

Separation Logic 3/4

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Specifications of `iter`

Invariant on **past** elements:

$$\forall flI \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{nil}\} \text{iter } fl \{\lambda_. I l\}}$$

Invariant on **future** elements:

$$\forall flI \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } fl \{\lambda_. I' \text{nil}\}}$$

Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}}$$

$$\frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

```
let length l =  
  let r = ref 0 in  
  iter (fun x -> incr r) l;  
  !r
```

Exercise: Use rule ITER-PAST to prove length

Exercise: Use rule ITER-FUTURE to prove length

Proof of length with ITER-PAST

$$\frac{\text{ITER-PAST} \quad \forall x k \{I k\} f x \{\lambda_. I (k&x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

Choose $I k \equiv r \mapsto |k|$

```
{⌈⌋}
```

```
let r = ref 0
```

```
{r ↦ 0}
```

```
{I []}
```

```
iter (fun x -> incr r) l;
```

```
{I l}
```

```
{r ↦ |l|}
```

```
!r
```

```
{λv. ⌈v = |l|⌋}
```


Proof of length with ITER-FUTURE

$$\frac{\text{ITER-FUTURE} \quad \forall x k \{I'(x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}}$$

Choose $I' k \equiv r \mapsto |l| - |k|$

```
{r []}
let r = ref 0
{r ↦ 0}
{r ↦ |l| - |[]|}
{I l}
iter (fun x -> incr r) l;
{I []}
{r ↦ |l| - |[[]]|}
{r ↦ |l|}
!r
{λv. rv = |l|r}
```


Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

Exercise: Give an example where ITER-PAST is insufficient. Adapt the premise of the rule to allow your example.

Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

Exercise: Give an example where ITER-PAST is insufficient. Adapt the premise of the rule to allow your example.

```
iter (fun x -> assert (x < 7)) [1; 2; 3; 4]
```

Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k\&x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

Exercise: Give an example where ITER-PAST is insufficient. Adapt the premise of the rule to allow your example.

```
iter (fun x -> assert (x < 7)) [1; 2; 3; 4]
```

Adaptation of premise: $\forall xk. x \in l \Rightarrow \{I k\} f x \{\lambda_. I (k\&x)\}$

Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k\&x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

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Adaptation of premise: $\forall xk. x \in l \Rightarrow \{I k\} f x \{\lambda_. I (k\&x)\}$

```
let r = ref 54321 in
```

```
iter (fun x -> assert (!r mod 10 = x); r := !r / 10)
```

....what are the possible arguments?

Application of iter

$$\text{specs: } \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k\&x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}$$

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Adaptation of premise: $\forall xk. x \in l \Rightarrow \{I k\} f x \{\lambda_. I (k\&x)\}$

```
let r = ref 54321 in
iter (fun x -> assert (!r mod 10 = x); r := !r / 10)
[1; 2; 3; 4]
```

Adaptation: $\forall xk. \text{prefix}(k\&x, l) \Rightarrow \{I k\} f x \{\lambda_. I (k\&x)\}$

Proof of ITER-FUTURE

Suppose I', f such that $\forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}$ (*).

```
let rec iter (f : 'a -> unit) (l : 'a list) =  
  match l with  
  | [] -> ()  
  | x::t -> f x; iter f t
```

We prove $\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}$ by induction on l .

$$\begin{array}{ll} \{I' (x :: t)\} & \\ f x & \text{by } (*) \\ \{I' t\} & \\ \text{iter } f t & \text{by I.H.,} \\ \{I' \text{nil}\} & \end{array}$$

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall x k \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall x k \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall x k \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv$

Proof of ITER-FUTURE \Rightarrow ITER-PAST

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Suppose: f, I, l such that $\forall x k \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. 'l = k \# s' * I k$.

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall x k \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall x k \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall x k \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. \ulcorner l = k \# s \urcorner * I k$.

$\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$

CONSEQ

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall xk \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. \ulcorner l = k ++ s \urcorner * I k$.

$$\frac{I \text{nil} \triangleright I' l \qquad I' \text{nil} \triangleright I l}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}} \text{CONSEQ}$$

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall x k \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall x k \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall x k \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. \ulcorner l = k \# s \urcorner * I k$.

$$\frac{I \text{ nil} \triangleright I' l \quad \frac{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}}{\text{I.T.F.}} \quad I' \text{ nil} \triangleright I l}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \text{ CONSEQ}$$

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k \& x)\}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall xk \{I k\} f x \{\lambda_. I (k \& x)\}$ (*).

Prove: $\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. 'l = k \# s' * I k$.

$$\frac{I \text{ nil} \triangleright I' l \quad \frac{l = k \# s \Rightarrow \quad \frac{\forall xs \{I' (x :: s)\} f x \{\lambda_. I' s\}}{\{I' l\} \text{ iter } f l \{\lambda_. I' \text{ nil}\}} \quad \forall I; \forall I'; \exists L; \text{PROP-L}}{I' \text{ nil} \triangleright I l} \quad \text{IT.F.}}{\{I \text{ nil}\} \text{ iter } f l \{\lambda_. I l\}} \quad \text{CONSEQ}$$

Proof of ITER-FUTURE \Rightarrow ITER-PAST

$$\frac{\text{ITER-FUTURE} \quad \forall xk \{I' (x :: k)\} f x \{\lambda_. I' k\}}{\{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\}} \Rightarrow \frac{\text{ITER-PAST} \quad \forall xk \{I k\} f x \{\lambda_. I (k\&x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

Suppose: f, I, l such that $\forall xk \{I k\} f x \{\lambda_. I (k\&x)\}$ (*).

