

# Separation Logic 4/4

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February 24, 2026

## Reminders about ghost state

# What are invariants lacking?

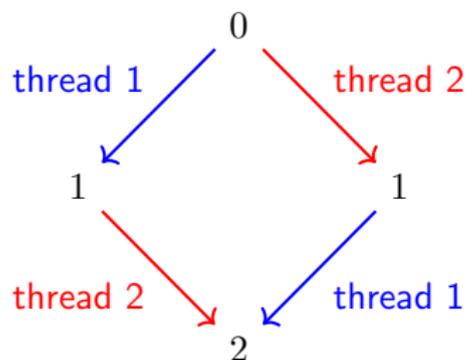
At high-level the program has two interleavings:

```
let r = ref 0 in
  let l = newlock () in
  acquire l;   || acquire l;
  r := !r + 1; || r := !r + 1;
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  assert (!r = 2)
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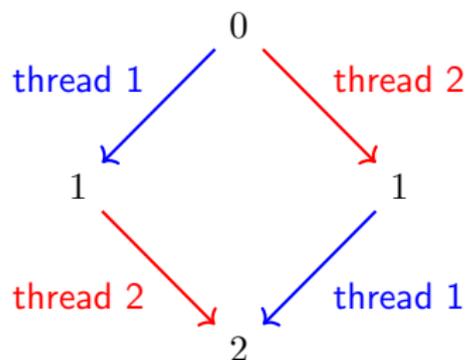
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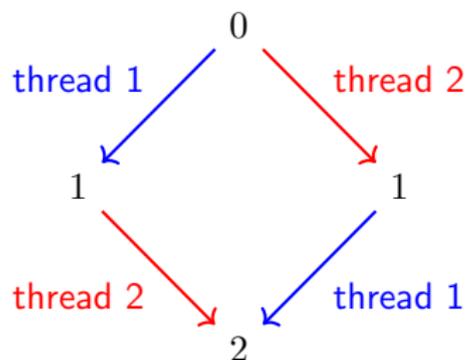


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A proof would need need to reflect this somehow.

- some notion of *state* embedded into the separation logic
- *splitting* (and *combining*) states into parts for each thread
- all possible orders: combining is *commutative* and *associative* (same reason as for \*)

# One way to add state: auxiliary variables

```
let r = ref 0
let r1 = ref 0
let r2 = ref 0
let p = newlock()
```

```
acquire p;           || acquire p;
r := !r + 1;         || r := !r + 1;
r1 := !r1 + 1;      || r2 := !r2 + 1;
release p;          || release p;
```

