Simple Syntax Extensions

(labels, local mutable variables)

Functions and Function calls Proving Termination

More on Specification Languages and Application to Arrays

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Exercise 2

The following program is one of the original examples of Floyd

```
q <- 0; r <- x;
while r >= y do
    r <- r - y; q <- q + 1</pre>
```

(Why3 file to fill in: imp_euclidean_div.mlw)

- ▶ Propose a formal precondition to express that x is assumed non-negative, y is assumed positive, and a formal post-condition expressing that q and r are respectively the quotient and the remainder of the Euclidean division of x by y
- ► Find appropriate loop invariants and prove the correctness of the program

Exercise 1

Consider the following (inefficient) program for computing the sum a+b

```
x <- a; y <- b;
while y > 0 do
    x <- x + 1; y <- y - 1</pre>
```

(Why3 file to fill in: imp_sum.mlw)

- Propose a post-condition stating that the final value of x is the sum of the values of a and b
- Find an appropriate loop invariant
- Prove the program

Reminder of the last lecture

- Logics and automated prover capabilities
 - propositional logic
 - ► first-order logic
 - ► theories: equality, integer arithmetic
- classical Floyd-Hoare logic
 - very simple "IMP" programming language
 - deduction rules for triples {Pre}s{Post}
- weakest liberal pre-conditions (Dijkstra)
 - function WLP(s, Q) returning a logic formula
 - ▶ soundness: if $P \to \text{WLP}(s, Q)$ then triple $\{P\}s\{Q\}$ is valid
- ▶ main "creative" activity: discovering loop invariants

Reminder of the last lecture (continued)

- Modern programming language, ML-like
 - more data types: int, bool, real, unit
 - ► logic variables: local and immutable
 - statement = expression of type unit
 - Typing rules
 - ► Formal operational semantics (small steps)
 - type soundness: every typed program executes without blocking
- ► Blocking semantics and Weakest Preconditions:
 - *e executes safely* in Σ , π if it does not block on an assertion or a loop invariant
 - ▶ If $\Sigma, \pi \models \mathrm{WP}(e, Q)$ then e executes safely in Σ, π , and if it terminates then Q valid in the final state
- Exercices

Outline

Syntax extensions

Labels
Local Mutable Variables
Functions and Functions Calls

Termination, Variants

Advanced Modeling of Programs

Programs on Arrays

This Lecture's Goals

- Extend that language:
 - Labels for reasoning on the past, local mutable variables
 - ► Sub-programs, function calls, modular reasoning
 - Limitations of modular reasoning: subcontract weaknesses, non-inductive invariants
- ► Analyzing *Termination*
 - prove termination when wanted
- ► (First-order) logic as a modeling language
 - Definitions of new types, product types
 - ► Definitions of functions, of predicates
 - Axiomatizations
- Application:
 - ▶ a bit of higher-order logic
 - program on Arrays

Labels: motivation

Ability to refer to past values of variables

```
{ true }
let v = r in (r <- v + 42; v)
{ r = r@0ld + 42 /\ result = r@0ld }

{ true }
let tmp = x in x <- y; y <- tmp
{ x = y@0ld /\ y = x@0ld }

SUM revisited:
{ y >= 0 }
L:
while y > 0 do
invariant { x + y = x@L + y@L }
x <- x + 1; y <- y - 1
{ x = x@0ld + y@0ld /\ y = 0 }</pre>
```

Labels: Syntax and Typing

Add in syntax of *terms*:

```
t := x@L (labeled variable access)
```

Add in syntax of *expressions*:

```
e ::= L:e (labeled expressions)
```

Typing:

- only mutable variables can be accessed through a label
- labels must be declared before use

Implicitly declared labels:

- ► Here, available in every formula
- ► Old, available everywhere except pre-conditions

New rules for WP

New rules for computing WP:

```
 WP(x < t, Q) = Q[x@Here \leftarrow t@Here] 
 WP(L: e, Q) = WP(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]
```

Exercise:

$$WP(L : x \leftarrow x + 42, x@Here > x@L) = ?$$

Labels: Operational Semantics

Program state

- becomes a collection of maps indexed by labels
- \blacktriangleright value of variable x at label L is denoted $\Sigma(x, L)$