Prove: $\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}$.

Choose $I' s \equiv \exists k. 'l = k ++ s' * I k$.

$$\frac{\begin{array}{c} (*) \quad I (k\&x) \triangleright I' s \\ \hline l = k ++ s \Rightarrow \{I k\} f x \{\lambda_. I' s\} \quad \text{CONSEQ} \\ \hline \forall xs \{I' (x :: s)\} f x \{\lambda_. I' s\} \quad \forall I; \forall I; \exists L; \text{PROP-L} \\ \hline \{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\} \quad \text{IT.F.} \end{array}}{\begin{array}{c} I \text{nil} \triangleright I' l \\ \hline \{I' l\} \text{iter } f l \{\lambda_. I' \text{nil}\} \\ \hline \{I \text{nil}\} \text{iter } f l \{\lambda_. I l\} \quad \text{CONSEQ} \end{array}} \quad I' \text{nil} \triangleright I l$$

Other higher-order functions

ITER-PAST

$$\frac{\forall xk \{I k\} f x \{\lambda_. I (k&x)\}}{\{I \text{nil}\} \text{iter } f l \{\lambda_. I l\}}$$

MITER

$$\frac{\forall xk \{I k\} f x \{\lambda_. I (k&x)\}}{\{I \text{nil} * p \rightsquigarrow \text{MList } l\} \text{miter } f p \{\lambda_. I l * p \rightsquigarrow \text{MList } l\}}$$

FOLD

$$\frac{\forall xik \{J i k\} f i x \{\lambda j. J j (k&x)\}}{\{J a \text{nil}\} \text{fold } f a l \{\lambda b. J b l\}}$$

MAP

$$\frac{\forall xkk' \{J k k'\} f x \{\lambda x'. J (k&x) (k'&x')\}}{\{J \text{nil nil}\} \text{map } f l \{\lambda l'. J l l'\}}$$

Separating implication

Separating implication or “magic wand”

Recalling separating conjunction:

$$(P_1 * P_2)h \equiv \exists h_1, h_2. h = h_1 \uplus h_2 \wedge P_1 h_1 \wedge P_2 h_2$$

Introducing *separating implication*:

$$(P \multimap Q)h \equiv \forall h_1. h \perp h_1 \wedge P h_1 \Rightarrow Q(h \uplus h_1)$$

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Intuitions:

$$(P \multimap Q) * P \triangleright Q$$

Separation Logic	Linear Logic
$P * Q$	$P \otimes Q$
$P \multimap Q$	$P \multimap Q$

Rules:

$$\frac{R * P \vdash Q}{R \vdash (P \multimap Q)}$$

$$\frac{R_1 \vdash (P \multimap Q) \quad R_2 \vdash P}{R_1 * R_2 \vdash Q}$$

Separating implication examples

$$(P \multimap Q)h \equiv \forall h_1. h \perp h_1 \wedge P h_1 \Rightarrow Q(h \uplus h_1)$$

Exercise: Give heaps satisfying the following predicates:

- 1 $\text{True} \multimap (1 \mapsto 2)$
- 2 $\text{False} \multimap (1 \mapsto 2)$
- 3 $\text{True} \multimap (x \geq 1) \multimap (x \geq 0)$
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$
- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$
- 6 $(1 \mapsto 2) \multimap \text{False}$
- 7 $(1 \mapsto 2) \multimap \text{True}$
- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$

Separating implication examples

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Exercise: Give heaps satisfying the following predicates:

- 1 $\text{「} \top \text{」} \multimap (1 \mapsto 2)$ $\{(1, 2)\}$
- 2 $\text{「False」} \multimap (1 \mapsto 2)$
- 3 $\text{「}x \geq 1\text{」} \multimap \text{「}x \geq 0\text{」}$
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$
- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$
- 6 $(1 \mapsto 2) \multimap \text{「False」}$
- 7 $(1 \mapsto 2) \multimap \text{「} \top \text{」}$
- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$

Separating implication examples

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- 1 $\text{「} \top \text{」} \multimap (1 \mapsto 2)$ $\{(1, 2)\}$
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- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$
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- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$

Separating implication examples

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- 3 $\text{True} \multimap (x \geq 1)$ $\text{True} \multimap (x \geq 0)$ only \emptyset
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$
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- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$ $\{(2, 3)\}$ and any h with $1 \in \text{dom}(h)$
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- 3 $\text{「} x \geq 1 \multimap \text{「} x \geq 0 \text{」} \text{」}$ only \emptyset
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$ $\{(2, 3)\}$ and any h with $1 \in \text{dom}(h)$
- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$ \emptyset and any h with $1 \in \text{dom}(h)$
- 6 $(1 \mapsto 2) \multimap \text{「False} \text{」}$ any h with $1 \in \text{dom}(h)$
- 7 $(1 \mapsto 2) \multimap \text{「} \top \text{」}$ any h with $1 \in \text{dom}(h)$
- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$

