 V_1 R_2 V_2 = \exists v_1. p \rightsquigarrow \text{MCellof Id } v_1 R_2 V_2 \star v_1 \rightsquigarrow R_1 V_1$$

Focus/unfocus for accessing a record field



Focus on a field:

$$p \rightsquigarrow \text{MCellof } R_1 \ V_1 \ R_2 \ V_2 \quad = \quad \exists v_1. \ p \rightsquigarrow \text{MCellof Id } v_1 \ R_2 \ V_2 \ \star \ v_1 \rightsquigarrow R_1 \ V_1$$

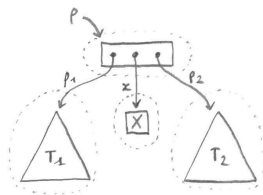
Access to a focused field:

$$\begin{aligned} &\{p \rightsquigarrow \text{MCellof Id } v_1 \ R_2 \ V_2\} \ (p.\text{hd}) \ \{\lambda x. [x = v_1] \ \star \ p \rightsquigarrow \text{MCellof Id } v_1 \ R_2 \ V_2\} \\ &\{p \rightsquigarrow \text{MCellof Id } v_1 \ R_2 \ V_2\} \ (p.\text{hd} \leftarrow w) \ \{\lambda_. \ p \rightsquigarrow \text{MCellof Id } w \ R_2 \ V_2\} \end{aligned}$$

Chapter 22

Higher-order representation predicates for trees

Binary tree: representation



$p \rightsquigarrow \text{Mtreeof } RT \equiv \text{match } T \text{ with}$

| Leaf $\Rightarrow [p = \text{null}]$

| Node $X T_1 T_2 \Rightarrow \exists x p_1 p_2.$

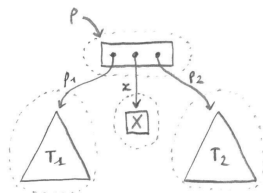
$p \mapsto \{\text{item}=x; \text{left}=p_1; \text{right}=p_2\}$

★ $x \rightsquigarrow R X$

★ $p_1 \rightsquigarrow \text{Mtreeof } R T_1$

★ $p_2 \rightsquigarrow \text{Mtreeof } R T_2$

Binary tree: representation, revisited

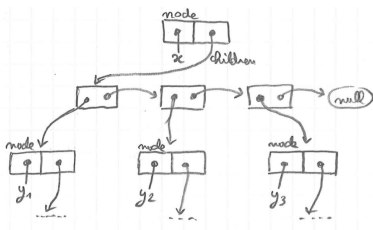


Representation predicate for tree cells:

$$\begin{aligned}
 p \rightsquigarrow \text{Nodeof } R_1 V_1 R_2 V_2 R_3 V_3 &\equiv \\
 \exists v_1 v_2 v_3. \quad p \mapsto \{\text{item}=v_1; \text{left}=v_2; \text{right}=v_3\} \\
 \star v_1 \rightsquigarrow R_1 V_1 \star v_2 \rightsquigarrow R_2 V_2 \star v_3 \rightsquigarrow R_3 V_3
 \end{aligned}$$

$$\begin{aligned}
 p \rightsquigarrow \text{Mtreeof } R T &\equiv \text{match } T \text{ with} \\
 &\quad | \text{Leaf} \Rightarrow [p = \text{null}] \\
 &\quad | \text{Node } X T_1 T_2 \Rightarrow \\
 &\quad \quad p \rightsquigarrow \text{Nodeof } R X (\text{Mtreeof } R) T_1 (\text{Mtreeof } R) T_2
 \end{aligned}$$

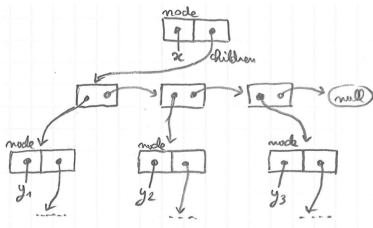
Trees with list of subtrees: implementation



```
type 'a node = {  
  mutable item : 'a;  
  mutable children : ('a node) cell };
```

```
Inductive tree (A:Type) : Type :=  
  | Leaf : tree A  
  | Node : A → list (tree A) → tree A.
```

Trees with list of subtrees: specification



$p \rightsquigarrow \text{Narytreeof } R T \equiv$

match T with

| Leaf $\Rightarrow [p = \text{null}]$

| Node $X L \Rightarrow \exists xc. \quad p \mapsto \{\text{item}=x; \text{children}=c\}$

★ $x \rightsquigarrow R X$

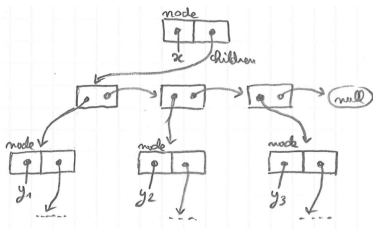
★ $c \rightsquigarrow \text{Mlistof } (\text{Narytreeof } R) L$