New semantics of variables in terms:

```
[x]_{\Sigma,\pi} = \Sigma(x, Here)
[x@L]_{\Sigma,\pi} = \Sigma(x, L)
```

The operational semantics of expressions is modified as follows

```
\Sigma, \pi, x \leftarrow val \implies \Sigma\{(x, Here) \leftarrow val\}, \pi, ()
\Sigma, \pi, L : e \implies \Sigma\{(x, L) \leftarrow \Sigma(x, Here) \mid x \text{ any variable}\}, \pi, e
```

Syntactic sugar: term t@L

- attach label L to any variable of t that does not have an explicit label yet
- example:(x + y@K + 2)@L + x is x@L + y@K + 2 + x@Here

Example: computation of the GCD

(assuming notion of greatest common divisor exists in the logic)

Euclid's algorithm:

```
requires { x >= 0 /\ y >= 0 }
ensures { result = gcd(x@0ld,y@0ld) }
= L:
while y > 0 do
   invariant { ? }
   let r = mod x y in x <- y; y <- r
done;
x</pre>
```

See file gcd_euclid_labels.mlw

Mutable Local Variables

We extend the syntax of expressions with

$$e :=$$
let ref $id = e$ in e

(note: I use "ref" instead of "mut" because of Why3)

Example: isgrt revisited

```
val ref x : int
val ref res : int

res <- 0;
let ref sum = 1 in
while sum <= x do
    res <- res + 1; sum <- sum + 2 * res + 1
done</pre>
```

Mutable Local Variables: WP rules

Rules are exactly the same as for global variables

WP(let ref
$$x = e_1$$
 in e_2 , Q) = WP(e_1 , WP(e_2 , Q)[$x \leftarrow \text{result}$])

$$WP(x \leftarrow e, Q) = WP(e, Q[x \leftarrow result])$$

 $WP(L:e,Q) = WP(e,Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]$

Operational Semantics

Judgements:

$$\Sigma, \pi, e \rightsquigarrow \Sigma', \pi', e'$$

 π no longer contains just immutable variables

$$\frac{\Sigma, \pi, e_1 \leadsto \Sigma', \pi', e_1'}{\Sigma, \pi, \text{let ref } x = e_1 \text{ in } e_2 \leadsto \Sigma', \pi', \text{let ref } x = e_1' \text{ in } e_2}$$

$$\overline{\Sigma, \pi, \text{let ref } x = v \text{ in } e \leadsto \Sigma, \pi\{(x, \textit{Here}) \leftarrow v\}, e}$$

$$\frac{x \text{ local variable}}{\Sigma, \pi, x \hookleftarrow v \leadsto \Sigma, \pi\{(x, \textit{Here}) \leftarrow v\}, e}$$

Functions

Program structure:

Function definition:

- Contract:
 - pre-condition
 - post-condition (label Old available)
 - assigned variables: clause writes
- ▶ Body: expression

Example: isqrt

Typing

Definition *d* of function *f*:

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

Well-formed definitions:

```
\Gamma' = \{x_i : \tau_i \mid 1 \le i \le n\} \cdot \Gamma \qquad \qquad \vec{w} \subseteq \Gamma
\Gamma' \vdash Pre, Post : formula \qquad \qquad \Gamma' \vdash Body : \tau
\vec{w}_g \subseteq \vec{w} \text{ for each call } g \qquad \qquad y \in \vec{w} \text{ for each assign } y
\Gamma \vdash d : wf
```

where Γ contains the global declarations

Example using Old label

```
val ref res: int

let incr(x:int)
   requires true
   writes res
   ensures res = res@0ld + x
body
   res <- res + x</pre>
```

