

Ghost Code, Lemma Functions More Data Types (lists, trees) Handling Exceptions Computer Arithmetic

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Outline

Reminders, Solutions to Exercises

Reminder: Function Calls

Reminder: Termination

Reminder: Programs on Arrays

Specification Language and Ghost Code

Ghost code

Ghost Functions

Lemma functions

Modeling Continued: Specifying More Data Types

Sum Types

Lists

Exceptions

Application: Computer Arithmetic

Handling Machine Integers

Floating-Point Computations

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Function Calls

let $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$

requires *Pre*

writes \vec{w}

ensures *Post*

body *Body*

$$\text{WP}(f(t_1, \dots, t_n), Q) = \text{Pre}[x_i \leftarrow t_i] \wedge \\ \forall \vec{v}, (\text{Post}[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j@Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow v_j])$$

Modular proof

When calling function f , only the contract of f is visible, not its body

Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
  requires  $Pre$   
  writes  $\vec{w}$   
  ensures  $Post$   
  body  $Body$ 
```

we have

- ▶ variables assigned in $Body$ belong to \vec{w} ,
- ▶ $\models Pre \rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$ holds,

then for any formula Q and any expression e ,
if $\Sigma, \pi \models WP(e, Q)$ then execution of Σ, π, e is *safe*

Remark: (mutually) recursive functions are allowed

Termination

- ▶ Loop *variant*
- ▶ *Variants* for (mutually) recursive function(s)

Home Work: McCarthy's 91 Function

$f91(n) = \text{if } n \leq 100 \text{ then } f91(f91(n + 11)) \text{ else } n - 10$

Find adequate specifications

```
let f91(n:int): int  
  requires ?  
  variant ?  
  writes ?  
  ensures ?  
body  
  if n <= 100 then f91(f91(n + 11)) else n - 10
```

Use canvas file [mccarthy.mlw](#)

Programs on Arrays

- ▶ applicative maps as a built-in theory
- ▶ array = record (length, pure map)
- ▶ handling of out-of-bounds index check

```
type array 'a = { length : int; elts : int -> 'a}  
  
val get (ref a:array 'a) (i:int) : 'a  
  requires 0 <= i < a.length  
  ensures result = select(a.elts,i)  
  
val set (ref a:array 'a) (i:int) (v:'a) : unit  
  requires 0 <= i < a.length  
  writes a  
  ensures a.length = a@old.length /\  
          a.elts = store(a@old.elts,i,v)
```

- ▶ $a[i]$ interpreted as a call to $\text{get}(a,i)$
- ▶ $a[i] \leftarrow v$ interpreted as a call to $\text{set}(a,i,v)$

Home Work: Search Algorithms

```
var a: array int

let search(v:int): int
  requires 0 <= a.length
  ensures { ? }
= ?
```

1. Formalize postcondition: if v occurs in a , between 0 and $a.length - 1$, then $result$ is an index where v occurs, otherwise $result$ is set to -1

2. Implement and prove *linear search*:

```
res ← -1;
for each  $i$  from 0 to  $a.length - 1$ : if  $a[i] = v$  then  $res \leftarrow i$ ;
return res
```

See file [lin_search.mlw](#)

Home Work: Binary Search

```
low = 0; high = a.length - 1;
while low ≤ high:
  let  $m$  be the middle of  $low$  and  $high$ 
  if  $a[m] = v$  then return  $m$ 
  if  $a[m] < v$  then continue search between  $m$  and  $high$ 
  if  $a[m] > v$  then continue search between  $low$  and  $m$ 
```

See file [bin_search.mlw](#)

Home Work: “for” loops

Syntax: $\text{for } i = e_1 \text{ to } e_2 \text{ do } e$

Typing:

- ▶ i visible only in e , and is immutable
- ▶ e_1 and e_2 must be of type `int`, e must be of type `unit`

Operational semantics:

(assuming e_1 and e_2 are values v_1 and v_2)

$$\frac{v_1 > v_2}{\Sigma, \pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \pi, ()}$$

$$\frac{v_1 \leq v_2}{\Sigma, \pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \pi, (\text{let } i = v_1 \text{ in } e); (\text{for } i = v_1 + 1 \text{ to } v_2 \text{ do } e)}$$

Home Work: “for” loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\text{for } i = v_1 \text{ to } v_2 \text{ do } e\{?\}}$$

Propose a rule for computing the WP:

$$\text{WP}(\text{for } i = v_1 \text{ to } v_2 \text{ invariant } I \text{ do } e, Q) = ?$$

Home Work: “for” loops

Notice: loop invariant I typically has i as a free variable
Informal vision of execution, stating when invariant is supposed to hold and for which value of i :