Trees with list of subtrees: representation of nodes

$$\begin{aligned} p \rightsquigarrow \text{Nodeof } R_1 V_1 R_2 V_2 &\equiv \\ \exists v_1 v_2. \quad p \mapsto \{\text{item}=v_1; \text{children}=v_2\} & \\ \star v_1 \rightsquigarrow R_1 V_1 & \\ \star v_2 \rightsquigarrow R_2 V_2 & \end{aligned}$$

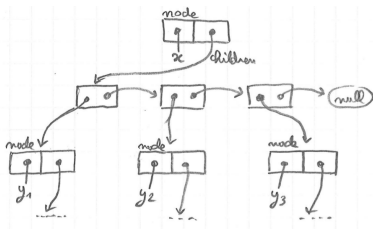
$$\begin{aligned} p \rightsquigarrow \text{Narytreeof } R T &\equiv \\ \text{match } T \text{ with} & \\ | \text{Leaf} \Rightarrow [p = \text{null}] & \\ | \text{Node } X L \Rightarrow \exists x c. \quad p \mapsto \{\text{item}=x; \text{children}=c\} & \\ \star x \rightsquigarrow R X & \\ \star c \rightsquigarrow \text{Mlistof } (\text{Narytreeof } R) L & \end{aligned}$$

Trees with list of subtrees, revisited



Exercise: rewrite the specification of Narytreeof using Nodeof.

Trees with list of subtrees, revisited



Exercise: rewrite the specification of Narytreeof using Nodeof.

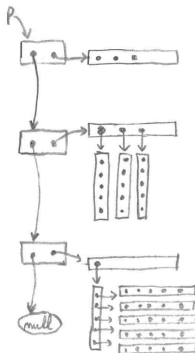
$p \rightsquigarrow \text{Narytreeof } RT \equiv$

match T with

| Leaf $\Rightarrow [p = \text{null}]$

| Node $X L \Rightarrow p \rightsquigarrow \text{Nodeof } R X (\text{Mlistof } (\text{Narytreeof } R)) L$

More involved application



- Exam from 2015, Exercise 3: bootstrapped chunked bags.

Available from the webpage of the course.

Chapter 23

Iteration with higher-order representation predicates

Iteration on lists

Recall:

$$\begin{aligned} \forall f l I. \quad & (\forall x k. \{I\ k\} (f\ x) \{\lambda_. I\ (k\&x)\}) \\ \Rightarrow \quad & \{I\ \text{nil}\} (\text{iter}\ f\ l) \{\lambda_. I\ l\} \end{aligned}$$

$$\begin{aligned} \forall f p l I. \quad & (\forall x k. \{I\ k\} (f\ x) \{\lambda_. I\ (k\&x)\}) \\ \Rightarrow \quad & \{p \rightsquigarrow \text{MList } l \star I\ \text{nil}\} (\text{miter}\ f\ p) \{\lambda_. p \rightsquigarrow \text{MList } l \star I\ l\} \end{aligned}$$

Iteration on lists

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$$\begin{aligned} & \forall f p l I. \quad (\forall x k. \{I\ k\} (f\ x) \{\lambda_. I\ (k\&x)\}) \\ & \Rightarrow \quad \{p \rightsquigarrow \text{MList } l \star I\ \text{nil}\} (\text{miter}\ f\ p) \{\lambda_. p \rightsquigarrow \text{MList } l \star I\ l\} \end{aligned}$$

Challenge:

$$\begin{aligned} & (\forall x\ \dots \ \{\dots\} (f\ x) \{\lambda_. \dots\}) \\ & \Rightarrow \quad \{p \rightsquigarrow \text{Mlistof } R\ L \star \dots\} (\text{miter}\ f\ p) \{\lambda_. p \rightsquigarrow \dots \star \dots\} \end{aligned}$$

Question: Can we use an invariant $I \equiv \lambda K. (\dots)$?

(i.e. with a spec of the form $\{p \rightsquigarrow \dots \star I\ \text{nil}\}(\dots)\{p \rightsquigarrow \dots \star I\ L\} \ ?$)

Iterating over a mutable list of mutable items

Exercise: specify the function `miter`, using an invariant of the form $J \ K \ K'$, describing the state before and the state after the iteration.

Iterating over a mutable list of mutable items

Exercise: specify the function `miter`, using an invariant of the form $J\ K\ K'$, describing the state before and the state after the iteration.

$$\begin{aligned} \forall f p R L J. \quad & \left(\forall x X K K'. \{x \rightsquigarrow R\ X \star J\ K\ K'\} \right. \\ & \quad (f\ x) \\ & \quad \left. \{ \lambda _. \exists X'. x \rightsquigarrow R\ X' \star J\ (K \& X)\ (K' \& X') \} \right) \\ \Rightarrow \quad & \{ p \rightsquigarrow \text{Mlistof}\ R\ L \star J\ \text{nil}\ \text{nil} \} \\ & \quad (\text{miter}\ f\ p) \\ & \quad \{ \lambda _. \exists L'. p \rightsquigarrow \text{Mlistof}\ R\ L' \star J\ L\ L' \} \end{aligned}$$

Incrementing a mutable list of distinct references (1/2)

```
let incr_all p =  
  miter (fun x -> incr x) p  
  
let example_p =  
  { hd = ref 5; tl = { hd = ref 3; tl = null } }
```

$$x \rightsquigarrow \text{Ref } X \equiv x \mapsto X$$

Exercise: using the representation predicates `Ref` and `Mlistof`, specify the function `(fun x -> incr x)` and `incr_all`. What is $J \ K \ K'$?