Typing: function calls

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{w}
ensures Post
body Body
```

Well-typed function calls:

$$\frac{\Gamma \vdash t_i : \tau_i}{\Gamma \vdash f(t_1, \ldots, t_n) : \tau}$$

Note: for simplicity the expressions t_i are assumed without side-effect (introduce extra let-expression if needed)

Operational Semantics of a Function Call

let
$$f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$$

requires Pre
writes \vec{w}
ensures $Post$
body $Body$

$$\frac{\pi = \{x_i \mapsto \llbracket t_i \rrbracket_{\Sigma, \pi}\} \qquad \Sigma, \pi \models \textit{Pre}}{\Sigma, \Pi, f(t_1, \dots, t_n) \leadsto \Sigma, (\pi, \textit{Post}) \cdot \Pi, (\textit{Old} : \textit{Body})}$$

A *call frame* is a pair $(\pi, Post)$ of a local stack and a formula Π denotes a *stack of call frames*

Blocking Semantics

Execution blocks at call if pre-condition does not hold

WP Rule of Function Call

```
let f(x_1:\tau_1,\ldots,x_n:\tau_n):\tau

requires Pre

writes \vec{w}

ensures Post

body Body

WP(f(t_1,\ldots,t_n),Q) = Pre[x_i \leftarrow t_i] \land \\ \forall \vec{v}, \ (Post[x_i \leftarrow t_i,w_j \leftarrow v_j,w_j@Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow v_j])
```

Modular Proof Methodology

When calling function f, only the contract of f is visible, not its body

Operational Semantics of returning from Function Call

We check that the *post-condition* holds at the end:

$$\frac{\Sigma, \pi \models \textit{Post}[\textit{result} \leftarrow \textit{v}]}{\Sigma, (\pi, \textit{Post}) \cdot \Pi, \textit{v} \leadsto \Sigma, \Pi, \textit{v}}$$

Blocking Semantics

Execution blocks at return if post-condition does not hold

Example: isqrt(42)

Exercise: prove that $\{true\}isgrt(42)\{result = 6\}$ holds

```
val isqrt(x:int): int
  requires x >= 0
  writes (nothing)
  ensures result >= 0 /\
      sqr(result) <= x < sqr(result + 1)</pre>
```

Abstraction of sub-programs

- Keyword val introduces a function with a contract but without body
- writes clause is mandatory in that case

Example: Incrementation

```
val ref res: int

val incr(x:int):unit
  writes res
ensures res = res@Old + x
```

Exercise: Prove that $\{res = 6\}incr(36)\{res = 42\}$ holds

Limitations of modular reasoning

```
let f (x:int) : int
  ensures { result > x }
  = x+1

let g () =
  let a = f(0) in
  assert { a = 1 }
```

Subcontract weakness

A program can be *safe* (never blocks on annotations) and yet not being provable

Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau
requires Pre
writes \vec{W}
ensures Post
body Body
```

we have

- ightharpoonup variables assigned in *Body* belong to \vec{w} ,
- ▶ $\models Pre \rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$ holds,

then for any formula Q, any expression e, any configuration (Σ, π) :

```
if \Sigma, \pi \models WP(e, Q) then execution of \Sigma, \pi, e is safe
```

Remark: (mutually) recursive functions are allowed

Non-inductive loop invariants

```
let ref i = 0 in
while i < 2 do
   invariant { i <> 1 }
   i <- i+2;
done</pre>
```

Weakness of loop invariants

An invariant might be valid (the program is safe) and yet not be provably preserved by an arbitrary loop iteration

Inductive invariants

A loop invariant is called *inductive* when it can be proved initially valid and preserved by loop iterations

In other words: a loop invariant may be valid (in the sense of safety) and yet not being inductive

Limitations of modular reasoning (case of loops)

```
let ref i = 5 in
while i < 10 do
   invariant { i >= 0 }
   i <- i+2;
done;
assert { i = 11 }</pre>
```

Subcontract weakness (for loop)

A program can be *safe* (never blocks on annotations) and yet not being provable

Termination

Goal

Prove that a program terminates (on all inputs satisfying the precondition)

Amounts to show that

- loops never execute infinitely many times
- ▶ (mutual) recursive calls cannot occur infinitely many times