```
acquire p;
assert (!r == 2);
```

## Ghost state

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In parallel programs  $p = e_1 || \dots || e_n$ , reductions  $(p, h) \rightarrow (p', h')$  can be from any of the  $e_i$ , so  $\rightarrow$  is non-deterministic.

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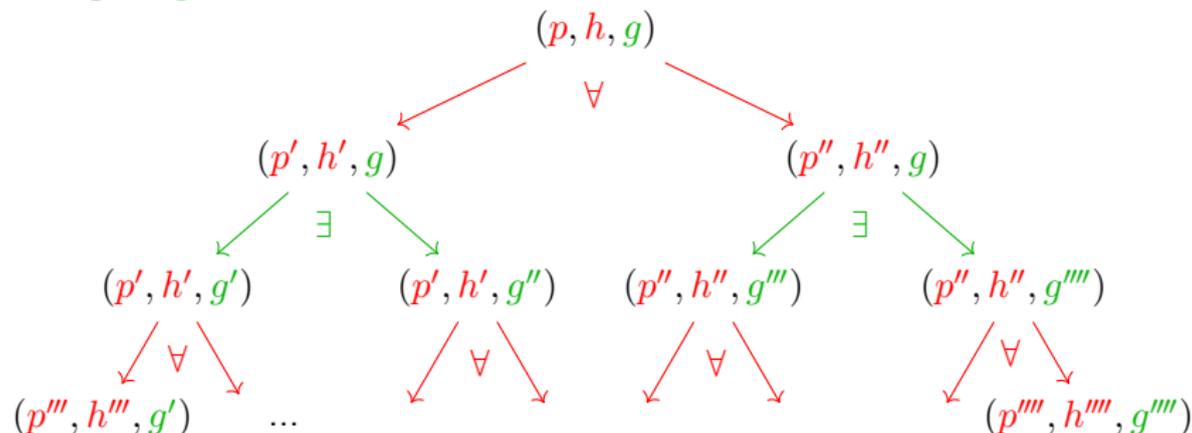
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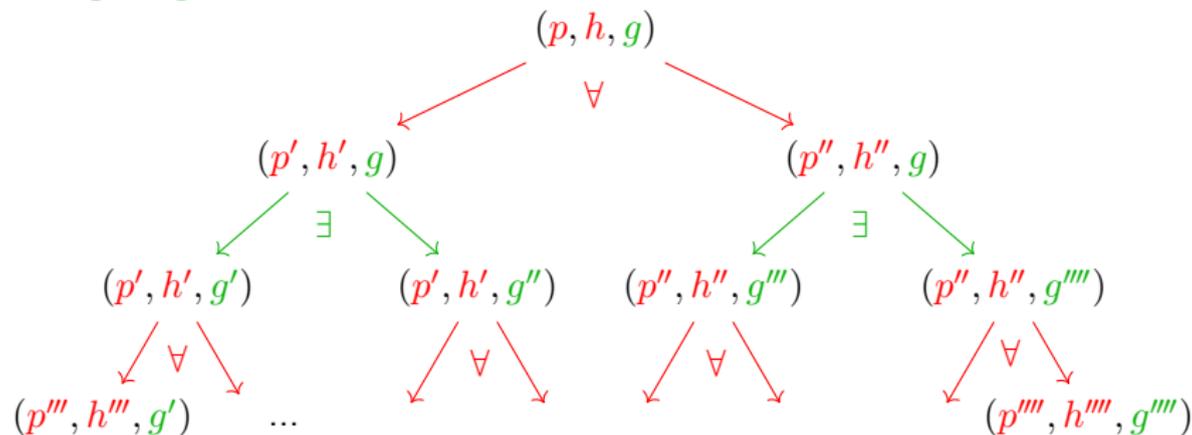
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Correctness of non-deterministic programs must consider **all** possible **physical steps**  $(p, h) \rightarrow (p', h')$ . For each, we get to **choose** a **ghost update**  $g \rightarrow g'$ :



Ghost state also needs to be split  $g = g_1 \cdot \dots \cdot g_n$ , one part for each  $e_i$ .

## Ghost state, ghost assertions

Let  $(\mathcal{M}, \cdot)$  be a commutative *semigroup* (or “monoid”), i.e.  $\forall abc \in \mathcal{M}$ :

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a$$

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Ownership of  $a$  is written  $\boxed{a}$ . Composition maps to separation:

$$\boxed{a \cdot b} = \boxed{a} * \boxed{b}$$

# Ghost updates

The prover can perform some “ghost updates” inside each thread:

$$\boxed{a} \Rightarrow \boxed{a'} \quad \approx \text{changing } a \cdot a_2 \cdot a_3 \cdot \dots \text{ to } a' \cdot a_2 \cdot a_3 \cdot \dots$$

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The ghost state can be updated before or after physical steps:

$$\frac{\text{GHOST-UPDATE} \quad P \Rightarrow P' \quad \{P'\} c \{\lambda x. Q'\} \quad \forall x. Q' x \Rightarrow Q x}{\{P\} c \{\lambda x. Q\}}$$

but  $P \Rightarrow P'$  does **not** imply  $P \triangleright P'$

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But to be useful, we must deduce *something* from  $\boxed{a}$ , knowing that other threads can update their own resources.

any idea how?

## Validity

Idea: pick an invariant on the global resource, *validity*:  $\mathcal{V} : \mathcal{M} \rightarrow \text{Prop}$

$$\mathcal{V}(a_1 \cdot \dots \cdot a_n \cdot r_1 \cdot \dots \cdot r_k) \text{ at all times} \quad \text{and} \quad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)}$$

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- updating ghost  $\boxed{a} \Rightarrow \boxed{a'}$  **requires** preservation of global validity in all contexts:

$$\frac{a \rightsquigarrow a'}{\boxed{a} \Rightarrow \boxed{a'}} \quad a \rightsquigarrow a' \equiv \begin{cases} \mathcal{V}(a) \Rightarrow \mathcal{V}(a') \\ \forall c \in \mathcal{M} \quad \mathcal{V}(a \cdot c) \Rightarrow \mathcal{V}(a' \cdot c) \end{cases}$$

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$a \rightsquigarrow a'$  is called a *frame-preserving update*.

# Resource algebra

A *resource algebra* is a triple  $(\mathcal{M}, \cdot, \mathcal{V})$  such that  $(\mathcal{M}, \cdot)$  is a commutative semigroup with a downward-closed predicate  $\mathcal{V} \subseteq \mathcal{M}$ , i.e. satisfying  $\forall a b c$ :

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a \qquad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)}$$

Last rule also written  $\frac{\mathcal{V}(c) \quad a \leq c}{\mathcal{V}(a)}$  where  $a \leq c \equiv \exists b. c = a \cdot b$

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**Exercise:** Give a resource algebra  $\mathcal{M}_t$  with  $t \in \mathcal{M}_t$  s.t.

$$\mathcal{V}(t) \qquad \neg \mathcal{V}(t \cdot t)$$

$t$  is sometimes called a *token*.

## Resource algebra exercise: $\mathcal{M}_{\text{sf}}$

**Exercise:** ([ra\\_SF.v](#)) Give a resource algebra  $\mathcal{M}_{\text{sf}}$  with  $S, F \in \mathcal{M}_{\text{sf}}$  s.t.

$$\mathcal{V}(S) \quad \neg \mathcal{V}(S \cdot F) \quad F = F \cdot F \quad S \rightsquigarrow F$$

Recall:

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \quad a \cdot b = b \cdot a \quad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)}$$

$$a \rightsquigarrow a' \equiv \begin{cases} \forall b \in \mathcal{M} \ \mathcal{V}(a \cdot b) \Rightarrow \mathcal{V}(a' \cdot b) \\ \mathcal{V}(a) \Rightarrow \mathcal{V}(a') \end{cases}$$

For once, the Rocq proof is easier [https://madiot.fr/progproofs/ra\\_SF.v](https://madiot.fr/progproofs/ra_SF.v)

# Resource algebra $\mathcal{M}_{sf}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(S)$$

$$2 : \neg \mathcal{V}(S \cdot F)$$

$$3 : F = F \cdot F$$

$$4 : S \rightsquigarrow F$$

Operations and validity:

$\cdot$	$S$	$F$	
$S$			
$F$			
$\mathcal{V}(-)$			

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$\mathcal{V}(-)$  | True | True | False

by 1,3; by 1,4; by 2 ( $\downarrow$ : notation for some invalid);

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$S$		$\downarrow$	
$F$	$\downarrow$	$F$	
$\downarrow$			

$\mathcal{V}(-) \mid \text{True} \mid \text{True} \mid \text{False}$

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$S$	$\text{\color{red}\frown}$	$\text{\color{red}\frown}$	$\text{\color{red}\frown}$
$F$	$\text{\color{red}\frown}$	$F$	$\text{\color{red}\frown}$
$\text{\color{red}\frown}$	$\text{\color{red}\frown}$	$\text{\color{red}\frown}$	$\text{\color{red}\frown}$

$\mathcal{V}(-)$  | True | True | False

by 1,3; by 1,4; by 2 ( $\text{\color{red}\frown}$ : notation for some invalid); by comm.; by  $\mathcal{V}\downarrow$ ; by 4.

Still need to check that laws hold (1, 2, 3, 4, assoc, sym,  $\mathcal{V}\downarrow$ ) (exercise!)

# Usage of $\mathcal{M}_{sf}$ in a proof

We will use  $\mathcal{M}_{sf}$  to prove a simpler program:

```
let r = ref 0
let l = newlock ()
acquire l; || acquire l;
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release l || release l
  acquire l;
assert (!r = 1)
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Consequences of the properties on  $S$ ,  $F$ :

$\mathcal{V}(S)$	ghost state can start at $\boxed{S}$
$\neg\mathcal{V}(S \cdot F)$	$\boxed{S} * \boxed{F} = \boxed{S \cdot F} \Rightarrow \text{'False'}$
$F = F \cdot F$	$\boxed{F} = \boxed{F \cdot F} = \boxed{F} * \boxed{F}$
$S \rightsquigarrow F$	$\boxed{S} \Rightarrow \boxed{F}$

**Exercise:** Give a lock invariant using  $\mathcal{M}_{sf}$  to prove the program above.

# Proof of $r := !r \parallel r := 1$

```
{S}  
let r = ref 0  
{S} * r ↦ 0
```

# Proof of $r := !r \parallel r := 1$

$\{S\}$

let  $r = \text{ref } 0$

$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}$

# Proof of $r := !r \parallel r := 1$

```
{S}
let r = ref 0
{S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * {S} ∨ r ↦ 1 * {F}
let l = newlock ()
{l ↦ Lock R}
```