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$$\{x \rightsquigarrow \text{Ref } X\} (\text{incr } x) \{\lambda_. x \rightsquigarrow \text{Ref } (X + 1)\}$$

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$$\{x \rightsquigarrow \text{Ref } X\} (\text{incr } x) \{\lambda_. x \rightsquigarrow \text{Ref } (X + 1)\}$$

$$\{p \rightsquigarrow \text{Mlistof Ref } L\} (\text{incr_all } p) \{\lambda_. p \rightsquigarrow \text{Mlistof Ref } (\text{map } (+1) L)\}$$

Incrementing a mutable list of distinct references (2/2)

$$\begin{aligned}
 & \forall f p R L J. \quad \left(\forall x X K K'. \{x \rightsquigarrow R X \star J K K'\} \right. \\
 & \qquad \qquad \qquad (f x) \\
 & \qquad \qquad \qquad \left. \left\{ \lambda _. \exists X'. x \rightsquigarrow R X' \star J (K \& X) (K' \& X') \right\} \right) \\
 & \Rightarrow \quad \{p \rightsquigarrow \text{Mlistof } R L \star J \text{ nil nil}\} \\
 & \qquad (\text{miter } f p) \\
 & \qquad \left\{ \lambda _. \exists L'. p \rightsquigarrow \text{Mlistof } R L' \star J L L' \right\}
 \end{aligned}$$

Consider:

$$J K K' \equiv [K' = \text{map } (+1) K]$$

Derives:

$$\begin{aligned}
 & \left(\forall x X. \{x \rightsquigarrow \text{Ref } X\} (\text{fun } x \rightarrow \text{incr } x) x \left\{ \lambda _. x \rightsquigarrow \text{Ref } (X + 1) \right\} \right) \Rightarrow \\
 & \{p \rightsquigarrow \text{Mlistof Ref } L\} (\text{incr_all } p) \left\{ \lambda _. p \rightsquigarrow \text{Mlistof Ref } (\text{map } (+1) L) \right\}
 \end{aligned}$$

Chapter 24

Resource analysis in Separation Logic

Controlling deallocation

(1) Remove the garbage collection rule:

$$\frac{\{H\} t \{Q \star \text{GC}\}}{\{H\} t \{Q\}} \text{GC-POST}$$

(2) Add a “free” function for explicit deallocation:

$$\{r \mapsto v\} (\text{free } r) \{\lambda_. []\}$$

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(3) Theorem: for a full program execution starting in the empty heap, all the data still allocated at the end is described in the post-condition.

(4) Corollary: terminating on the empty heap ensures no memory leaks.

$$\{[]\} t \{\lambda n. [P\ n]\}$$

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(4) Corollary: terminating on the empty heap ensures no memory leaks.

$$\{[]\} t \{\lambda n. [P\ n]\}$$

(note: a separation logic with GC can be called “affine” or “intuitionistic”)

File handle protocols

Goal: ensure that if a file is open then it is eventually closed.

$$f \rightsquigarrow \text{File } L$$

where $(f : \text{loc})$ denotes the file handler,
and $(L : \text{list char})$ denotes the remaining bytes to read.

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$$\{[]\} \text{ (fopen s) } \{\lambda f. \exists L. f \rightsquigarrow \text{File } L\}$$

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$$\begin{aligned} & \{[]\} \text{ (fopen s) } \{\lambda f. \exists L. f \rightsquigarrow \text{File } L\} \\ & \{f \rightsquigarrow \text{File } (c :: L)\} \text{ (fread f) } \{\lambda x. [x = c] \star f \rightsquigarrow \text{File } L\} \end{aligned}$$

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Complexity analysis

Time credits:

$$\$x : \text{Hprop} \quad \text{where } x \in \mathbb{R}^+$$

Properties:

$$\$(x + y) = \$x \star \$y \quad \text{and} \quad \$0 = []$$

Complexity analysis

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$$\$(x) : \text{Hprop} \quad \text{where } x \in \mathbb{R}^+$$

Properties:

$$\$(x + y) = \$x \star \$y \quad \text{and} \quad \$0 = []$$

Principle:

The execution of every instruction costs \$1.

Simplification:

Entering the body of a function or a loop costs \$1.