Separating implication examples

$$(P \multimap Q)h \equiv \forall h_1. h \perp h_1 \wedge P h_1 \Rightarrow Q(h \uplus h_1)$$

Exercise: Give heaps satisfying the following predicates:

- 1 $\text{True} \multimap (1 \mapsto 2)$ $\{(1, 2)\}$
- 2 $\text{False} \multimap (1 \mapsto 2)$ all heaps
- 3 $\text{True} \multimap (x \geq 1)$ only \emptyset
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$ $\{(2, 3)\}$ and any h with $1 \in \text{dom}(h)$
- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$ \emptyset and any h with $1 \in \text{dom}(h)$
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- 7 $(1 \mapsto 2) \multimap \text{True}$ any h with $1 \in \text{dom}(h)$
- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$ any h with $1 \in \text{dom}(h)$

Separating implication examples

Exercise: Among the following heap entailments, which hold?

- 1 $P \triangleright (Q \ast P \ast Q)$
- 2 $(Q \ast P \ast Q) \triangleright P$
- 3 $(1 \mapsto 2) \ast (1 \mapsto 3) \triangleright \text{'False'}$
- 4 $(1 \mapsto 2) \ast (1 \mapsto 2 \ast 2 \mapsto 8) \triangleright 2 \mapsto 8$
- 5 $\text{'\top'} \ast P \triangleright P$
- 6 $P \triangleright \text{'\top'} \ast P$
- 7 $\text{'\top'} \triangleright (P \ast Q \ast P \ast Q)$
- 8 $\text{'}P \triangleright Q\text{'}$ $\triangleright (P \ast Q)$
- 9 $(P \ast Q) \triangleright \text{'}P \triangleright Q\text{'}$

Separating implication examples

Exercise: Among the following heap entailments, which hold?

- 1 $P \triangleright (Q \ast P \ast Q)$ **yes: unfold and behold the definition of \ast**
- 2 $(Q \ast P \ast Q) \triangleright P$
- 3 $(1 \mapsto 2) \ast (1 \mapsto 3) \triangleright \text{'False'}$
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Separating implication examples

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- 9 $(P \ast Q) \triangleright \text{'}P \triangleright Q\text{'}$ **no, e.g. $P = \text{'\top'}$ and $Q = 1 \mapsto 2$**

Higher-order representation predicates

Mlist with a representation predicate as parameter

Mutable list representing $L : \text{list } A$ using a parameter $R : A \rightarrow \text{Val} \rightarrow \text{Prop}$

$$\begin{aligned} p \rightsquigarrow \text{listof } R L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner \\ &| X :: L' \Rightarrow \exists x p'. \quad \begin{array}{l} p \mapsto (x, p') \\ * p' \rightsquigarrow \text{listof } R L' \\ * x \rightsquigarrow R X \end{array} \end{aligned}$$

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Remarks:

- the $x_i \rightsquigarrow R X_i$'s are separated by $*$ in $\text{listof } R [X_1; X_2]$

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- $(p \rightsquigarrow \text{MList } L) \Leftrightarrow (p \rightsquigarrow \text{listof Id } L)$ with $\text{Id } X =$

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- listof MList not appropriate for aliased sublist

Specs with H.-O. representation predicates

For base values:

$$\{p' \rightsquigarrow \text{MList } L\} \text{ cons } x p' \{ \lambda p. p \rightsquigarrow \text{MList } (x :: L) \}$$

Specs with H.-O. representation predicates

For base values:

$$\{p' \rightsquigarrow \text{MList } L\} \text{ cons } x p' \{ \lambda p. p \rightsquigarrow \text{MList } (x :: L) \}$$

With a higher-order representation predicate:

$$\{x \rightsquigarrow R X * p' \rightsquigarrow \text{listof } R L\} \text{ cons } x p' \{ \lambda p. p \rightsquigarrow \text{listof } R (X :: L) \}$$

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Specs with H.-O. representation predicates

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$$\{p \rightsquigarrow \text{listof } R (X :: L)\} \quad p.\text{hd} \quad \{ \lambda x. x \rightsquigarrow R X * p \rightsquigarrow \text{listof } R (X :: L) \}$$

Specs with H.-O. representation predicates

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Last postcondition cannot hold because/thanks to separation.

Accessor spec and H.-O. representation predicate

Incorrect specification for `p.hd`:

$$\{p \rightsquigarrow \text{listof } R(X :: L)\} \text{p.hd} \{\lambda x. x \rightsquigarrow R X * p \rightsquigarrow \text{listof } R(X :: L)\}$$

Exercise: What is a correct postcondition for `p.hd`?