```
{I[i ← v1]}
i ← v1
{I}
e
{I[i ← i + 1]}
i ← i + 1
{I}
e
⋮
{I}
e
{I[i ← i + 1]}
i ← i + 1
(* assuming now i = v2, last iteration *)
{I}(* where i = v2 *)
e
{I[i ← i + 1]}(* and still i=v2, hence *)
{I[i ← v2 + 1]}
```

Home Work: “for” loops

So we deduce the Hoare logic rule

$$\frac{\{I \wedge v_1 \leq i \leq v_2\} e \{I[i \leftarrow i + 1]\}}{\{I[i \leftarrow v_1] \wedge v_1 \leq v_2\} \text{for } i = v_1 \text{ to } v_2 \text{ do } e \{I[i \leftarrow v_2 + 1]\}}$$

Remark

Some rule should be stated for case $v_1 > v_2$, left as exercise

and then a rule for computing the WP:

$$\begin{aligned} \text{WP}(\text{for } i = v_1 \text{ to } v_2 \text{ invariant } I \text{ do } e, Q) = \\ v_1 \leq v_2 \wedge I[i \leftarrow v_1] \wedge \\ \forall \vec{v}, (\\ (\forall i, I \wedge v_1 \leq i \leq v_2 \rightarrow \text{WP}(e, I[i \leftarrow i + 1])) \wedge \\ (I[i \leftarrow v_2 + 1] \rightarrow Q)) [w_j \leftarrow v_j] \end{aligned}$$

Additional exercise: use a for loop in the linear search example

[lin_search_for.mlw](#)

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(Why3) Logic Language (reminder)

- ▶ (First-order) logic, built-in arithmetic (integers and reals)
- ▶ **Definitions** à la ML
 - ▶ logic (i.e. pure) *functions, predicates*
 - ▶ structured types, pattern-matching (to be seen in this lecture)
- ▶ *type polymorphism* à la ML
- ▶ *higher-order logic as a built-in theory of functions*
- ▶ Axiomatizations
- ▶ Inductive predicates (not detailed here)

Important note

Logic functions and predicates are *always totally defined*

Introducing Ghost Code

Example: Euclidean division / just compute the remainder:

```
q <- 0; r <- x;
while r >= y do
  invariant { x = q * y + r }
  r <- r - y; q <- q + 1
```

Introducing Ghost Code

Example: Euclidean division / just compute the remainder:

```
      r <- x;
while r >= y do
  invariant { exists q. x = q * y + r }
  r <- r - y;
```

(See Why3 file [euclidean_rem.mlw](#))

Introducing Ghost Code

Example: Euclidean division / just compute the remainder:

```
q <- 0; r <- x;
while r >= y do
  invariant { x = q * y + r }
  r <- r - y; q <- q + 1
```

Introducing Ghost Code

Example: Euclidean division / just compute the remainder:

```
q <- 0; r <- x;
while r >= y do
  invariant { x = q * y + r }
  r <- r - y; q <- q + 1
```

Ghost code, ghost variables

- ▶ Cannot interfere with regular code (checked by typing)
- ▶ Visible only in annotations

See also [euclidean_rem_with_ghost.mlw](#)

Home Work: Bézout coefficients

- ▶ Extend the post-condition of Euclid's algorithm for GCD to express the Bézout property:

$$\exists a, b, \text{result} = x * a + y * b$$

- ▶ Prove the program by adding appropriate ghost local variables

Use canvas file [exo_bezout.mlw](#)

More Ghosts: Programs turned into Logic Functions

If the program f is

- ▶ *Proved terminating*
- ▶ *Has no side effects*

let $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$
requires Pre
variant var, \prec
ensures $Post$
body $Body$

then there exists a logic function:

function $f_{\tau_1 \dots \tau_n : \tau}$
lemma $f_{spec} : \forall x_1, \dots, x_n. Pre \rightarrow Post[\text{result} \leftarrow f(x_1, \dots, x_n)]$

and if $Body$ is a pure term then

lemma $f_{body} : \forall x_1, \dots, x_n. Pre \rightarrow f(x_1, \dots, x_n) = Body$

Offers an important alternative to axiomatic definitions

In Why3: done using keywords `let function`

Example: axiom-free specification of factorial

```
let function fact (n:int) : int
  requires { n >= 0 }
  variant { n }
= if n=0 then 1 else n * fact(n-1)
```

generates the logic context

```
function fact int : int

axiom f_body: forall n. n >= 0 ->
  fact n = if n=0 then 1 else n * fact(n-1)
```

Example of Factorial

Exercise: Find appropriate precondition, postcondition, loop invariant, and variant, for this program:

```
let fact_imp (x:int): int
  requires ?
  ensures ?
body
  let ref y = 0 in
  let ref res = 1 in
  while y < x do
    y <- y + 1;
    res <- res * y
  done;
  res
```

See file [fact.mlw](#)

More Ghosts: Lemma functions

- ▶ if a program function is *without side effects* and *terminating*:

```
let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \text{unit}$   
  requires Pre  
  variant var,  $\prec$   
  ensures Post  
  body Body
```

then it is a proof of

$$\forall x_1, \dots, x_n. \textit{Pre} \rightarrow \textit{Post}$$

- ▶ If f is recursive, it simulates a proof by induction

Example: sum of odds

```
function sum_of_odd_numbers int : int  
(** 'sum_of_odd_numbers n' denote the sum of  
    odd numbers from '1' to '2n-1' *)  
  
axiom sum_of_odd_numbers_base : sum_of_odd_numbers 0 = 0  
  
axiom sum_of_odd_numbers_rec : forall n. n >= 1 ->  
    sum_of_odd_numbers n = sum_of_odd_numbers (n-1) + 2*n-1  
  
goal sum_of_odd_numbers_any:  
    forall n. n >= 0 -> sum_of_odd_numbers n = n * n
```

See file [arith_lemma_function.mlw](#)

Example: sum of odds as lemma function

```
let rec lemma sum_of_odd_numbers_any (n:int)  
  requires { n >= 0 }  
  variant { n }  
  ensures { sum_of_odd_numbers n = n * n }  
  = if n > 0 then sum_of_odd_numbers_any (n-1)
```

Home work

Prove the helper lemmas stated for the fast exponentiation algorithm

See [power_int_lemma_functions.mlw](#)

Home Work

Prove Fermat's little theorem for case $p = 3$:

$$\forall x, \exists y. x^3 - x = 3y$$

using a lemma function

See `little_fermat_3.mlw`

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Sum Types

- ▶ Sum types à la ML:

```
type t =  
| C1 τ1,1 ... τ1,n1  
| ⋮  
| Ck τk,1 ... τk,nk
```

- ▶ Pattern-matching with

```
match e with  
| C1(p1, ..., pn1) → e1  
| ⋮  
| Ck(p1, ..., pnk) → ek  
end
```

- ▶ Extended pattern-matching, wildcard: `_`

Recursive Sum Types

- ▶ Sum types can be **recursive**.
- ▶ **Recursive definitions** of functions or predicates
 - ▶ Must terminate (only total functions in the logic)
 - ▶ In practice in Why3: recursive calls only allowed on **structurally smaller** arguments.

Sum Types: Example of Lists

```
type list 'a = Nil | Cons 'a (list 'a)

function append(l1:list 'a,l2:list 'a): list 'a =
  match l1 with
  | Nil -> l2
  | Cons(x,l) -> Cons(x, append(l,l2))
end

function length(l:list 'a): int =
  match l with
  | Nil -> 0
  | Cons(_,r) -> 1 + length r
end

function rev(l:list 'a): list 'a =
  match l with
  | Nil -> Nil
  | Cons(x,r) -> append(rev(r), Cons(x,Nil))
end
```

Example: Efficient List Reversal

Exercise: fill the holes below.