Time credits in pre-conditions

Constant time:

$$\{t \rightsquigarrow \text{Array } M \star \$c\} (\text{Array.length } t) \{ \lambda n. [n = |M|] \star t \rightsquigarrow \text{Array } M \}$$

Time credits in pre-conditions

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$$\{t \rightsquigarrow \text{Array } M \star \$c\} (\text{Array.length } t) \{ \lambda n. [n = |M|] \star t \rightsquigarrow \text{Array } M \}$$

Linear time:

$$\{ \$ (c_1 n + c_2) \} (\text{Array.make } n \ v) \{ \lambda t. \exists L. t \rightsquigarrow \text{Array } L \star [\dots] \}$$

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$$\{$(c_1 n + c_2)$\} (\text{Array.make } n \ v) \{ \lambda t. \exists L. t \rightsquigarrow \text{Array } L \star [\dots] \}$$

Quasilinear time:

$$\begin{aligned} & \{t \rightsquigarrow \text{Array } L \star $(c_1 |L| \log |L| + c_2)$\} \\ & (\text{Array.sort } t) \\ & \{ \lambda t. \exists L'. t \rightsquigarrow \text{Array } L' \star [\dots] \} \end{aligned}$$

Amortized analysis

Stack of unbounded size with amortized constant-time operations:

$\{\$c\}$ $(\text{Stack.create}()) \{\lambda_. s \rightsquigarrow \text{Stack nil}\}$

$\{s \rightsquigarrow \text{Stack } L \star \$c\}$ $(\text{Stack.push } s \ x) \{\lambda_. s \rightsquigarrow \text{Stack } (x :: L)\}$

$\{s \rightsquigarrow \text{Stack } (x :: L) \star \$c\}$ $(\text{Stack.pop } s) \{\lambda y. [y = x] \star s \rightsquigarrow \text{Stack } L\}$

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$$\begin{aligned} \{\$c\} & \quad (\text{Stack.create}()) \{ \lambda_. s \rightsquigarrow \text{Stack nil} \} \\ \{s \rightsquigarrow \text{Stack } L \star \$c\} & \quad (\text{Stack.push } s \ x) \{ \lambda_. s \rightsquigarrow \text{Stack } (x :: L) \} \\ \{s \rightsquigarrow \text{Stack } (x :: L) \star \$c\} & \quad (\text{Stack.pop } s) \quad \{ \lambda y. [y = x] \star s \rightsquigarrow \text{Stack } L \} \end{aligned}$$

Representation predicate with a potential function:

$$\begin{aligned} s \rightsquigarrow \text{Stack } L \quad \equiv \quad \exists ntMk. \quad & s \mapsto \{\text{size}=n; \text{data}=t\} \\ & \star t \rightsquigarrow \text{Array } M \\ & \star [n = |L| \leq |M| = 2^k] \\ & \star [\forall i \in [0, n). M[i] = L[i]] \\ & \star \$ (c' \cdot \text{abs}(n - |M|/2)) \end{aligned}$$

Space complexity analysis

Suppose $\diamond 1$ represents one *space credit* (Hoffmann, 1999)

Then allocation consumes credits; deallocation produces credits :

$$\begin{array}{lcl} \{\diamond \text{size}(b)\} & \text{alloc}(b) & \{\lambda x. x \mapsto b\} \\ \{x \mapsto b\} & \text{free}(x) & \{\lambda_. \diamond \text{size}(b)\} \end{array}$$

The same mechanisms apply (e.g. $\diamond(a + b) = \diamond a \star \diamond b$, amortized analysis, etc.).

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The same mechanisms apply (e.g. $\diamond(a + b) = \diamond a \star \diamond b$, amortized analysis, etc.).

What if we add **garbage collection** ?

Chapter 25

Read-only permissions

Motivation for read-only permissions

What we currently need to write:

$$\{a_1 \rightsquigarrow \text{Array } L_1 \star a_2 \rightsquigarrow \text{Array } L_2\}$$

$$(\text{concat } a_1 a_2)$$

$$\{\lambda a_3. a_3 \rightsquigarrow \text{Array } (L_1 \upharpoonright L_2) \star a_1 \rightsquigarrow \text{Array } L_1 \star a_2 \rightsquigarrow \text{Array } L_2\}$$

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$$\begin{aligned} & \{a_1 \rightsquigarrow \text{Array } L_1 \star a_2 \rightsquigarrow \text{Array } L_2\} \\ & (\text{concat } a_1 \ a_2) \\ & \{\lambda a_3. \ a_3 \rightsquigarrow \text{Array } (L_1 \upharpoonright L_2) \star a_1 \rightsquigarrow \text{Array } L_1 \star a_2 \rightsquigarrow \text{Array } L_2\} \end{aligned}$$

What we wish to write:

$$\begin{aligned} & \{a_1 \overset{\text{ro}}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\text{ro}}{\rightsquigarrow} \text{Array } L_2\} \\ & (\text{concat } a_1 \ a_2) \\ & \{\lambda a_3. \ a_3 \rightsquigarrow \text{Array } (L_1 \upharpoonright L_2)\} \end{aligned}$$

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What we wish to write:

$$\begin{aligned} & \{a_1 \overset{\text{ro}}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\text{ro}}{\rightsquigarrow} \text{Array } L_2\} \\ & (\text{concat } a_1 \ a_2) \\ & \{\lambda a_3. \ a_3 \rightsquigarrow \text{Array } (L_1 \upharpoonright L_2)\} \end{aligned}$$

More than syntactic sugar:

- we wish “ro” to enforce no write operations,
- we wish to allow aliasing of read-only arguments.