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`p.hd := 1`

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`p.hd := 1`

$$\lambda x. x \rightsquigarrow R X * (\forall Y. x \rightsquigarrow R Y \multimap p \rightsquigarrow \text{listof } R(Y :: L))$$

Iterator spec and H.-O. representation predicate

$$\begin{aligned} \forall f p l I. & \quad (\forall x k. \{I(x :: k)\} f x \{\lambda_. I k\}) \\ \Rightarrow & \quad \{p \rightsquigarrow \text{MList } l * I l\} (\text{miter } f p) \{\lambda_. p \rightsquigarrow \text{MList } l * I \text{nil}\} \end{aligned}$$

What is a problem with this specification?

$$\begin{aligned} \forall f p L I. & \quad (\forall x X K. \{I(X :: K) * x \rightsquigarrow R X\} f x \{\lambda_. I K * x \rightsquigarrow R X\}) \\ \Rightarrow & \quad \{p \rightsquigarrow \text{listof } R L * I L\} \text{miter } f p \{\lambda_. p \rightsquigarrow \text{listof } R L * I \text{nil}\} \end{aligned}$$

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Hint: let `p = { hd = ref 0; tl = null }` in

Iterator spec and H.-O. representation predicate

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Hint: let `p = { hd = ref 0; tl = null }` in `miter incr p`

Exercise: specify the function `miter`, using an invariant of the form $J K K'$, where K is remaining to process and K' is a result list.

Iterator for listof

$$\begin{array}{c} \{x \rightsquigarrow R X * J (X :: K) K'\} \\ \forall x X K K'. \quad f x \\ \{ \lambda _. \exists Y. x \rightsquigarrow R Y * J K (K' \& Y) \} \\ \hline \{ p \rightsquigarrow \text{listof } R L * J L \text{ nil} \} \\ \text{(miter } f p \text{)} \\ \{ \lambda _. \exists L'. p \rightsquigarrow \text{listof } R L' * J \text{ nil } L' \} \end{array}$$

Parallelism and Concurrency

Parallel pairs

A parallel pair, written $(|t_1, t_2|)$, for evaluating two subterms in parallel.
(Note: one often sees $t_1 || t_2$ for $\text{let } ((), ()) = (|t_1, t_2|) \text{ in } ()$.)

Computing: $a[i] + a[i + 1] + \dots + a[j - 1]$.

```
let rec sum a i j =  
  if j - i = 1 then a.(i) else begin  
    let m = (i+j) / 2 in  
    let (s1,s2) = (| sum a i m, sum a m j |) in  
    s1 + s2  
  end
```

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  end
```

Generalizable to map-reduce: $f(t[0]) \oplus f(a[1]) \oplus \dots \oplus f(a[n - 1])$.
(on which condition on \oplus ?)

Reasoning rule for parallel pairs

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 * H_2\} (|t_1, t_2|) \{Q_1 * Q_2\}} \text{ PARALLEL}$$

where $Q_1 * Q_2 \equiv \lambda(x_1, x_2). Q_1 x_1 * Q_2 x_2$

Reasoning rule for parallel pairs

$$\frac{\{H_1\} t_1 \{Q_1\} \quad \{H_2\} t_2 \{Q_2\}}{\{H_1 * H_2\} (|t_1, t_2|) \{Q_1 * Q_2\}} \text{ PARALLEL}$$

where $Q_1 * Q_2 \equiv \lambda(x_1, x_2). Q_1 x_1 * Q_2 x_2$

This rule restricts parallel threads to act on disjoint parts of memory.
(No need for non-interference conditions.)

Concurrent locks: example

```
let r = ref 0
let s = ref n
let p = newlock()

let concurrent_step () =
  acquire p;
  incr r;
  decr s;
  release p
```

The intention is that `concurrent_step ()` can be called concurrently by different threads running in parallel.

Concurrent locks: example

```
let r = ref 0
let s = ref n
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let concurrent_step () =
  acquire p;
  incr r;
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```

The intention is that `concurrent_step ()` can be called concurrently by different threads running in parallel.

Heap predicate $p \rightsquigarrow \text{Lock } H$ asserts that lock p protects an invariant H . Here:

$$p \rightsquigarrow \text{Lock} (\exists i. (r \mapsto i) * (s \mapsto n - i))$$

Concurrent locks: specification of operations

Representation predicate:

$$p \rightsquigarrow \text{Lock } H$$

It is duplicable, i.e.:

$$p \rightsquigarrow \text{Lock } H \triangleright p \rightsquigarrow \text{Lock } H * p \rightsquigarrow \text{Lock } H$$

Operations:

$$\forall H. \quad \{H\} (\text{newlock } ()) \{ \lambda p. p \rightsquigarrow \text{Lock } H \}$$

$$\forall p H. \quad \{p \rightsquigarrow \text{Lock } H\} (\text{acquire } p) \{ \lambda_. H * p \rightsquigarrow \text{Lock } H \}$$

$$\forall p H. \quad \{H * p \rightsquigarrow \text{Lock } H\} (\text{release } p) \{ \lambda_. p \rightsquigarrow \text{Lock } H \}$$

Concurrent locks: exercise

$\forall H. \quad \{H\} \text{ (newlock } ()) \{\lambda p. p \rightsquigarrow \text{Lock } H\}$

$\forall p H. \quad \{p \rightsquigarrow \text{Lock } H\} \text{ (acquire } p) \{\lambda_. H * p \rightsquigarrow \text{Lock } H\}$

$\forall p H. \{H * p \rightsquigarrow \text{Lock } H\} \text{ (release } p) \{\lambda_. p \rightsquigarrow \text{Lock } H\}$