# Proof of $r := !r \parallel r := 1$

```
 $\{S\}$   
let r = ref 0  
 $\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}$   
let l = newlock ()  
 $\{l \rightsquigarrow \text{Lock } R\}$   
acquire l;  
 $\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$ 
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# Proof of $r := !r \parallel r := 1$

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$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * S \vee r \mapsto 1 * F$

let  $l = \text{newlock } ()$

$\{l \rightsquigarrow \text{Lock } R\}$

acquire  $l$ ;

$\{r \mapsto 0 * S \vee r \mapsto 1 * F\}$

$\{r \mapsto 0 * S\}$  |  $\{r \mapsto 1 * F\}$

$r := !r;$  |  $r := !r;$

$\{r \mapsto 0 * S\}$  |  $\{r \mapsto 1 * F\}$

$\{R\}$  |  $\{R\}$

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let l = newlock ()
{l ↦ Lock R}
acquire l;
{r ↦ 0 * S ∨ r ↦ 1 * F}
{r ↦ 0 * S} | {r ↦ 1 * F}
r := !r;      r := !r;
{r ↦ 0 * S} | {r ↦ 1 * F}
{R}          {R}
{R}
release l
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 $\{l \rightsquigarrow \text{Lock } R\}$   
acquire l;  
 $\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$   
 $\{r \mapsto 0 * \{S\}\}$  |  $\{r \mapsto 1 * \{F\}\}$   
r := !r; | r := !r;  
 $\{r \mapsto 0 * \{S\}\}$  |  $\{r \mapsto 1 * \{F\}\}$   
 $\{R\}$  |  $\{R\}$   
 $\{R\}$   
release l  
 $\{\}$ 
```

```
acquire l;  
 $\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$ 
```

# Proof of $r := !r \parallel r := 1$

```
{S}
let r = ref 0
{S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * S ∨ r ↦ 1 * F
let l = newlock ()
{l ↦ Lock R}

acquire l;
{r ↦ 0 * S} ∨ {r ↦ 1 * F}
{r ↦ 0 * S} | {r ↦ 1 * F}
r := !r;      r := !r;
{r ↦ 0 * S} | {r ↦ 1 * F}
{R}          {R}
{R}
release l
{}
```

||

```
acquire l;
{r ↦ 0 * S} ∨ {r ↦ 1 * F}
{r ↦ 0 * S}
r := 1;
{r ↦ 1 * S}
```

# Proof of $r := !r \parallel r := 1$

<pre> {S} let r = ref 0 {S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * S ∨ r ↦ 1 * F let l = newlock () {l ↦ Lock R} acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := !r;      r := !r; {r ↦ 0 * S}   {r ↦ 1 * F} {R}          {R} {R} release l { } </pre>	<div style="border-left: 1px solid black; border-right: 1px solid black; height: 100%;"></div>	<pre> acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S} r := 1; {r ↦ 1 * S} ⇒ {r ↦ 1 * F} </pre>
--	--	---

# Proof of $r := !r \parallel r := 1$

<pre> {S} let r = ref 0 {S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * S ∨ r ↦ 1 * F let l = newlock () {l ↦ Lock R}  acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := !r;      r := !r; {r ↦ 0 * S}   {r ↦ 1 * F} {R}          {R} {R} release l { } </pre>	$\parallel$	<pre> acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := 1; {r ↦ 1 * S} ⇒ {r ↦ 1 * F} </pre>
---	-------------	---

# Proof of $r := !r \parallel r := 1$

<pre> {S} let r = ref 0 {S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * S ∨ r ↦ 1 * F let l = newlock () {l ↦ Lock R} acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := !r;      r := !r; {r ↦ 0 * S}   {r ↦ 1 * F} {R}          {R} {R} release l { } </pre>	$\parallel$	<pre> acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := 1;      r := 1; {r ↦ 1 * S} ⇒ {r ↦ 1 * F} {r ↦ 1 * F} </pre>
--	-------------	--

# Proof of $r := !r \parallel r := 1$

$\{S\}$

let  $r = \text{ref } 0$

$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * S \vee r \mapsto 1 * F$

let  $l = \text{newlock } ()$

$\{l \rightsquigarrow \text{Lock } R\}$

acquire  $l$ ;

$\{r \mapsto 0 * S \vee r \mapsto 1 * F\}$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$r := !r; \quad r := !r;$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$\{R\} \quad \{R\}$

$\{R\}$

release  $l$

$\{\square\}$

acquire  $l$ ;

$\{r \mapsto 0 * S \vee r \mapsto 1 * F\}$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$r := 1; \quad r := 1;$

$\{r \mapsto 1 * S\} \Rightarrow \{r \mapsto 1 * F\}$

$\{r \mapsto 1 * F\}$

$\{r \mapsto 1 * F\}$

# Proof of $r := !r \parallel r := 1$

$\{S\}$

let  $r = \text{ref } 0$

$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * S \vee r \mapsto 1 * F$

let  $l = \text{newlock } ()$

$\{l \rightsquigarrow \text{Lock } R\}$

acquire  $l$ ;

$\{r \mapsto 0 * S \vee r \mapsto 1 * F\}$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$r := !r; \quad r := !r;$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$\{R\} \quad \{R\}$

$\{R\}$

release  $l$

$\{\square\}$

acquire  $l$ ;

$\{r \mapsto 0 * S \vee r \mapsto 1 * F\}$

$\{r \mapsto 0 * S\} \quad \{r \mapsto 1 * F\}$

$r := 1; \quad r := 1;$

$\{r \mapsto 1 * S\} \Rightarrow \{r \mapsto 1 * F\}$

$\{r \mapsto 1 * F\}$

$\{r \mapsto 1 * F\} \Rightarrow \{r \mapsto 1 * F * F\}$

# Proof of $r := !r \parallel r := 1$

<pre> {S} let r = ref 0 {S} * r ↦ 0 ⇒ {R} with R = r ↦ 0 * S ∨ r ↦ 1 * F let l = newlock () {l ↦ Lock R}  acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := !r;      r := !r; {r ↦ 0 * S}   {r ↦ 1 * F} {R}          {R} {R} release l { } </pre>	$\parallel$	<pre> acquire l; {r ↦ 0 * S} ∨ {r ↦ 1 * F} {r ↦ 0 * S}   {r ↦ 1 * F} r := 1;      r := 1; {r ↦ 1 * S} ⇒ {r ↦ 1 * F} {r ↦ 1 * F} {r ↦ 1 * F} ⇒ {r ↦ 1 * F} * {F} {R * F} release l {F} </pre>
---	-------------	--

# Proof of $r := !r \parallel r := 1$

$\{S\}$

let  $r = \text{ref } 0$

$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}$

let  $l = \text{newlock } ()$

$\{l \rightsquigarrow \text{Lock } R\}$

acquire  $l$ ;