```
val ref l: list int

let rev_append(r:list int)
  variant ? writes ? ensures ?
body
  match r with
  | Nil -> ()
  | Cons(x,r) -> l <- Cons(x,l); rev_append(r)
end

let reverse(r:list int)
  writes l ensures l = rev r
body ?
```

See [rev.mlw](#)

Binary Trees

```
type tree 'a = Leaf | Node (tree 'a) 'a (tree 'a)
```

Home work: specify, implement, and prove a procedure returning the maximum of a tree of integers.

(problem 2 of the FoVeOOS verification competition in 2011, <http://foveoos2011.cost-ic0701.org/verification-competition>, continued nowadays as the yearly VerifyThis competition, <https://www.pm.inf.ethz.ch/research/verifythis.html>)

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Exceptions

We extend the syntax of expressions with

$$e ::= \text{raise } exn \\ \quad | \quad \text{try } e \text{ with } exn \rightarrow e$$

with exn a set of exception identifiers, declared as

exception exn $\langle \text{type} \rangle$

Remark: $\langle \text{type} \rangle$ can be omitted if it is unit

Example: linear search revisited in [lin_search_exc.mlw](#)

Operational Semantics

- ▶ Values (i.e. expressions that do not reduce): now either constants v or $\text{raise } exn$
- ▶ Context rules
Assuming that sub-expressions are introduced with “let”,
e.g. $e_1 + e_2$ written as

$$\text{let } v_1 = e_1 \text{ in let } v_2 = e_2 \text{ in } v_1 + v_2$$

then context rules are essentially given by the propagation of thrown exceptions inside “let”:

$$\Sigma, \pi, (\text{let } x = \text{raise } exn \text{ in } e) \rightsquigarrow \Sigma, \pi, \text{raise } exn$$

Operational Semantics: main rules

- ▶ Reduction of try-with:

$$\frac{\Sigma, \pi, e \rightsquigarrow \Sigma', \pi', e'}{\Sigma, \pi, (\text{try } e \text{ with } exn \rightarrow e'') \rightsquigarrow \Sigma', \pi', (\text{try } e' \text{ with } exn \rightarrow e'')}$$

- ▶ Normal execution:

$$\Sigma, \pi, (\text{try } v \text{ with } exn \rightarrow e') \rightsquigarrow \Sigma, \pi, v$$

- ▶ Exception handling:

$$\Sigma, \pi, (\text{try raise } exn \text{ with } exn \rightarrow e) \rightsquigarrow \Sigma, \pi, e$$
$$\frac{exn \neq exn'}{\Sigma, \pi, (\text{try raise } exn \text{ with } exn' \rightarrow e) \rightsquigarrow \Sigma, \pi, \text{raise } exn}$$

WP Rules

Function WP modified to allow **exceptional post-conditions** too:

$$\text{WP}(e, Q, exn_i \rightarrow R_i)$$

Implicitly, $R_k = \text{False}$ for any $exn_k \notin \{exn_i\}$.

Extension of WP for simple expressions:

$$\text{WP}(x \leftarrow t, Q, exn_i \rightarrow R_i) = Q[\text{result} \leftarrow (), x \leftarrow t]$$
$$\text{WP}(\text{assert } R, Q, exn_i \rightarrow R_i) = R \wedge Q$$

WP Rules

Extension of WP for composite expressions:

$$\text{WP}(\text{let } x = e_1 \text{ in } e_2, Q, \text{exn}_i \rightarrow R_i) = \\ \text{WP}(e_1, \text{WP}(e_2, Q, \text{exn}_i \rightarrow R_i)[\text{result} \leftarrow x], \text{exn}_i \rightarrow R_i)$$

$$\text{WP}(\text{if } t \text{ then } e_1 \text{ else } e_2, Q, \text{exn}_i \rightarrow R_i) = \\ \text{if } t \text{ then } \text{WP}(e_1, Q, \text{exn}_i \rightarrow R_i) \\ \text{else } \text{WP}(e_2, Q, \text{exn}_i \rightarrow R_i)$$

$$\text{WP} \left(\begin{array}{l} \text{while } c \text{ invariant } I \\ \text{do } e \end{array}, Q, \text{exn}_i \rightarrow R_i \right) = I \wedge \forall \vec{v}, \\ (I \rightarrow \text{if } c \text{ then } \text{WP}(e, I, \text{exn}_i \rightarrow R_i) \text{ else } Q)[w_i \leftarrow v_i] \\ \text{where } w_1, \dots, w_k \text{ is the set of assigned variables in } \\ e \text{ and } v_1, \dots, v_k \text{ are fresh logic variables.}$$

WP Rules

Exercise: propose rules for

$$\text{WP}(\text{raise } \text{exn}, Q, \text{exn}_i \rightarrow R_i)$$

and

$$\text{WP}(\text{try } e_1 \text{ with } \text{exn} \rightarrow e_2, Q, \text{exn}_i \rightarrow R_i)$$

$$\text{WP}(\text{raise } \text{exn}_k, Q, \text{exn}_i \rightarrow R_i) = R_k$$

$$\text{WP}((\text{try } e_1 \text{ with } \text{exn} \rightarrow e_2), Q, \text{exn}_i \rightarrow R_i) =$$

$$\text{WP} \left(e_1, Q, \left\{ \begin{array}{l} \text{exn} \rightarrow \text{WP}(e_2, Q, \text{exn}_i \rightarrow R_i) \\ \text{exn}_i \setminus \text{exn} \rightarrow R_i \end{array} \right\} \right)$$

Functions Throwing Exceptions

Generalized contract:

```
val  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$ 
  requires  $Pre$ 
  writes  $\vec{w}$ 
  ensures  $Post$ 
  raises  $E_1 \rightarrow Post_1$ 
   $\vdots$ 
  raises  $E_n \rightarrow Post_n$ 
```

Extended WP rule for function call:

$$\text{WP}(f(t_1, \dots, t_n), Q, E_k \rightarrow R_k) = Pre[x_i \leftarrow t_i] \wedge \forall \vec{v}, \\ (Post[x_i \leftarrow t_i, w_j \leftarrow v_j] \rightarrow Q[w_j \leftarrow v_j]) \wedge \\ \bigwedge_k (Post_k[x_i \leftarrow t_i, w_j \leftarrow v_j] \rightarrow R_k[w_j \leftarrow v_j])$$

Verification Conditions for programs

For each function defined with generalized contract

```
let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$ 
  requires  $Pre$ 
  writes  $\vec{w}$ 
  ensures  $Post$ 
  raises  $E_1 \rightarrow Post_1$ 
   $\vdots$ 
  raises  $E_n \rightarrow Post_n$ 
  body  $Body$ 
```

we have to check

- Variables assigned in $Body$ belong to \vec{w}
- $Pre \rightarrow \text{WP}(Body, Post, E_k \rightarrow Post_k)[w_i @ Old \leftarrow w_i]$ holds

Example: “Defensive” variant of ISQRT