$H_0 \equiv p \rightsquigarrow \text{Lock } (\exists i. (r \mapsto i) * (s \mapsto n - i))$

Exercise: States before/after declarations; specify and prove the function.

```
let r = ref 0
```

```
let s = ref n
```

```
let p = newlock ()
```

```
let concurrent_step () =
```

```
  acquire p;
```

```
  incr r; decr s;
```

```
  release p
```

Concurrent locks: exercise

Exercise: States before/after declarations; specify and prove the function.

```
let r = ref 0
let s = ref n
let p = newlock ()

let concurrent_step () =
  acquire p;
  incr r; decr s;
  release p
```

- 1: \top . 2: $r \mapsto 0$. 3: $r \mapsto 0 * s \mapsto n$.
4: $p \rightsquigarrow \text{Lock} (\exists i. (r \mapsto i) * (s \mapsto n - i))$.
7: $(r \mapsto i) * (s \mapsto n - i)$. 8: $(r \mapsto i + 1) * (s \mapsto n - i)$.
9: $(r \mapsto i + 1) * (s \mapsto n - i - 1)$. Instantiate the invariant with $i + 1$.

Fractional permissions

Fractional permissions (Boyland, 2003)

$$(r \overset{\alpha}{\mapsto} v) \quad \text{with } 0 < \alpha \leq 1$$

Splitting and merging:

$$(r \mapsto v) = (r \overset{1}{\mapsto} v) = (r \overset{1/2}{\mapsto} v) * (r \overset{1/2}{\mapsto} v)$$

More generally, if $0 < \alpha, \beta \leq 1$:

$$(r \overset{\alpha+\beta}{\mapsto} v) = (r \overset{\alpha}{\mapsto} v) * (r \overset{\beta}{\mapsto} v)$$

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$$(r \overset{\alpha+\beta}{\mapsto} v) = (r \overset{\alpha}{\mapsto} v) * (r \overset{\beta}{\mapsto} v)$$

Values must agree: if $0 < \alpha, \beta \leq 1$:

$$\left((r \overset{\alpha}{\mapsto} v) * (r \overset{\beta}{\mapsto} w) \right) \triangleright \left((r \overset{\alpha}{\mapsto} v) * (r \overset{\beta}{\mapsto} w) * \ulcorner v = w \urcorner \right)$$

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More generally, if $0 < \alpha, \beta \leq 1$:

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Values must agree: if $0 < \alpha, \beta \leq 1$:

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Operations:

$$\begin{aligned} & \{ \ulcorner \cdot \urcorner \} \text{ (ref } v) \{ \lambda r. r \overset{1}{\mapsto} v \} \\ & \{ r \overset{1}{\mapsto} v' \} \text{ (r := v) } \{ \lambda _. r \overset{1}{\mapsto} v \} \\ \forall \alpha. \alpha > 0 \Rightarrow & \{ r \overset{\alpha}{\mapsto} v \} \text{ (!r) } \{ \lambda x. \ulcorner x = v \urcorner * (r \overset{\alpha}{\mapsto} v) \} \end{aligned}$$

Concurrent locks: can we prove this program?

```
let r = ref 0
let p = newlock()

let f () =
  acquire p;
  incr r;
  release p

let () =
  let _ = (| f(), f() |) in
  acquire p;
  assert (!r == 2)
```

Attempt to prove incr2

```
let r = ref 0
let p = newlock()
let f () = acquire p; incr r; release p
let () = let _ = (| f(), f() |) in acquire p; assert (!r == 2)
```

rewritten graphically as:

```
let r = ref 0
let p = newlock()
```

acquire p;		acquire p;
r := !r + 1;		r := !r + 1;
release p;		release p;

```
acquire p;
assert (!r == 2);
```

Attempt to prove incr2

```
let r = ref 0
let p = newlock()
let f () = acquire p; incr r; release p
let () = let _ = (| f(), f() |) in acquire p; assert (!r == 2)
```

rewritten graphically as:

```
let r = ref 0
let p = newlock()
```

```
acquire p;      ||      acquire p;
r := !r + 1;   ||      r := !r + 1;
release p;     ||      release p;
```

```
acquire p;
assert (!r == 2);
```

$p \rightsquigarrow \text{Lock}(\text{???})$

Attempt to prove incr2

```
let r = ref 0
let p = newlock()
let f () = acquire p; incr r; release p
let () = let _ = (| f(), f() |) in acquire p; assert (!r == 2)
```

rewritten graphically as:

```
let r = ref 0
let p = newlock()
```

acquire p;		acquire p;
r := !r + 1;		r := !r + 1;
release p;		release p;

```
acquire p;
assert (!r == 2);
```

$$p \rightsquigarrow \text{Lock} (\exists n. r \mapsto n)$$

Attempt to prove incr2

```
let r = ref 0
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let f () = acquire p; incr r; release p
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let r = ref 0
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```

acquire p;		acquire p;
r := !r + 1;		r := !r + 1;
release p;		release p;

```
acquire p;
assert (!r == 2);
```

$$p \rightsquigarrow \text{Lock} (\exists n. r \mapsto n * \ulcorner \dots ? \dots \urcorner)$$

Attempt to prove incr2

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let r = ref 0
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acquire p;		acquire p;
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```
acquire p;
assert (!r == 2);
```

$$p \rightsquigarrow \text{Lock} (\exists n. r \mapsto n * \ulcorner \dots ? \dots \urcorner)$$

Problem: it is impossible to prove, only with invariants, that this program does not crash (i.e. to prove $\{\ulcorner \text{True} \urcorner\}$ program $\{\ulcorner \text{True} \urcorner\}$).