$\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$r := !r; \quad r := !r;$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$\{R\} \quad \{R\}$

$\{R\}$

release  $l$

$\{\square\}$

$\{F\}$

acquire  $l$ ;

$\{F\} * (r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\})$

acquire  $l$ ;

$\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$r := 1; \quad r := 1;$

$\{r \mapsto 1 * \{S\}\} \Rightarrow \quad \{r \mapsto 1 * \{F\}\}$

$\{r \mapsto 1 * \{F\}\}$

$\{r \mapsto 1 * \{F\}\} \Rightarrow \{r \mapsto 1 * \{F\} * \{F\}\}$

$\{R * \{F\}\}$

release  $l$

$\{F\}$

# Proof of $r := !r \parallel r := 1$

$\{S\}$

let  $r = \text{ref } 0$

$\{S\} * r \mapsto 0 \Rightarrow \{R\}$  with  $R = r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}$

let  $l = \text{newlock } ()$

$\{l \rightsquigarrow \text{Lock } R\}$

acquire  $l$ ;

$\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$r := !r; \quad r := !r;$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$\{R\} \quad \{R\}$

$\{R\}$

release  $l$

$\{\square\}$

acquire  $l$ ;

$\{r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\}\}$

$\{r \mapsto 0 * \{S\}\} \quad \{r \mapsto 1 * \{F\}\}$

$r := 1; \quad r := 1;$

$\{r \mapsto 1 * \{S\}\} \Rightarrow \{r \mapsto 1 * \{F\}\}$

$\{r \mapsto 1 * \{F\}\}$

$\{r \mapsto 1 * \{F\}\} \Rightarrow \{r \mapsto 1 * \{F\} * \{F\}\}$

$\{R * \{F\}\}$

release  $l$

$\{F\}$

$\{F\}$

acquire  $l$ ;

$\{F\} * (r \mapsto 0 * \{S\} \vee r \mapsto 1 * \{F\})$

$\{F\} * r \mapsto 1 * \{F\}$  as  $\{S\} * \{F\} \triangleright \text{'False'}$

assert  $(!r = 1)$

## Standard resource algebra constructions

# Resource algebra product

The **product** of two RA  $(A, \cdot_A, \mathcal{V}_A)$  and  $(B, \cdot_B, \mathcal{V}_B)$  is defined as  $(A \times B, \cdot_{A \times B}, \mathcal{V}_{A \times B})$  where

$$(a, b) \cdot_{A \times B} (a', b') \triangleq (a \cdot_A a', b \cdot_B b')$$

$$\mathcal{V}_{A \times B}((a, b)) \triangleq \mathcal{V}_A(a) \wedge \mathcal{V}_B(b)$$

# Resource algebra product

The **product** of two RA  $A$  and  $B$  is defined as  $A \times B$  with

$$(a, b) \cdot (a', b') \triangleq (a \cdot a', b \cdot b')$$

$$\mathcal{V}((a, b)) \triangleq \mathcal{V}(a) \wedge \mathcal{V}(b)$$

# Resource algebra product

The **product** of two RA  $A$  and  $B$  is defined as  $A \times B$  with

$$(a, b) \cdot (a', b') \triangleq (a \cdot a', b \cdot b')$$

$$\mathcal{V}((a, b)) \triangleq \mathcal{V}(a) \wedge \mathcal{V}(b)$$

Property: frame-preserving update is pointwise:

$$\frac{a \rightsquigarrow a' \quad b \rightsquigarrow b'}{(a, b) \rightsquigarrow (a', b')}$$

# Option resource algebra

**Option** of an resource algebra  $A$ , with carrier:

`option A := None | Some of A`

and operations:

$- \cdot -$	None	Some( $a$ )
None	None	Some( $a$ )
Some( $b$ )	Some( $b$ )	Some( $a \cdot b$ )
$\mathcal{V}(-)$	True	$\mathcal{V}(a)$

# Option resource algebra

**Option** of an resource algebra  $A$ , with carrier:

option  $A := \text{None} \mid \text{Some } \text{of } A$

and operations:

$- \cdot -$	None	Some( $a$ )
None	None	Some( $a$ )
Some( $b$ )	Some( $b$ )	Some( $a \cdot b$ )
$\mathcal{V}(-)$	True	$\mathcal{V}(a)$

Properties of frame-preserving update:

$$\frac{a \rightsquigarrow b}{\text{Some}(a) \rightsquigarrow \text{Some}(b)} \qquad \frac{}{\text{Some}(a) \rightsquigarrow \text{None}}$$

# Option resource algebra

**Option** of an resource algebra  $A$ , with carrier:

option  $A := \text{None} \mid \text{Some } \text{of } A$

and operations:

$- \cdot -$	None	Some( $a$ )
None	None	Some( $a$ )
Some( $b$ )	Some( $b$ )	Some( $a \cdot b$ )
$\mathcal{V}(-)$	True	$\mathcal{V}(a)$

Properties of frame-preserving update:

$$\frac{a \rightsquigarrow b}{\text{Some}(a) \rightsquigarrow \text{Some}(b)} \qquad \frac{}{\text{Some}(a) \rightsquigarrow \text{None}}$$

When clear from context we write  $\epsilon$  for the unit  $\text{None}$  and  $a$  for  $\text{Some}(a)$ .

## Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

**Exercise:** ([ra\\_H.v](#)) Give a RA  $(\mathcal{H}_{\{0,1\}}, \cdot, \mathcal{V})$  with  $h_0, h_1 \in \mathcal{H}_{\{0,1\}}$  s.t.

$$\mathcal{V}(h_0 \cdot h_0) \quad h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \quad \forall i, j \in \{0, 1\} \quad \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a \qquad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0) \qquad 2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \qquad 3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$		
$h_0$				
$h_1$				
$\mathcal{V}(-)$				

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a \qquad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V}\downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0) \qquad 2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \qquad 3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$		
$h_0$				
$h_1$				
$\mathcal{V}(-)$	True			

by 1,  $\mathcal{V}\downarrow$ ;

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a \qquad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V}\downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0) \qquad 2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \qquad 3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$		
$h_0$				
$h_1$				
$\mathcal{V}(-)$	True	True		

by 1,  $\mathcal{V}\downarrow$ ; by 1,2,  $\mathcal{V}\downarrow$ ;

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	
$h_0$		$\downarrow$		
$h_1$	$\downarrow$			
$\downarrow$				

$\mathcal{V}(-)$  | True | True | False |

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd;

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	
$h_0$		$\downarrow$	$\downarrow$	
$h_1$	$\downarrow$		$\downarrow$	
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
			$\downarrow$	

$\mathcal{V}(-)$  | True | True | False |

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ?