Outline

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Programs on Arrays

Case of loops

Solution: annotate loops with *loop variants*

- ▶ a term that *decreases at each iteration*
- ▶ for some *well-founded ordering* \prec (i.e. there is no infinite sequence $val_1 \succ val_2 \succ val_3 \succ \cdots$
- ► A typical ordering on integers:

$$x \prec y = x < y \land 0 \le y$$

Syntax

New syntax construct:

```
e ::= while e invariant / variant t, ≺ do e

Example:
{ y >= 0 }
L:
while y > 0 do
   invariant { x + y = x@L + y@L }
   variant { y }
   x <- x + 1; y <- y - 1
{ x = x@Old + y@Old /\ y = 0 }</pre>
```

Weakest Precondition

```
 \begin{split} &\operatorname{WP}(\mathsf{while}\; \textit{\textit{C}}\; \mathsf{invariant}\; \textit{\textit{I}}\; \mathsf{variant}\; \textit{\textit{t}}, \prec\; \mathsf{do}\; \textit{\textit{e}}, \textit{\textit{Q}}) = \\ &\textit{\textit{I}} \land \\ &\forall \vec{\textit{V}}, (\textit{\textit{I}} \rightarrow \operatorname{WP}(\textit{\textit{L}}\; : \textit{\textit{c}}, \mathsf{if}\; \textit{\textit{result}}\; \mathsf{then}\; \operatorname{WP}(\textit{\textit{e}}, \textit{\textit{I}} \land \textit{\textit{t}}\; \prec\; \textit{\textit{t}} @\textit{\textit{L}}) \; \mathsf{else}\; \textit{\textit{Q}})) \\ &[\textit{\textit{W}}_i \leftarrow \textit{\textit{V}}_i] \end{split}
```

In practice with Why3

- presence of loop variants tells if one wants to prove termination or not
- warning issued if no variant given
- keyword diverges in contract for non-terminating functions
- default ordering determined from type of t

Operational semantics

Examples

Exercise: find adequate variants

(new parts shown in red)

```
i <- 0;
while i <= 100
    variant ?
do i <- i+1
done;</pre>
```

```
while sum <= x
    variant ?
do
    res <- res + 1; sum <- sum + 2 * res + 1
done;</pre>
```

Solutions:

Recursive Functions: Termination

If a function is recursive, termination of call can be proved, provided that the function is annotated with a *variant*

```
let f(x_1:\tau_1,\ldots,x_n:\tau_n):\tau
requires Pre
variant Var, \prec
writes \vec{W}
ensures Post
body Body
```

WP for function call:

$$WP(f(t_1,...,t_n),Q) = Pre[x_i \leftarrow t_i] \land var[x_i \leftarrow t_i] \prec var@Old \land \\ \forall \vec{y}, (Post[x_i \leftarrow t_i][w_i \leftarrow y_i][w_i@Old \leftarrow w_i] \rightarrow Q[w_i \leftarrow y_i])$$

Case of mutual recursion

Assume two functions $f(\vec{x})$ and $g(\vec{y})$ that call each other

- ightharpoonup each should be given its own variant v_f (resp. v_g) in their contract
- ▶ with the *same* well-founded ordering ≺

When f calls $g(\vec{t})$ the WP should include

$$v_g[\vec{y} \leftarrow \vec{t}] \prec v_f@Old$$

and symmetrically when g calls f

Example of variant on a recursive function

```
let fib (x:int) : int
variant ?
body
  if x <= 1 then 1 else fib (x-1) + fib (x-2)</pre>
```

Solution:

variant x

Home Work 1: McCarthy's 91 Function

```
f91(n) = \text{if } n \le 100 \text{ then } f91(f91(n+11)) \text{ else } n-10
```

Find adequate specifications

```
let f91(n:int): int
  requires ?
  variant ?
  writes ?
  ensures ?
body
  if n <= 100 then f91(f91(n + 11)) else n - 10</pre>
```

Use canvas file mccarthy.mlw

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(First-Order) Logic as a Modeling Language Axiomatic Definitions

Programs on Arrays

Why3 Logic Language

- ► (First-order) logic, built-in arithmetic (integers and reals)
- ► Definitions à la ML
 - ▶ logic (i.e. pure) functions, predicates
 - structured types, pattern-matching (next lecture)
- ► type polymorphism à la ML
- ▶ higher-order logic as a built-in theory of functions
- Axiomatizations
- Inductive predicates (next lecture)