Fractional permissions

$$(r \overset{\alpha}{\mapsto} v) \quad \text{with } 0 < \alpha \leq 1$$

Splitting and merging:

$$(r \mapsto v) = (r \overset{1}{\mapsto} v) = (r \overset{1/2}{\mapsto} v) \star (r \overset{1/2}{\mapsto} v)$$

More generally, if $0 < \alpha, \beta \leq 1$:

$$(r \overset{\alpha+\beta}{\mapsto} v) = (r \overset{\alpha}{\mapsto} v) \star (r \overset{\beta}{\mapsto} v)$$

Fractional permissions

$$(r \mapsto^\alpha v) \quad \text{with } 0 < \alpha \leq 1$$

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More generally, if $0 < \alpha, \beta \leq 1$:

$$(r \mapsto^{\alpha+\beta} v) = (r \mapsto^\alpha v) \star (r \mapsto^\beta v)$$

Values must agree: if $0 < \alpha, \beta \leq 1$:

$$\left((r \mapsto^\alpha v) \star (r \mapsto^\beta w) \right) \triangleright \left((r \mapsto^\alpha v) \star (r \mapsto^\beta w) \star [v = w] \right)$$

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Values must agree: if $0 < \alpha, \beta \leq 1$:

$$\left((r \mapsto^\alpha v) \star (r \mapsto^\beta w) \right) \triangleright \left((r \mapsto^\alpha v) \star (r \mapsto^\beta w) \star [v = w] \right)$$

Operations:

$$\begin{aligned} & \{[]\} \text{ (ref } v) \{ \lambda r. r \mapsto^1 v \} \\ & \{ r \mapsto^1 v' \} (r := v) \{ \lambda _. r \mapsto^1 v \} \\ \forall \alpha. \quad & \{ r \mapsto^\alpha v \} \text{ (!} r \text{)} \{ \lambda x. [x = v] \star (r \mapsto^\alpha v) \} \end{aligned}$$

Fractional permissions in practice

$$\begin{aligned} \forall \alpha \beta. \{ & a_1 \overset{\alpha}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\beta}{\rightsquigarrow} \text{Array } L_2 \} \\ & (\text{concat } a_1 \ a_2) \\ \{ & \lambda a_3. \ a_1 \overset{\alpha}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\beta}{\rightsquigarrow} \text{Array } L_2 \star a_3 \overset{1}{\rightsquigarrow} \text{Array } (L_1 \text{ ++ } L_2) \} \end{aligned}$$

Fractional permissions in practice

$$\begin{aligned} \forall \alpha \beta. \{ & a_1 \overset{\alpha}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\beta}{\rightsquigarrow} \text{Array } L_2 \} \\ & (\text{concat } a_1 \ a_2) \\ \{ & \lambda a_3. \ a_1 \overset{\alpha}{\rightsquigarrow} \text{Array } L_1 \star a_2 \overset{\beta}{\rightsquigarrow} \text{Array } L_2 \star a_3 \overset{1}{\rightsquigarrow} \text{Array } (L_1 \uplus L_2) \} \end{aligned}$$

Limitations:

- need to quantify fractions explicitly,
- need to syntactic sugar to avoid copy-pasting,
- need to re-establish post-conditions,
- a fraction $\frac{1}{2}H$ cannot be defined for arbitrary H .

Generic read-only modifier

Extension of the logic with a modifier $\text{RO}(H)$ that applies to any H .

$$a \overset{\text{ro}}{\rightsquigarrow} \text{Array } L \equiv \text{RO}(a \rightsquigarrow \text{Array } L)$$

$\text{RO}(H)$ is duplicatable and never mentioned in post-conditions.

$$\frac{}{\text{RO}(H) \triangleright \text{RO}(H) \star \text{RO}(H)} \text{DUP-RO}$$

$$\frac{}{\{\text{RO}(l \mapsto v)\} (\text{get } l) \{\lambda x. [x = v]\}} \text{GET-RO}$$

Read-only frame rule

$\text{RO}(H)$ is introduced on frame:

$$\frac{\{H \star \text{RO}(H')\} t \{Q\} \quad \text{no-ro-in } H'}{\{H \star H'\} t \{Q \star H'\}} \text{FRAME-RO}$$

Read-only sequencing rule

$$\frac{\{H\} t_1 \{Q'\} \quad \{Q'()\} t_2 \{Q\}}{\{H\} (t_1 ; t_2) \{Q\}} \text{SEQ}$$

$$\frac{\{H \star \text{RO}(H')\} t_1 \{Q'\} \quad \{Q'() \star \text{RO}(H')\} t_2 \{Q\}}{\{H \star \text{RO}(H')\} (t_1 ; t_2) \{Q\}} \text{SEQ-RO}$$

$$\frac{\{H\} t_1 \{Q'\} \quad \{Q'() \star H'\} t_2 \{Q\}}{\{H \star H'\} (t_1 ; t_2) \{Q\}} \text{SEQ-FRAME}$$

RO in practice

$$\begin{aligned} & \{ \text{RO}(a_1 \rightsquigarrow \text{Array } L_1) \star \text{RO}(a_2 \rightsquigarrow \text{Array } L_2) \} \\ & (\text{concat } a_1 \ a_2) \\ & \{ \lambda a_3. \ a_3 \rightsquigarrow \text{Array } (L_1 \mathbin{++} L_2) \} \end{aligned}$$

Chapter 26

Parallelism and Concurrency

Parallel pairs

A parallel pair, written $(|t_1, t_2|)$, for evaluating two subterms in parallel.
(Note: one often sees $t_1 || t_2$ for $\text{let } ((), ()) = (|t_1, t_2|) \text{ in } ()$.)