Second attempt with auxiliary variables

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()
```

```
acquire p;           || acquire p;
r := !r + 1;         || r := !r + 1;
r1 := !r1 + 1;      || r2 := !r2 + 1;
release p;           || release p;
```

```
acquire p;
assert (!r == 2);
```

Second attempt with auxiliary variables

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()
```

```
acquire p;           ||           acquire p;
r := !r + 1;         ||           r := !r + 1;
r1 := !r1 + 1;      ||           r2 := !r2 + 1;
release p;          ||           release p;
```

```
acquire p;
assert (!r == 2);
```

Exercise: Find a lock invariant and then prove $\{\text{True}\}$ program $\{\text{True}\}$.

Hint: you can define a (temporary!) notation $\ell \hookrightarrow v \equiv \ell \xrightarrow{1/2} v$.

Second attempt with auxiliary variables

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()
```

```
acquire p;           || acquire p;
r := !r + 1;         || r := !r + 1;
r1 := !r1 + 1;      || r2 := !r2 + 1;
release p;          || release p;
```

```
acquire p;
assert (!r == 2);
```

Exercise: Find a lock invariant and then prove $\{\text{True}\}$ program $\{\text{True}\}$.

Hint: you can define a (temporary!) notation $\ell \hookrightarrow v \equiv \ell \xrightarrow{1/2} v$.

$$p \rightsquigarrow \text{Lock} (\exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2)$$

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \stackrel{1/2}{\mapsto} n_1 * r_2 \stackrel{1/2}{\mapsto} n_2$$

```
let r = ref 0
```

```
let r1 = ref 0
```

```
let r2 = ref 0
```

```
{r ↦ (0 + 0) * r1 ↦ 0 * r2 ↦ 0}
```

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

let r = ref 0

let r1 = ref 0

let r2 = ref 0

$\{r \mapsto (0 + 0) * r_1 \mapsto 0 * r_2 \mapsto 0\}$

$\{H * r_1 \xrightarrow{1/2} 0 * r_2 \xrightarrow{1/2} 0\}$

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

```
let r = ref 0
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let r1 = ref 0
```

```
let r2 = ref 0
```

```
{r ↦ (0 + 0) * r1 ↦ 0 * r2 ↦ 0}
```

```
{H * r1  $\xrightarrow{1/2}$  0 * r2  $\xrightarrow{1/2}$  0}
```

```
let p = newlock()
```

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

let r = ref 0

let r1 = ref 0

let r2 = ref 0

$\{r \mapsto (0 + 0) * r_1 \mapsto 0 * r_2 \mapsto 0\}$

$\{H * r_1 \xrightarrow{1/2} 0 * r_2 \xrightarrow{1/2} 0\}$

let p = newlock()

$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r_2 \xrightarrow{1/2} 0\}$

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

let r = ref 0

let r1 = ref 0

let r2 = ref 0

$\{r \mapsto (0 + 0) * r_1 \mapsto 0 * r_2 \mapsto 0\}$

$\{H * r_1 \xrightarrow{1/2} 0 * r_2 \xrightarrow{1/2} 0\}$

let p = newlock()

$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r_2 \xrightarrow{1/2} 0\}$

$\{(p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0) * (p \rightsquigarrow \text{Lock } H * r_2 \xrightarrow{1/2} 0)\}$

Proof

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
{r ↦ (0 + 0) * r1 ↦ 0 * r2 ↦ 0}
{H * r1  $\xrightarrow{1/2}$  0 * r2  $\xrightarrow{1/2}$  0}
let p = newlock()
{p  $\rightsquigarrow$  Lock H * r1  $\xrightarrow{1/2}$  0 * r2  $\xrightarrow{1/2}$  0}
{(p  $\rightsquigarrow$  Lock H * r1  $\xrightarrow{1/2}$  0) * (p  $\rightsquigarrow$  Lock H * r2  $\xrightarrow{1/2}$  0)}
{p  $\rightsquigarrow$  Lock H * r1  $\xrightarrow{1/2}$  0} || {p  $\rightsquigarrow$  Lock H * r2  $\xrightarrow{1/2}$  0}
acquire p;                               acquire p;
r := !r + 1;                               r := !r + 1;
...                                       ...
```

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\}$$

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

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$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

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$r := !r + 1;$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

$r := !r + 1;$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

r1 := !r1 + 1;

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

$r := !r + 1;$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

$r1 := !r1 + 1;$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 1 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

r1 := !r1 + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 1 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * r \mapsto (1 + n_2) * r_1 \xrightarrow{1/2} 1 * r_2 \xrightarrow{1/2} n_2\}$$

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

r1 := !r1 + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 1 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * r \mapsto (1 + n_2) * r_1 \xrightarrow{1/2} 1 * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * H\} \text{ (choosing } n_1 = 1 \text{ and } n_2 = n_2)$$