Important note

Logic functions and predicates are always totally defined

About Specification Languages

Specification languages:

- ► Algebraic Specifications: CASL, Larch
- ► Set theory: VDM, Z notation, Atelier B
- ► Higher-Order Logic: PVS, Isabelle/HOL, HOL4, Coq
- ► Object-Oriented: Eiffel, JML, OCL

Case of Why3, ACSL, Dafny: trade-off between

- expressiveness of specifications
- support by automated provers

Definition of new Logic Symbols

Logic functions defined as

```
function f(x_1 : \tau_1, \ldots, x_n : \tau_n) : \tau = e
```

Predicate defined as

```
predicate p(x_1 : \tau_1, \ldots, x_n : \tau_n) = e
```

where τ_i , τ are logic types (not references)

- ► No recursion allowed (yet)
- ► No side effects
- ▶ Defines *total* functions and predicates

Logic Symbols: Examples

```
function sqr(x:int) = x * x

predicate divides(x:int,y:int) =
   exists z:int. y = x * z

predicate is_prime(x:int) =
   x >= 2 /\
   forall y z:int. y >= 0 /\ z >= 0 /\ x = y*z ->
       y=1 \/ z=1
```

Axiomatic Definitions

Function and predicate declarations of the form

```
function f(\tau, ..., \tau_n) : \tau predicate p(\tau, ..., \tau_n)
```

together with axioms

axiom id : formula

Semantics

these declarations specify that f (resp. p) is any logic function (resp. predicate) satisfying the axioms

Definition of new logic types: Product Types

► Tuples types are built-in:

```
type pair = (int, int)
```

► Record types can be defined:

```
type point = { x:real; y:real }
```

Fields are immutable

► We allow let with pattern, e.g.

```
let (a,b) = ... in ...
let { x = a; y = b } = ... in ...
```

▶ Dot notation for records fields, e.g.

```
p.x + p.y
```

Axiomatic Definitions

Example: division

```
function div(real, real):real
axiom mul_div:
  forall x,y. y<>0 -> div(x,y)*y = x
```

Example: factorial

```
function fact(int):int
axiom fact0:
  fact(0) = 1
axiom factn:
  forall n:int. n >= 1 -> fact(n) = n * fact(n-1)
```

Exercise: axiomatize the GCD

Axiomatic Definitions

► Functions/predicates are typically underspecified
 ⇒ we can model partial functions in a logic of total functions

Warning about soundness

Axioms may introduce inconsistencies

```
function div(real,real):real
axiom mul_div: forall x,y. div(x,y)*y = x
implies 1 = div(1,0)*0 = 0
```

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Underspecified Logic Functions and Run-time Errors

Error "Division by zero" can be modeled by an abstract function

```
val div_real(x:real,y:real):real
  requires y <> 0.0
  ensures result = div(x,y)
```

Reminder

Execution blocks when an invalid annotation is met

Higher-order logic as a built-in theory

- ▶ type of *maps* : $\tau_1 \rightarrow \tau_2$
- ► lambda-expressions: fun *x* : *τ* -> *t*

Definition of selection function:

```
function select (f: \alpha \rightarrow \beta) (x: \alpha) : \beta = f x
```

Definition of function update:

```
function store (f: \alpha \to \beta) (x: \alpha) (v: \beta) : \alpha \to \beta = fun (y: \alpha) -> if x = y then v else f y
```

SMT (first-order) theory of "functional arrays"

```
lemma select_store_eq: forall f:\alpha -> \beta, x:\alpha, v:\beta. select(store(f,x,v),x) = v lemma select_store_neq: forall f:\alpha -> \beta, x y:\alpha, v:\beta. x \Leftrightarrow y -> select(store(f,x,v),y) = select(f,y)
```

Arrays as Mutable Variables of type "Map"