Computing: $a[i] + a[i + 1] + \dots + a[j - 1]$.

```
let rec sum a i j =  
  if j - i = 1 then a.(i) else begin  
    let m = (i+j) / 2 in  
    let (s1,s2) = (| sum a i m, sum a m j |) in  
    s1 + s2  
  end
```

Efficient use of parallel pairs with granularity control

```
let rec sum a i j =  
  if j - i < sequential_cutoff then begin  
    let r = ref 0 in  
    for k = i to j-1 do  
      r := !r + a.(k)  
    done;  
    !r  
  end else begin  
    let m = (i+j) / 2 in  
    let (s1,s2) = (| sum a i m, sum a m j |) in  
      s1 + s2  
  end
```

Efficient use of parallel pairs with granularity control

```
let rec sum a i j =  
  if j - i < sequential_cutoff then begin  
    let r = ref 0 in  
    for k = i to j-1 do  
      r := !r + a.(k)  
    done;  
    !r  
  end else begin  
    let m = (i+j) / 2 in  
    let (s1,s2) = (| sum a i m, sum a m j |) in  
      s1 + s2  
  end
```

Generalizable to map-reduce: $f(t[0]) \oplus f(a[1]) \oplus \dots \oplus f(a[n-1])$.
(on which condition on $\oplus$?)

Reasoning rule for parallel pairs

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 \star H_2\} (|t_1, t_2|) \{Q_1 \star Q_2\}} \text{ PARALLEL}$$

where $Q_1 \star Q_2 \equiv \lambda(x_1, x_2). Q_1 x_1 \star Q_2 x_2$

Reasoning rule for parallel pairs

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 \star H_2\} (|t_1, t_2|) \{Q_1 \star Q_2\}} \text{ PARALLEL}$$

where $Q_1 \star Q_2 \equiv \lambda(x_1, x_2). Q_1 x_1 \star Q_2 x_2$

This rule restricts parallel threads to act on disjoint parts of memory.
(No need for non-interference conditions.)

Parallel rule needs read-only permissions

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 \star H_2\} (|t_1, t_2|) \{Q_1 \star Q_2\}} \text{PARALLEL}$$

Compute: $u[a[0]] + u[a[1]] + \dots + u[a[n-1]]$.

```
map_reduce (fun x -> u.(x)) 0 (+) 0 n
```

The ownership of the array u is needed in both branches.

Parallel rule needs read-only permissions

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 \star H_2\} (|t_1, t_2|) \{Q_1 \star Q_2\}} \text{PARALLEL}$$

Compute: $u[a[0]] + u[a[1]] + \dots + u[a[n-1]]$.

```
map_reduce (fun x -> u.(x)) 0 (+) 0 n
```

The ownership of the array u is needed in both branches.

$$\frac{\{H_1 \star \text{RO}(H_3)\} t_1 \{Q_1\} \quad \{H_2 \star \text{RO}(H_3)\} t_2 \{Q_2\}}{\{H_1 \star H_2 \star \text{RO}(H_3)\} (|t_1, t_2|) \{Q_1 \star Q_2\}} \text{PARALLEL-RO}$$

Concurrent locks: example

```
let r = ref 0
let s = ref n
let p = create_lock()

let concurrent_step () =
  let () = acquire_lock p in
  incr r;
  decr s;
  release_lock p
```

Concurrent locks: example

```
let r = ref 0
let s = ref n
let p = create_lock()

let concurrent_step () =
  let () = acquire_lock p in
  incr r;
  decr s;
  release_lock p
```

Heap predicate $p \rightsquigarrow \text{Lock } H$ asserts that lock p protects an invariant H .
Here:

$$p \rightsquigarrow \text{Lock } (\exists i. (r \mapsto i) \star (s \mapsto n - i))$$

Concurrent locks: specification of operations

Duplicatable representation predicate:

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H$$

Operations:

$$\forall H. \quad \{H\} (\text{create_lock } ()) \{ \lambda p. p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H \}$$

$$\forall p H. \quad \{p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\} (\text{acquire_lock } p) \{ \lambda_. H \}$$

$$\forall p H. \{H \star p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\} (\text{release_lock } p) \{ \lambda_. [] \}$$

Concurrent locks: exercise

Exercise: Describe the state at the front of each lines (except 5 and 6).
Explicit the instantiation of the existential in the invariant.

```
1  let r = ref 0
2  let s = ref n
3  let p = create_lock()
4
5  let concurrent_step () =
6      let () = acquire_lock p in
7      incr r;
8      decr s;
9      release_lock p
```

Concurrent locks: exercise

Exercise: Describe the state at the front of each lines (except 5 and 6).
Explicit the instantiation of the existential in the invariant.

```
1  let r = ref 0
2  let s = ref n
3  let p = create_lock()
4
5  let concurrent_step () =
6      let () = acquire_lock p in
7      incr r;
8      decr s;
9      release_lock p
```

1: $[]$. 2: $r \mapsto 0$. 3: $r \mapsto 0 \star s \mapsto n$.