```
exception NotSquare

let isqrt(x:int): int
  ensures result >= 0 /\ sqr(result) = x
  raises NotSquare -> forall n:int. sqr(n) <> x
body
  if x < 0 then raise NotSquare;
  let ref res = 0 in
  let ref sum = 1 in
  while sum <= x do
    res <- res + 1; sum <- sum + 2 * res + 1
  done;
  if sqr(res) <> x then raise NotSquare;
  res
```

See Why3 version in [isqrt_exc.mlw](#)

Home Work

- Implement and prove binary search using also a immediate exit:
 $low = 0; high = a.length - 1;$
while $low \leq high$:
 let m be the middle of low and $high$
 if $a[m] = v$ then return m
 if $a[m] < v$ then continue search between m and $high$
 if $a[m] > v$ then continue search between low and m
(see [bin_search_exc.mlw](#))

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Computers and Number Representations

- 32-, 64-bit signed **integers** in two-complement: may **overflow**
 - $2147483647 + 1 \rightarrow -2147483648$
 - $100000^2 \rightarrow 1410065408$
- **floating-point numbers** (32-, 64-bit):
 - **overflows**
 - $2 \times 2 \times \dots \times 2 \rightarrow +inf$
 - $-1/0 \rightarrow -inf$
 - $0/0 \rightarrow NaN$
 - **rounding errors**
 - $\underbrace{0.1 + 0.1 + \dots + 0.1}_{10 \text{ times}} = 1.0 \rightarrow \text{false}$
(because $0.1 \rightarrow 0.100000001490116119384765625$ in 32-bit)

See also [arith.c](#)

Some Numerical Failures

- ▶ 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.
- ▶ 1992, Green Party of Schleswig-Holstein seats in Parliament for a few hours, until a rounding error is discovered.
- ▶ 1995, Ariane 5 explodes during its maiden flight due to an overflow: insurance cost is \$500M.
- ▶ 2007, Excel displays 77.1×850 as 100000.

Some Numerical Failures

- ▶ 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.
Internal clock ticks every 0.1 second.
Time is tracked by fixed-point arith.: $0.1 \simeq 209715 \cdot 2^{-24}$.
Cumulated skew after 24h: -0.08s , distance: 160m.
System was supposed to be rebooted periodically.
- ▶ 2007, Excel displays 77.1×850 as 100000.
Bug in binary/decimal conversion.
Failing inputs: 12 FP numbers.
Probability to uncover them by random testing: 10^{-18} .

Integer overflow: example of Binary Search

- ▶ Google “Read All About It: Nearly All Binary Searches and Mergesorts are Broken”

```
let ref l = 0 in
let ref u = a.length - 1 in
while l <= u do
  let m = (l + u) / 2 in
  ...
```

$l + u$ may overflow with large arrays!

Goal

prove that a program is safe with respect to overflows

Target Type: int32

- ▶ 32-bit signed integers in two-complement representation: integers between -2^{31} and $2^{31} - 1$.
- ▶ If the **mathematical** result of an operation fits in that range, that is the **computed** result.
- ▶ Otherwise, an **overflow** occurs.
Behavior depends on language and environment: modulo arith, saturated arith, abrupt termination, etc.

A program is **safe** if no overflow occurs.

Safety Checking

Idea: replace all arithmetic operations by abstract functions with preconditions. $x + y$ becomes `int32_add(x, y)`.

```
val int32_add(x: int, y: int): int
  requires -2^31 <= x + y < 2^31
  ensures result = x + y
```

Unsatisfactory: range constraints of integer must be added explicitly everywhere

Safety Checking, Second Attempt

Idea:

- ▶ replace type `int` with an abstract type `int32`
- ▶ introduce a *projection* from `int32` to `int`
- ▶ axiom about the *range* of projections of `int32` elements
- ▶ replace all operations by abstract functions with preconditions

```
type int32
function to_int(x: int32): int
axiom bounded_int32:
  forall x: int32. -2^31 <= to_int(x) < 2^31