- lacktriangle Array variable: mutable variable of type int -> lpha
- ▶ In a program, the standard assignment operation

```
a[i] \leftarrow e
```

is interpreted as

```
a <- store(a,i,e)
```

Simple Example

```
WP((a < store(a, 0, 13); a < store(a, 1, 42)), select(a, 0) = 13))
= WP(a < store(a, 0, 13), WP(a < store(a, 1, 42), select(a, 0) = 13)))
= WP(a < store(a, 0, 13); select(store(a, 1, 42), 0) = 13)
= select(store(store(a, 0, 13), 1, 42), 0) = 13
= select(store(a, 0, 13), 0) = 13
= 13 = 13
= true
```

Note how we use both lemmas *select_store_eq* and *select_store_neq*

Simple Example

```
val ref a: int -> int

let test()
  writes a
  ensures select(a,0) = 13 (* a[0] = 13 *)
body
  a <- store(a,0,13); (* a[0] <- 13 *)
  a <- store(a,1,42) (* a[1] <- 42 *)</pre>
```

Exercise: prove this program

Example: Swap

Permute the contents of cells *i* and *j* in an array *a*:

Arrays as Variables of Type "length × map"

- ► Goal: model "out-of-bounds" run-time errors
- Array variable: mutable variable of type array α

```
type array 'a = { length : int; elts : int -> 'a}

val get (ref a:array 'a) (i:int) : 'a
   requires 0 <= i < a.length
   ensures result = select(a.elts,i)

val set (ref a:array 'a) (i:int) (v:'a) : unit
   requires 0 <= i < a.length
   writes a
   ensures a.length = a@Old.length /\
        a.elts = store(a@Old.elts,i,v)</pre>
```

- ▶ a[i] interpreted as a call to get(a,i)
- ▶ a[i] <- v interpreted as a call to set(a,i,v)</p>

Note about Arrays in Why3

```
use array.Array
syntax: a.length, a[i], a[i]<-v</pre>
```

Example: swap

Example: Swap again

Exercises on Arrays

- Prove Swap by computing the WP
- Using WP, prove the program

```
let test()
  requires
    select(a,0) = 13 /\ select(a,1) = 42 /\
    select(a,2) = 64
  ensures
    select(a,0) = 64 /\ select(a,1) = 42 /\
    select(a,2) = 13
body
  swap(0,2)
```

Exercise on Arrays: incrementation

Specify, implement, and prove a program that increments by 1 all cells, between given indices i and j, of an array of reals

See file array_incr.mlw

Home Work 4: Binary Search

See file bin search.mlw

```
low = 0; high = n - 1; while low \le high:
   let m be the middle of low and high if a[m] = v then return m if a[m] < v then continue search between m and high if a[m] > v then continue search between low and m
```

Exercise: Search Algorithms

```
var a: array real

let search(n:int, v:real): int
  requires 0 <= n
  ensures { ? }
= ?</pre>
```

- 1. Formalize postcondition: if v occurs in a, between 0 and n-1, then result is an index where v occurs, otherwise result is set to -1
- 2. Implement and prove linear search:

```
res <- -1;
for each i from 0 to n-1: if a[i] = v then res <- i;
return res
```

See file lin_search.mlw

Home Work 5: "for" loops

Syntax: for $i = e_1$ to e_2 do e Typing:

- i visible only in e, and is immutable
- $ightharpoonup e_1$ and e_2 must be of type int, e must be of type unit

Operational semantics: (assuming e_1 and e_2 are values v_1 and v_2)

$$rac{ extstyle V_1 > extstyle V_2}{ extstyle \Sigma, \pi, ext{for } i = extstyle V_1 ext{ to } extstyle V_2 ext{ do } extstyle e \sim \Sigma, \pi, ()}$$

$$\frac{v_1 \leq v_2}{\Sigma, \pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \leadsto \Sigma, \pi,} \frac{(\text{let } i = v_1 \text{ in } e);}{(\text{for } i = v_1 + 1 \text{ to } v_2 \text{ do } e)}$$

Home Work: "for" loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\text{for }i=v_1\text{ to }v_2\text{ do }e\{?\}}$$

Propose a rule for computing the WP:

WP(for
$$i = v_1$$
 to v_2 invariant I do e , Q) =?