4: $p \overset{\text{ro}}{\rightsquigarrow} \text{Lock} (\exists i. (r \mapsto i) \star (s \mapsto n - i))$.

7: $(r \mapsto i) \star (s \mapsto n - i)$. 8: $(r \mapsto i + 1) \star (s \mapsto n - i)$.

9: $(r \mapsto i + 1) \star (s \mapsto n - i - 1)$. Instantiate the invariant with $i + 1$.

Concurrent locks: non-example

```
let r = ref 0
let p = create_lock()

let f () =
  acquire_lock p;
  incr r;
  release_lock p

let () =
  let _ = (| f(), f() |) in
  acquire_lock p;
  assert (!r == 2)
```

Chapter 27

Ghost state

Same non-example

```
let r = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

Same non-example

```
let r = ref 0  
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
release_lock p;		release_lock p;

```
acquire_lock p;  
assert (!r == 2);
```

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock}(\exists n. r \mapsto n \star [\dots ? \dots])$$

Same non-example

```
let r = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock}(\exists n. r \mapsto n \star [\dots ? \dots])$$

Problem: it is impossible to prove, only with invariants, that this program does not crash (i.e. to prove $\{\text{True}\} \text{ program } \{\text{True}\}$)

More variables! Ghost variables.

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
r1 := !r1 + 1;		r2 := !r2 + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

More variables! Ghost variables.

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
r1 := !r1 + 1;		r2 := !r2 + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

Exercise: Give a lock invariant that allows proving $\{\text{True}\}$ program $\{\text{True}\}$, then prove the triple.

More variables! Ghost variables.

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
r1 := !r1 + 1;		r2 := !r2 + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

Exercise: Give a lock invariant that allows proving $\{\text{True}\}$ program $\{\text{True}\}$, then prove the triple.

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock} (\exists n, n_1, n_2. (r \mapsto n) \star (r_1 \overset{1/2}{\mapsto} n_1) \star (r_2 \overset{1/2}{\mapsto} n_2) \star [n = n_1 + n_2])$$

More variables! Ghost variables.

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = create_lock()
```

acquire_lock p;		acquire_lock p;
r := !r + 1;		r := !r + 1;
r1 := !r1 + 1;		r2 := !r2 + 1;
release_lock p;		release_lock p;

```
acquire_lock p;
assert (!r == 2);
```

Exercise: Give a lock invariant that allows proving $\{\text{True}\}$ program $\{\text{True}\}$, then prove the triple.

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock} (\exists n, n_1, n_2. (r \mapsto n) \star (r_1 \overset{1/2}{\mapsto} n_1) \star (r_2 \overset{1/2}{\mapsto} n_2) \star [n = n_1 + n_2])$$

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
```

```
let r1 = ref 0
```

```
let r2 = ref 0
```

```
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
```

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
```

```
let r1 = ref 0
```

```
let r2 = ref 0
```

```
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
```

```
{H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
```

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
{H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
let p = create_lock()
```

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
{H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
let p = create_lock()
{p  $\overset{\text{ro}}{\rightsquigarrow}$  Lock H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
```

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
{H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
let p = create_lock()
{p  $\overset{\text{ro}}{\rightsquigarrow}$  Lock H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
{((r1  $\xrightarrow{1/2}$  0) * p  $\overset{\text{ro}}{\rightsquigarrow}$  Lock H) * ((r2  $\xrightarrow{1/2}$  0) * p  $\overset{\text{ro}}{\rightsquigarrow}$  Lock H)}
```

Proof

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
{(r ↦ 0) * (r1 ↦ 0) * (r2 ↦ 0)}
{H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
let p = create_lock()
{p  $\xrightarrow{ro}$  Lock H * (r1  $\xrightarrow{1/2}$  0) * (r2  $\xrightarrow{1/2}$  0)}
{((r1  $\xrightarrow{1/2}$  0) * p  $\xrightarrow{ro}$  Lock H) * ((r2  $\xrightarrow{1/2}$  0) * p  $\xrightarrow{ro}$  Lock H)}
{(r1  $\xrightarrow{1/2}$  0 * p  $\xrightarrow{ro}$  Lock H)} || {(r2  $\xrightarrow{1/2}$  0 * p  $\xrightarrow{ro}$  Lock H)}
acquire_lock p;                               acquire_lock p;
r := !r + 1;                                   r := !r + 1;
...                                           ...
```

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

`acquire_lock p;`

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

`acquire_lock p;`

$$\{(r_1 \xrightarrow{1/2} 0) * H\}$$

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

`r := !r + 1;`

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$\mathbf{r} := !\mathbf{r} + 1$;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