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

r1 := !r1 + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 1 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * r \mapsto (1 + n_2) * r_1 \xrightarrow{1/2} 1 * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * H\} \text{ (choosing } n_1 = 1 \text{ and } n_2 = n_2)$$

release p

Left thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * H\} \text{ so, for some } n_1, n_2:$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

r := !r + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 0 * r \mapsto (n_1 + n_2 + 1) * r_1 \xrightarrow{1/2} n_1 * r_2 \xrightarrow{1/2} n_2\}$$

so by agreement on r_1 we know $n_1 = 0$, and

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 0 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

r1 := !r1 + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1} 1 * r \mapsto (0 + n_2 + 1) * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * r \mapsto (1 + n_2) * r_1 \xrightarrow{1/2} 1 * r_2 \xrightarrow{1/2} n_2\}$$

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1 * H\} \text{ (choosing } n_1 = 1 \text{ and } n_2 = n_2)$$

release p

$$\{p \rightsquigarrow \text{Lock } H * r_1 \xrightarrow{1/2} 1\}$$

Right thread

$$H \equiv \exists n_1, n_2. r \mapsto (n_1 + n_2) * (r_1 \xrightarrow{1/2} n_1) * (r_2 \xrightarrow{1/2} n_2)$$

$$\{p \rightsquigarrow \text{Lock } H * r_2 \xrightarrow{1/2} 0\}$$

acquire p;

$$\{p \rightsquigarrow \text{Lock } H * r_2 \xrightarrow{1/2} 0 * H\}$$

r := !r + 1;

r2 := !r2 + 1;

$$\{p \rightsquigarrow \text{Lock } H * r_2 \xrightarrow{1/2} 1 * H\}$$

release p

$$\{p \rightsquigarrow \text{Lock } H * r_2 \xrightarrow{1/2} 1\}$$

Finish up

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()

{p ~ Lock H * r1  $\xrightarrow{1/2}$  0} || {p ~ Lock H * r2  $\xrightarrow{1/2}$  0}
acquire p;                               acquire p;
r := !r + 1;                               r := !r + 1;
r1 := !r1 + 1;                             r2 := !r2 + 1;
release p;                                 release p;

{p ~ Lock H * r1  $\xrightarrow{1/2}$  1} || {p ~ Lock H * r2  $\xrightarrow{1/2}$  1}
{p ~ Lock H * r1  $\xrightarrow{1/2}$  1 * r2  $\xrightarrow{1/2}$  1}
acquire p;

{r1  $\xrightarrow{1/2}$  1 * r2  $\xrightarrow{1/2}$  1 * r  $\mapsto$  (n1 + n2) * r1  $\xrightarrow{1/2}$  n1 * r2  $\xrightarrow{1/2}$  n2}
{r1  $\xrightarrow{1/2}$  1 * r2  $\xrightarrow{1/2}$  1 * r  $\mapsto$  1 + 1 * r1  $\xrightarrow{1/2}$  1 * r2  $\xrightarrow{1/2}$  1}
{r1  $\mapsto$  1 * r2  $\mapsto$  1 * r  $\mapsto$  2}
assert (!r == 2);
```

Some remarks

Problems with this proof:

- same example with an arbitrary number of threads?
- need to prove adding instructions preserves the semantics? (erasure theorem, complexify the instrumentation of the code)
- that's reasoning, it *should not* be in the program

We will see other ways, though similar in spirit and complexity.

What are invariants lacking?

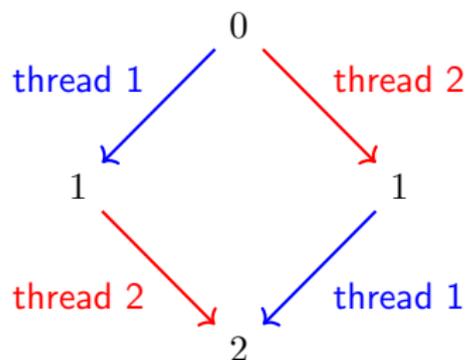
At high-level the program has two interleavings:

```
let r = ref 0 in
  let l = newlock () in
  acquire l;   || acquire l;
  r := !r + 1; || r := !r + 1;
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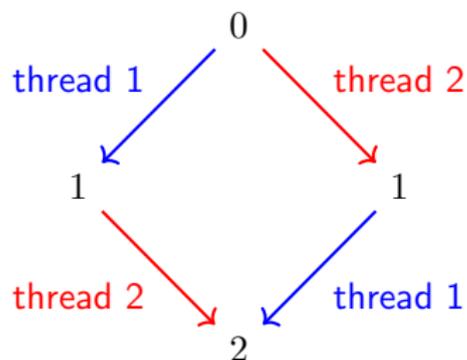
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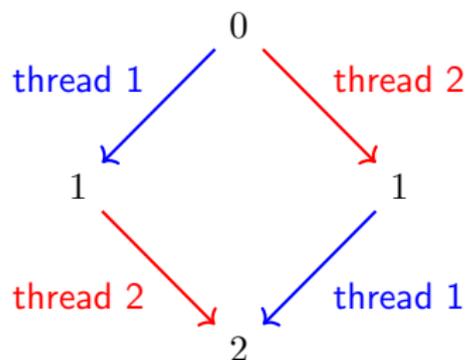


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A proof would need need to reflect this somehow.