val int32_add(x: int32, y: int32): int32
  requires -2^31 <= to_int(x) + to_int(y) < 2^31
  ensures to_int(result) = to_int(x) + to_int(y)
```

Binary Search with overflow checking

See `bin_search_int32.mlw`

Application

Used for translating mainstream programming language into Why3:

- ▶ From C to Why3: Frama-C, Jessie plug-in
See `bin_search.c`
- ▶ From Java to Why3: Krakatoa
- ▶ From Ada to Why3: Spark2014
- ▶ From Rust to Why3: Creusot

Floating-Point Arithmetic

- ▶ Limited range \Rightarrow **exceptional** behaviors.
- ▶ Limited **precision** \Rightarrow **inaccurate** results.

Floating-Point Data

IEEE-754 Binary Floating-Point Arithmetic.

Width: $1 + w_e + w_m = 32$, or 64, or 128.

Bias: $2^{w_e-1} - 1$. Precision: $p = w_m + 1$.

A floating-point datum

sign s	biased exponent e' (w_e bits)	mantissa m (w_m bits)
----------	------------------------------------	----------------------------

represents

- ▶ if $0 < e' < 2^{w_e} - 1$, the real $(-1)^s \cdot 1.\overline{m'} \cdot 2^{e'-bias}$, **normal**
- ▶ if $e' = 0$,
 - ▶ ± 0 if $m' = 0$, **zeros**
 - ▶ the real $(-1)^s \cdot 0.\overline{m'} \cdot 2^{-bias+1}$ otherwise, **subnormal**
- ▶ if $e' = 2^{w_e} - 1$,
 - ▶ $(-1)^s \cdot \infty$ if $m' = 0$, **infinity**
 - ▶ **Not-a-Number** otherwise. **NaN**

Floating-Point Data

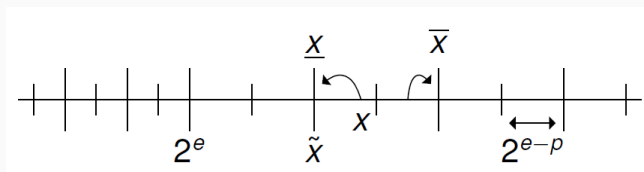
$$\begin{array}{ccc}
 \boxed{1} & \boxed{11000110} & \boxed{100100111110000111000000} \\
 s & e & f \\
 \downarrow & \downarrow & \downarrow \\
 (-1)^s & \times 2^{e-B} & \times 1.f \\
 \\
 (-1)^1 & \times 2^{198-127} & \times 1.100100111110000111000000_2 \\
 \\
 & -2^{54} \times 206727 \approx -3.7 \times 10^{21}
 \end{array}$$

Semantics for the Finite Case

IEEE-754 standard

A floating-point operator shall behave as if it was first computing the **infinitely-precise** value and then **rounding** it so that it fits in the destination floating-point format.

Rounding of a **real** number x :



Overflows are **not** considered when defining rounding: exponents are supposed to have **no upper bound**!

Specifications, main ideas

Same as with integers, we specify FP operations so that no overflow occurs.

```

constant max : real = 0x1.FFFFFEp127
predicate in_float32 (x:real) = abs x <= max
type float32
function to_real(x: float32): real
axiom float32_range: forall x: float32. in_float32 (to_real x)

function round32(x: real): real
(* ... axioms about round32 ... *)

function float32_add(x: float32, y: float32): float32
  requires in_float32(round32(to_real x + to_real y))
  ensures to_real result = round32 (to_real x + to_real y)
    
```

Specifications in practice

- ▶ Several possible rounding modes
- ▶ many axioms for `round32`, but incomplete anyway
- ▶ Specialized prover: Gappa <http://gappa.gforge.inria.fr/>

Demo: [clock_drift.c](#)

Deductive verification nowadays

More native support in SMT solvers:

- ▶ *bitvectors* supported by CVC4, Z3, others
- ▶ *theory of floats* supported by Z3, CVC4, MathSAT

Using such a support for deductive program verification remains an open research topic

- ▶ Issues when bitvectors/floats are mixed with other features: conversions, arrays, quantification

[Fumex et al.\(2016\)](#) C. Fumex, C. Dross, J. Gerlach, C. Marché. Specification and proof of high-level functional properties of bit-level programs. 8th NASA Formal Methods Symposium, LNCS 9690 Science

[Boldo, Marché \(2011\)](#) S. Boldo, C. Marché. Formal verification of numerical programs: from C annotated programs to mechanical proofs. Mathematics in Computer Science, 5:377–393

That's all for today, Merry Christmas !



- ▶ Next lecture on January 14th
- ▶ Several home work exercises to do
- ▶ Project text available on the web page, to be returned before February 8th, 2024