`r := !r + 1;`

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

`r1 := !r1 + 1;`

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \xrightarrow{\text{ro}} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$r := !r + 1$;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$r1 := !r1 + 1$;

$$\{(r_1 \xrightarrow{1} 1) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r := !**r** + 1;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r1 := !**r1** + 1;

$$\{(r_1 \xrightarrow{1} 1) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \xrightarrow{\text{ro}} \text{Lock } H\}$$

acquire_lock p;

$\{(r_1 \xrightarrow{1/2} 0) * H\}$ so, for some n, n_1, n_2 such that $n = n_1 + n_2$:

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$r := !r + 1$;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$r1 := !r1 + 1$;

$$\{(r_1 \xrightarrow{1} 1) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * H\}$$

release_lock p;

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \xrightarrow{\text{ro}} \text{Lock } H\}$$

acquire_lock p;

$$\{(r_1 \xrightarrow{1/2} 0) * H\} \text{ so, for some } n, n_1, n_2 \text{ such that } n = n_1 + n_2:$$

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r := !r + 1;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r1 := !r1 + 1;

$$\{(r_1 \xrightarrow{1} 1) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * H\}$$

release_lock p;

$$\{(r_1 \xrightarrow{1/2} 1)\}$$

Left thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$$

acquire_lock p;

$$\{(r_1 \xrightarrow{1/2} 0) * H\} \text{ so, for some } n, n_1, n_2 \text{ such that } n = n_1 + n_2:$$

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r := !r + 1;

$$\{(r_1 \xrightarrow{1/2} 0) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1} 0) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

r1 := !r1 + 1;

$$\{(r_1 \xrightarrow{1} 1) * (r \mapsto n + 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * (r \mapsto n + 1) * (r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} n_2)\}$$

$$\{(r_1 \xrightarrow{1/2} 1) * H\}$$

release_lock p;

$$\{(r_1 \xrightarrow{1/2} 1)\} \quad \text{no } p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H?$$

Right thread

$$H \equiv \exists n, n_1, n_2. (r \mapsto n) \star (r_1 \xrightarrow{1/2} n_1) \star (r_2 \xrightarrow{1/2} n_2) \star [n = n_1 + n_2]$$

$\{(r_2 \xrightarrow{1/2} 0) * p \overset{\text{ro}}{\rightsquigarrow} \text{Lock } H\}$

acquire_lock p;

$\{(r_2 \xrightarrow{1/2} 0) * H\}$

r := !r + 1;

r2 := !r2 + 1;

$\{(r_2 \xrightarrow{1/2} 1) * H\}$

release_lock p;

$\{(r_2 \xrightarrow{1/2} 1)\}$

Finish up

```
let r = ref 0
```

```
let r1 = ref 0
```

```
let r2 = ref 0
```

```
let p = create_lock()
```

$$\{(r_1 \xrightarrow{1/2} 0) * p \overset{ro}{\rightsquigarrow} \text{Lock } H\} \quad \parallel \quad \{(r_2 \xrightarrow{1/2} 0) * p \overset{ro}{\rightsquigarrow} \text{Lock } H\}$$

```
acquire_lock p;
```

```
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```

```
r := !r + 1;
```

```
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```

```
r1 := !r1 + 1;
```

```
r2 := !r2 + 1;
```

```
release_lock p;
```

```
release_lock p;
```

$$\{(r_1 \xrightarrow{1/2} 1) * p \overset{ro}{\rightsquigarrow} \text{Lock } H\} \quad \parallel \quad \{(r_2 \xrightarrow{1/2} 1) * p \overset{ro}{\rightsquigarrow} \text{Lock } H\}$$
$$\{(r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} 1)\}$$

```
acquire_lock p;
```

$$\{(r_1 \xrightarrow{1/2} 1) * (r_2 \xrightarrow{1/2} 1) * (r \mapsto n) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2) * [n = n_1 + n_2]\}$$
$$\{(r_1 \mapsto 1) * (r_2 \mapsto 1) * (r \mapsto n) * [n = 1 + 1]\}$$

```
assert (!r == 2);
```

$$p \overset{\text{ro}}{\rightsquigarrow} \text{Lock} (\exists n, n_1, n_2. (r \mapsto n) \star (r_1 \overset{1/2}{\mapsto} n_1) \star (r_2 \overset{1/2}{\mapsto} n_2) \star [n = n_1 + n_2])$$

Question: Would replacing “1/2” with “ro” work?

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- validity in RA's e.g. $\text{valid}((r_2 \mapsto 1) \cdot (r_2 \mapsto n_2)) \Rightarrow n_2 = 1$

Conclusion

Program verification using Separation Logic gives you:

- Expressiveness: tree-shaped structures, and structures with sharing
 - Expressiveness: effectful, first-class functions, with local state
 - Expressiveness: concurrency, ghost state
 - Modularity: most-general specifications
 - Modularity: composable representation predicates
 - Abstraction: existential quantification of intermediate pointers
 - Abstraction: existential quantification of invariants
 - Practice: formalization in Coq of all heap predicates
 - Practice: characteristic formulas for reasoning rules
 - Practice: more advanced separation logic
- <https://iris-project.org/#learning>