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	
$h_0$		$\downarrow$	$\downarrow$	
$h_1$	$\downarrow$		$\downarrow$	
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
			$\downarrow$	

$\mathcal{V}(-)$  | True | True | False |

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ? not  $h_0$  otherwise

$h_0 \cdot h_0 = h_0 \cdot h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \cdot h_0 \geq h_1 \cdot h_0 = \downarrow$  are valid

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c \qquad a \cdot b = b \cdot a \qquad \frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0) \qquad 2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \qquad 3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	
$h_0$		$\downarrow$	$\downarrow$	
$h_1$	$\downarrow$		$\downarrow$	
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
			$\downarrow$	

$\mathcal{V}(-)$  | True | True | False |

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ? not  $h_0$  otherwise

$h_0 \cdot h_0 = h_0 \cdot h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \cdot h_0 \geq h_1 \cdot h_0 = \downarrow$  are valid

Not  $h_1$  otherwise  $h_1 \cdot h_1 = h_0 \cdot h_0 \cdot h_1$  is valid;

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	$ok$
$h_0$	$ok$	$\downarrow$	$\downarrow$	
$h_1$	$\downarrow$		$\downarrow$	
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$ok$			$\downarrow$	

$\mathcal{V}(-)$  | True | True | False | True

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ? not  $h_0$  otherwise

$h_0 \cdot h_0 = h_0 \cdot h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \cdot h_0 \geq h_1 \cdot h_0 = \downarrow$  are valid

Not  $h_1$  otherwise  $h_1 \cdot h_1 = h_0 \cdot h_0 \cdot h_1$  is valid;

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

.	$h_0$	$h_1$	$\downarrow$	$ok$
$h_0$	$ok$	$\downarrow$	$\downarrow$	$\downarrow$
$h_1$	$\downarrow$		$\downarrow$	$\downarrow$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$ok$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$

$$\mathcal{V}(-) \mid \text{True} \mid \text{True} \mid \text{False} \mid \text{True}$$

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ? not  $h_0$  otherwise

$h_0 \cdot h_0 = h_0 \cdot h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \cdot h_0 \geq h_1 \cdot h_0 = \downarrow$  are valid

Not  $h_1$  otherwise  $h_1 \cdot h_1 = h_0 \cdot h_0 \cdot h_1$  is valid; similarly for  $ok \cdot -$

# Resource algebra exercise: $\mathcal{H}_{\{0,1\}}$

$$a \cdot (b \cdot c) = (a \cdot b) \cdot c$$

$$a \cdot b = b \cdot a$$

$$\frac{\mathcal{V}(a \cdot b)}{\mathcal{V}(a)} \mathcal{V} \downarrow$$

$$1 : \mathcal{V}(h_0 \cdot h_0)$$

$$2 : h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1$$

$$3 : \mathcal{V}(h_i \cdot h_j) \Rightarrow i = j$$

$\cdot$	$h_0$	$h_1$	$\downarrow$	$ok$
$h_0$	$ok$	$\downarrow$	$\downarrow$	$\downarrow$
$h_1$	$\downarrow$	$ok$ (or $h_1$ )	$\downarrow$	$\downarrow$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$ok$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$

$$\mathcal{V}(-) \mid \text{True} \mid \text{True} \mid \text{False} \mid \text{True}$$

by 1,  $\mathcal{V} \downarrow$ ; by 1,2,  $\mathcal{V} \downarrow$ ; by 3rd; by  $\mathcal{V} \downarrow$ ; Choice for  $h_0 \cdot h_0$ ? not  $h_0$  otherwise

$h_0 \cdot h_0 = h_0 \cdot h_0 \cdot h_0 \rightsquigarrow h_1 \cdot h_1 \cdot h_0 \geq h_1 \cdot h_0 = \downarrow$  are valid

Not  $h_1$  otherwise  $h_1 \cdot h_1 = h_0 \cdot h_0 \cdot h_1$  is valid; similarly for  $ok \cdot -$

# Generalization

More generally  $\mathcal{H}_X$  defined for any set  $X$ :

$$\mathcal{H}_X ::= (\mathbf{h}_x)_{x \in X} \mid \downarrow \mid ok$$

If  $x \neq y$ :

$\cdot$	$\mathbf{h}_x$	$\mathbf{h}_y$	$\downarrow$	$ok$
$\mathbf{h}_x$	$ok$	$\downarrow$	$\downarrow$	$\downarrow$
$\mathbf{h}_y$	$\downarrow$	$ok$	$\downarrow$	$\downarrow$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$ok$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$

$$\mathcal{V}(-) \mid \text{True} \mid \text{True} \mid \text{False} \mid \text{True}$$

Similar laws

$$\mathcal{V}(\mathbf{h}_x \cdot \mathbf{h}_x)$$

$$\mathbf{h}_x \cdot \mathbf{h}_x \rightsquigarrow \mathbf{h}_y \cdot \mathbf{h}_y$$

$$\mathcal{V}(\mathbf{h}_x \cdot \mathbf{h}_y) \Rightarrow x = y$$

## Resource algebra for `incr r || incr r`

`option  $\mathcal{H}_{\{0,1\}}$`   $\times$  `option  $\mathcal{H}_{\{0,1\}}$`

$\mathcal{V}((h_0 \cdot h_0, h_0 \cdot h_0)) \quad \mathcal{V}((h_x \cdot h_y, \epsilon)) \Rightarrow x = y \quad (h_x, h_y) = (h_x, \epsilon) \cdot (\epsilon, h_y)$

$(h_0 \cdot h_0, \epsilon) \rightsquigarrow (h_1 \cdot h_1, \epsilon) \quad (\epsilon, h_0 \cdot h_0) \rightsquigarrow (\epsilon, h_1 \cdot h_1)$

**Exercise:** Give a lock invariant sufficient to prove the program below:

```
let r = ref 0
let l = newlock ()
acquire l;   || acquire l;
r := !r + 1; || r := !r + 1;
release l   || release l
acquire l;
assert (!r = 2)
```

## Resource algebra for `incr r || incr r`

`option`  $\mathcal{H}_{\{0,1\}} \times \text{option } \mathcal{H}_{\{0,1\}}$

$\mathcal{V}((h_0 \cdot h_0, h_0 \cdot h_0)) \quad \mathcal{V}((h_x \cdot h_y, \epsilon)) \Rightarrow x = y \quad (h_x, h_y) = (h_x, \epsilon) \cdot (\epsilon, h_y)$

$(h_0 \cdot h_0, \epsilon) \rightsquigarrow (h_1 \cdot h_1, \epsilon) \quad (\epsilon, h_0 \cdot h_0) \rightsquigarrow (\epsilon, h_1 \cdot h_1)$

**Exercise:** Give a lock invariant sufficient to prove the program below:

```
let r = ref 0
let l = newlock ()
acquire l;   || acquire l;
r := !r + 1; || r := !r + 1;
release l   || release l
acquire l;
assert (!r = 2)
```

$R \equiv \exists ij. r \mapsto i + j * \boxed{(h_i, h_j)}$

# Modularity?

Fixing the resource algebra once lacks modularity, making it tedious to:

- handle more threads e.g.  $(\epsilon, \epsilon, h_0)$
- compose sequentially/reuse proofs  $(h_1, h_1, h_1, \text{start of next proof})$
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We need several instances of a given resource algebra, names for those instances, to allow of several different resource algebras, ...