- some notion of *state* embedded into the separation logic
- *splitting* (and *combining*) states into parts for each thread
- all possible orders: combining is *commutative* and *associative* (same reason as for *)

One way to add state: auxiliary variables

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()
```

```
acquire p;           || acquire p;
r := !r + 1;         || r := !r + 1;
r1 := !r1 + 1;      || r2 := !r2 + 1;
release p;          || release p;
```

```
acquire p;
assert (!r == 2);
```

Ghost state

What is ghost state?

In parallel programs $p = e_1 \parallel \dots \parallel e_n$, reductions $(p, h) \rightarrow (p', h')$ can be from any of the e_i , so \rightarrow is non-deterministic.

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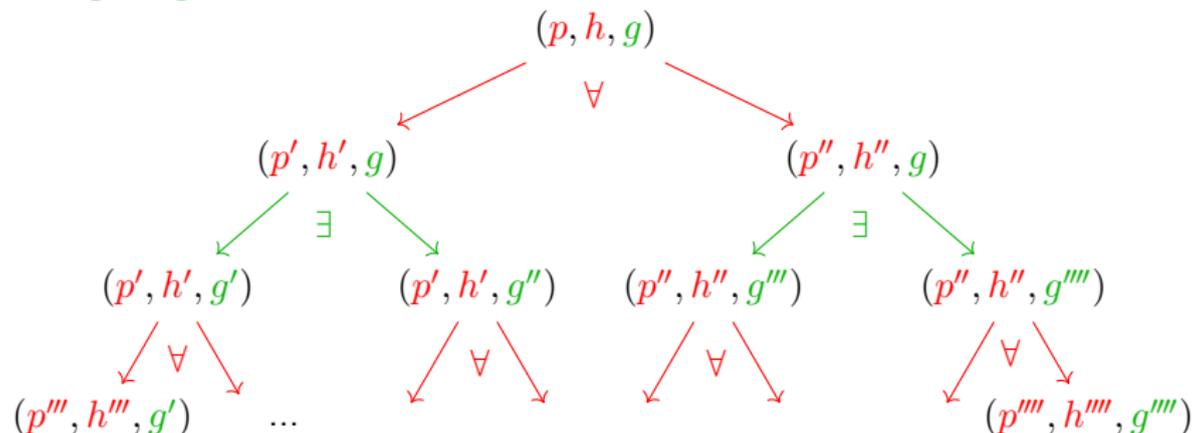
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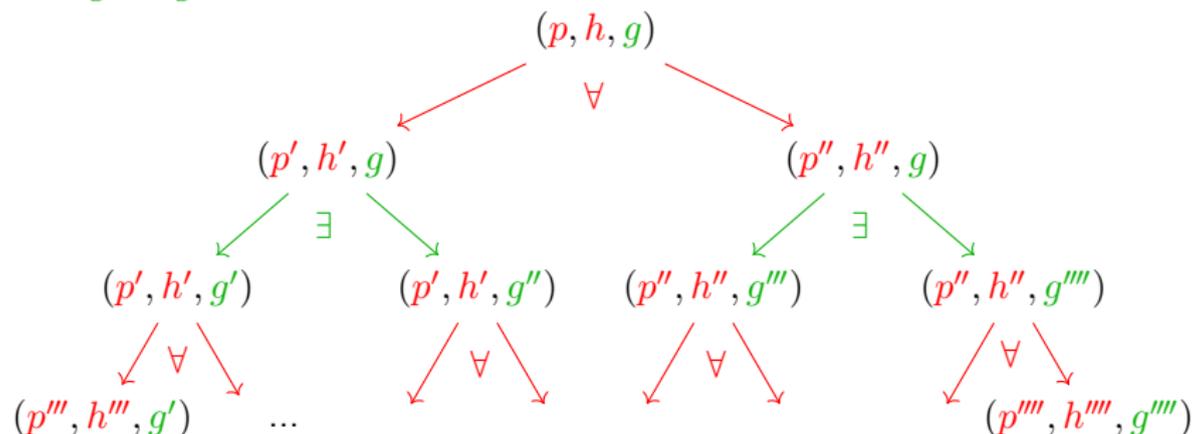
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Ghost state also needs to be split $g = g_1 \cdot \dots \cdot g_n$, one part for each e_i .

Ghost state, ghost assertions

Let (\mathcal{M}, \cdot) be a commutative *semigroup* (or “monoid”), i.e. $\forall abc \in \mathcal{M}$:

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Ownership of a is written \boxed{a} . Composition maps to separation:

$$\boxed{a \cdot b} = \boxed{a} * \boxed{b}$$

Ghost updates

The prover can perform some “ghost updates” inside each thread:

$$\boxed{a} \Rightarrow \boxed{a'} \quad \approx \text{changing } a \cdot a_2 \cdot a_3 \cdot \dots \text{ to } a' \cdot a_2 \cdot a_3 \cdot \dots$$

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The ghost state can be updated before or after physical steps:

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But to be useful, we must deduce *something* from \boxed{a} , knowing that other threads can update their own resources.

any idea how?

Ghost state to be continued