Answer: package all of that into one resource algebra.

# Resource algebra of functions

If  $\mathcal{M}$  is a RA and  $X$  is any set, then  $X \rightarrow \mathcal{M}$  (or  $\mathcal{M}^X$ ) is a RA:

$$(f \cdot g)(x) \triangleq \lambda x. f(x) \cdot g(x) \quad \mathcal{V}(f) \triangleq \forall x. \mathcal{V}(f(x)) \quad \frac{f(x) \rightsquigarrow a}{f \rightsquigarrow f[x := a]}$$

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and so is the set of partial functions  $X \rightarrow \mathcal{M}$ . This lets us talk about the singleton partial function even if  $\mathcal{M}$  has no unit:

$$\begin{aligned} [a]^\gamma &\triangleq \{ \{ (\gamma, a) \} \} \\ [a]^\gamma * [b]^\gamma &\Leftrightarrow \{ \{ (\gamma, a) \} \} \cdot \{ \{ (\gamma, b) \} \} \\ &= \{ \{ (\gamma, a \cdot b) \} \} \\ &= [a \cdot b]^\gamma \end{aligned}$$

This is the solution used to avoid conflict between resources used for different purposes;  $\gamma$  is called a *ghost name*.

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In Iris  $X = \mathbb{N}^*$  is called `gname` and  $\{(x, a)\}$  is written  $\{[x := a]\}$  and the “global” unnamed ghost  $[f]$  is noted `Own(f)`.

## Frame preservation vs. ghost creation

In order to create any new ghost resource  $[g]^\gamma$ , we would need:

$$\text{''} \Rightarrow [g]^\gamma \quad \text{i.e.} \quad \emptyset \rightsquigarrow \{(\gamma, g)\}$$

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**Exercise:** In the RA of partial functions  $\mathbb{N} \rightarrow \mathcal{M}_{\text{sf}}$  show  $\forall \gamma. \neg(\emptyset \rightsquigarrow \{(\gamma, S)\})$ .

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$$\forall \gamma. \neg(\emptyset \rightsquigarrow \{(\gamma, S)\}).$$

If, by contradiction  $\emptyset \rightsquigarrow \{(\gamma, S)\}$  i.e.  $\forall f. \mathcal{V}(f \cdot \emptyset) \Rightarrow \mathcal{V}(f \cdot \{(\gamma, S)\})$ , then in particular with  $f = \{(\gamma, S)\}$  we have:

- $\mathcal{V}(f \cdot \emptyset) = \mathcal{V}(\{(\gamma, S)\}) = \mathcal{V}(S) = \text{True}$
- $\mathcal{V}(f \cdot \{(\gamma, S)\}) = \mathcal{V}(\{(\gamma, S \cdot S)\}) = \mathcal{V}(S \cdot S) = \mathcal{V}(\text{⚡}) = \text{False}$

True  $\Rightarrow$  False, contradiction.

# Frame preservation and ghost allocation

$a \rightsquigarrow b$  is generalized to  $a \rightsquigarrow B$  where  $B$  is a set  $B \subseteq \mathcal{M}$ :

$$a \rightsquigarrow B \triangleq \forall c^? \in \mathcal{M}^? \mathcal{V}(a \cdot c^?) \Rightarrow \exists b \in B \mathcal{V}(b \cdot c^?)$$

$$\mathcal{M}^? \triangleq \perp \uplus \mathcal{M} \quad a \cdot \perp \triangleq a$$

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Choosing **finite** partial functions  $\mathbb{N} \xrightarrow{\text{fin}} \mathcal{M}$ , we finally have:

$$\frac{\mathcal{V}(a)}{\emptyset \rightsquigarrow \{(\gamma, a) \mid \gamma \in \mathbb{N}\}}$$

$$\frac{\mathcal{V}(a)}{\text{True} \Rightarrow \exists \gamma \boxed{a}^\gamma} \text{ GHOST-ALLOC}$$

Called *allocation* because we need to find a unused ghost name  $\gamma$ .

# Proof of $\text{incr } r \parallel \text{incr } r$ with ghost allocation

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`let r = ref 0`

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`acquire l;`

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$[h_1]^{\gamma_1} * [h_1]^{\gamma_2} * r \mapsto 2$

`assert (!r = 2)`

$[h_0]^{\gamma_2}$   
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 $[h_1]^{\gamma_2}$

# Several types of resource algebra

The dependent product of finite partial functions to each  $\mathcal{M}_i$  is an RA:

$$\prod_{i \in I} \mathbb{N} \xrightarrow{\text{fin}} \mathcal{M}_i \quad \boxed{a : \mathcal{M}_i}^\gamma \triangleq \lambda j. \begin{cases} \{(\gamma, a)\} & \text{if } i = j \\ \emptyset & \text{otherwise} \end{cases}$$

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The  $\Sigma$  of “iProp  $\Sigma$ ” does the bookkeeping between  $i$ 's and  $\mathcal{M}_i$ 's, e.g.:

$$\begin{array}{ll} \text{Context } \{\text{inG } \Sigma \mathcal{M}\}. & \approx \quad \mathcal{M} \in \Sigma \\ \text{Context } \{\text{mylibG } \Sigma\}. & \approx \quad \text{for all } \mathcal{M} \text{ used in mylib, } \mathcal{M} \in \Sigma \end{array}$$

# Modalities

## Persistent resources

“Persistent” is a little stronger than “duplicable”:

$$\frac{\text{persistent}(P)}{P \triangleright P * P}$$

$$\frac{\text{persistent}(P)}{P \wedge Q \triangleright P * Q}$$

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Some persistent resources:

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Persistent resources:

- can be shared between threads:

$$\frac{\{P_1 * P\} e_1 \{Q_1\} \quad \{P_2 * P\} e_2 \{Q_2\} \quad \text{persistent}(P)}{\{P_1 * P_2 * P\} e_1 || e_2 \{Q_1 * Q_2 * P\}}$$

- can be saved for later using the frame rule, to they are true **forever** (whereas non-persistent resources are consumed)
- can be seen as *knowledge* (e.g. to indicate a task is done)

## Persistently modality $\Box P$

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Helpful for concise rules, definitions, properties:

$$\{P\} e \{Q\} \approx \Box(P -* wp e Q) \quad P \Rightarrow Q \approx \Box(P -* \Vdash Q)$$

$$\frac{\Box\text{-E}}{\overline{\Box P \triangleright P}}$$

$$\frac{\Box\text{-IDEMP}}{\overline{\Box P \triangleright \Box \Box P}}$$

$$\frac{\Box\text{-INTRO} \quad \Box P \triangleright Q}{\overline{\Box P \triangleright \Box Q}}$$

$$\frac{\Box\text{-MONO} \quad P \triangleright Q}{\overline{\Box P \triangleright \Box Q}}$$

$$\frac{\Box\text{-SEP} \quad S \triangleright \Box P \wedge Q}{\overline{S \triangleright \Box P * Q}}$$

$$\frac{\{P_1 * \Box P\} e_1 \{Q_1\} \quad \{P_2 * \Box P\} e_2 \{Q_2\}}{\overline{\{P_1 * P_2 * \Box P\} e_1 || e_2 \{Q_1 * Q_2 * P\}}}$$

## Later modality $\triangleright$

$\triangleright P$  (“later P”) can be thought as “ $P$  holds after one reduction step”.

careful:  $\triangleright$  is a modality ( $\triangleright P$ ),  $\triangleright$  is a binary operation ( $P \triangleright Q$ )

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$\triangleright^n \text{False} \vdash P$  iff  $P$  holds for  $n$  steps

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Step reductions “consume” later:

$$\frac{\{P\} e' \{Q\} \quad e, \sigma \rightarrow e', \sigma}{\{\triangleright P\} e \{Q\}}$$

$$P \vdash \triangleright P \quad \frac{P \vdash Q}{\triangleright P \vdash \triangleright Q} \quad \triangleright(P * Q) \dashv\vdash \triangleright P * \triangleright Q \quad \text{etc}$$

$\triangleright$  and  $\Box$  are modalities in Iris, where “iProp” are not “heap  $\rightarrow$  Prop” and have features such as step indexing, and resources are not only heaps.

$$\triangleright^n \text{False} \vdash P \text{ iff } P \text{ holds for } n \text{ steps} \quad \vdash \exists k. \triangleright^k \text{False}$$

# Löb rule

The **Löb rule** is very convenient for partial correctness:

$$\frac{\text{LöB} \quad Q \wedge \triangleright P \vdash P}{Q \vdash P}$$

# Invariants

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Iris invariants (not the ones for loops / data structures / locks):

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$$\text{INV-ALLOC} \\ R \Rightarrow \boxed{R}^{\mathcal{N}} \quad \text{where} \quad \boxed{R}^{\mathcal{N}} \triangleq \exists \iota \in \mathcal{N}. \boxed{R}^{\iota}$$

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- can be encoded using ghost state + step indexing + modifying the definition of Hoare triples

# Invariant opening

Invariant are opened and closed across atomic steps:

$$\frac{\{P * \triangleright R\} e \{Q * \triangleright R\}_{\mathcal{E} \setminus \mathcal{N}} \quad \text{atomic}(e) \quad \mathcal{N} \subseteq \mathcal{E}}{\{P * \boxed{R}^{\mathcal{N}}\} e \{Q * \boxed{R}^{\mathcal{N}}\}_{\mathcal{E}}} \text{HOARE-INV}$$

- $\triangleright R$  is for step indexing
- $\mathcal{E}$  says which invariants we can still open (prevents nested openings)

## Deriving lock invariants

# Compare-and-set

Somehow simplified operational semantics of CAS (Compare-and-set):

$$\frac{\text{CAS-FAILURE} \quad \sigma(l) \neq x}{\langle \sigma, \text{CAS } l \ x \ y \rangle \rightarrow \langle \sigma, \text{false} \rangle}$$

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Proof rules:

$$\text{CAS-FAILURE} \quad \{l \mapsto z * \ulcorner z \neq x \urcorner\} \text{CAS } l \ x \ y \ \{\lambda b. l \mapsto z * \ulcorner b = \text{false} \urcorner\}$$

$$\text{CAS-SUCCESS} \quad \{l \mapsto x\} \text{CAS } l \ x \ y \ \{\lambda b. l \mapsto y * \ulcorner b = \text{true} \urcorner\}$$

Other atomic operations:

Compare-and-swap, Fetch-and-add (FAA), Test-and-set, ...

## Deriving lock rules

One possible lock implementation: the **spin-lock**, using CAS:

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let newlock () = ref 0
let acquire l = while not (CAS l 0 1) do ()
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$$\forall lR. \quad l \rightsquigarrow \text{Lock } R \triangleright l \rightsquigarrow \text{Lock } R * l \rightsquigarrow \text{Lock } R$$
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$$l \rightsquigarrow \text{Lock } R \triangleq \boxed{l \mapsto 1 \ \vee \ l \mapsto 0 * R}^{\mathcal{N}_{\text{lock}}}$$

## Authoritative resource algebra

# Authoritative RA

The authoritative RA over an RA  $\mathcal{M}$  is, where  $a, b \in \mathcal{M}$ ,

$$\text{Auth}(\mathcal{M}) ::= \bullet a \mid \circ b \mid \bullet \circ (a, b) \mid \text{⚡}$$

Intuition:

- One unique *global authority*  $\bullet a$ , or authoritative view you need it to update

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Main properties:

$$\frac{\mathcal{V}(a \cdot c)}{\bullet a \cdot \circ b \rightsquigarrow \bullet(a \cdot c) \cdot \circ(b \cdot c)} \qquad \mathcal{V}(\bullet a \cdot \circ b) \Rightarrow b \preceq a$$

# Authoritative RA

Operations:

$\cdot$	$\bullet a$	$\circ b$	$\bullet\circ(a, b)$	$\downarrow$
$\bullet a'$	$\downarrow$	$\bullet\circ(a', b)$	$\downarrow$	$\downarrow$
$\circ b'$	$\bullet\circ(a, b')$	$\circ(b \cdot b')$	$\bullet\circ(a, b \cdot b')$	$\downarrow$
$\bullet\circ(a', b')$	$\downarrow$	$\bullet\circ(a', b \cdot b')$	$\downarrow$	$\downarrow$
$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$
$\mathcal{V}(-)$	$\mathcal{V}(a)$	$\mathcal{V}(b)$	$\mathcal{V}(a) \wedge b \leq a$	False

## Example usage of $\text{Auth}(\mathcal{M})$

Using  $\text{Auth}((\mathbb{N}, +))$  we can prove that any  $k$  threads doing:

$$e_{incr} \triangleq \text{acquire } l; \text{ incr } r; \text{ release } l$$

will increment  $r$  *at least*  $k$  times.

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Using lock invariant  $R = \exists n. r \mapsto n * \boxed{\bullet n}^\gamma$ , we can prove:

$$l \rightsquigarrow \text{Lock } R \vdash \boxed{\circ 0}^\gamma \{e_{incr} \boxed{\circ 1}^\gamma\}$$

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$$R \vdash \{\boxed{\circ k}^\gamma\} !r \{n.n \geq k\}$$

Indeed with  $\boxed{\bullet n}^\gamma * \boxed{\circ 4}^\gamma$  we can only prove  $4 \leq_{(\mathbb{N}, +)} n$  i.e.  $4 \leq n$

Intuitively  $\circ k$  does not prevent “other”  $\circ 1$ 's from contributing to  $\bullet n$

# Checking counter monotonicity using $\text{Auth}(\mathbb{N}_{max})$

```
let r = Atomic.make 0
let read () = Atomic.get r
let incr () =
  Atomic.fetch_and_add r 1

let check () =
  let x = read () in
  let y = read () in
  assert (y >= x)

let rec loop f () =
  f (); loop f ()

let () =
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Proof of check:

$$\begin{array}{l} \{\circ 0\}^{\gamma} \quad \text{let } x = \text{read } () \\ \{x \geq 0 * \circ x\}^{\gamma} \quad \text{let } y = \text{read } () \\ \{y \geq x * \circ y\}^{\gamma} \quad \text{assert } (y \geq x) \end{array}$$

# Fractional RA

Intuitive definition:

$$\text{Frac} \triangleq (0, 1] \cap \mathbb{Q} \quad \mathcal{V}(q) \triangleq q \neq 0 \quad q \cdot q' \triangleq \begin{cases} q + q' & \text{if } q + q' \leq 1 \\ \text{otherwise} \end{cases}$$

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Easier-to-use definition:

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You still have to be a bit careful, here is a wrong definition:

$$\text{Frac} \triangleq \mathbb{Q} \quad \mathcal{V}(q) \triangleq 0 < q \leq 1 \quad q \cdot q' \triangleq q + q'$$

# Authoritative fractional RA

Derived construction:  $\text{FracAuth}(M) \triangleq \text{Auth}((\text{Frac} \times \mathcal{M})^?)$ , noting:

$$\bullet a \triangleq \bullet(1, a)$$

$$\circ_q b \triangleq \circ(q, b)$$

Properties:

$$\circ_{q+q'}(b \cdot b') \equiv \circ_q b \cdot \circ_{q'} b'$$

$$\frac{\mathcal{V}(a \cdot c)}{\bullet a \cdot \circ_q b \rightsquigarrow \bullet(a \cdot c) \cdot \circ_q(b \cdot c)}$$

$$\mathcal{V}(\bullet a \cdot \circ_q b) \Rightarrow b \leq a$$

$$\mathcal{V}(\bullet a \cdot \circ_1 b) \Rightarrow b = a$$

$$\frac{\mathcal{V}(a')}{\bullet a \cdot \circ_1 b \rightsquigarrow \bullet a' \cdot \circ_1 a'}$$

## Example usage of $\text{FracAuth}(\mathcal{M})$

Using  $\text{FracAuth}((\mathbb{N}, +))$  we can finally prove modularly that  $k$  threads

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$$\begin{aligned} \text{True} &\Rightarrow \exists \gamma \boxed{\bullet 0}^\gamma * \boxed{\circ_1 0}^\gamma \\ &\Rightarrow \exists \gamma \boxed{\bullet 0}^\gamma * \bigstar_{i=1}^k \boxed{\circ_{1/k} 0}^\gamma \end{aligned}$$

$$l \rightsquigarrow \text{Lock } R \vdash \boxed{\circ_{1/k} 0}^\gamma \} e_{incr} \boxed{\circ_{1/k} 1}^\gamma \}$$

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## Other common uses of $\text{Auth}$

When  $Loc$  and  $Val$  are any set (not necessarily RAs), this is a useful RA:

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For fractional permissions, uses  $\text{View}(A, B)$  which generalizes  $\text{Auth}(A)$  to two algebras with a extra binary validity  $\text{holds} : A \rightarrow B \rightarrow \text{Prop}$ :

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Singleton type class mechanism `gen_heapGS` not to write  $\gamma_{\text{heap}}$

## Weakest preconditions

## Presentation with weakest preconditions

WP are generally preferred to triples, in practice:

- more primitive: triples are decomposed into  $\multimap$  and wp (and  $\Box$ )

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- preconditions become *spatial* hypotheses, more easily managed
- maybe a little less intuitive than triples, so we keep triples too.  
e.g. the frame rule becomes:

$$(P * \text{wp } e Q) \triangleright \text{wp } e (P * Q)$$

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WP are generally preferred to triples, in practice:

- more primitive: triples are decomposed into  $\multimap$  and wp (and  $\Box$ )

$$\{P\} e \{Q\} \triangleq \Box(P \multimap \text{wp } e Q)$$

- preconditions become *spatial* hypotheses, more easily managed
- maybe a little less intuitive than triples, so we keep triples too.  
e.g. the frame rule becomes:

$$(P * \text{wp } e Q) \triangleright \text{wp } e (P * Q)$$

the rule for ghost update becomes:

$$\frac{\text{wp } e (\text{gh} Q)}{\text{wp } e Q}$$

where  $\text{gh}$  is a unary ghost update, again more primitive:

$$(P \text{gh } Q) \triangleq \Box(P \multimap \text{gh } Q)$$

## Builtin consequence rule in postconditions

$(wp\ e\ -)$  is a monotone predicate transformer, so  $wp\ e\ Q$  is equivalent to

$$wp\ e\ Q \quad \Leftrightarrow \quad (\forall R. (\forall v. Qv \multimap Rv) \multimap wp\ e\ R)$$

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In Iris, instead of:

$$\{P\} e \{Q\} \triangleq \Box(P \multimap \text{wp } e Q)$$

the following is equivalent

$$\{P\} e \{Q\} \triangleq \Box(\forall R. P \multimap (\forall v. Qv \multimap Rv) \multimap \text{wp } e R)$$

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the following is equivalent

$$\{P\} e \{Q\} \triangleq \Box(\forall R P \multimap (\forall v Qv \multimap Rv) \multimap \text{wp } e R)$$

but is easier to apply since  $R$  is universally quantified.

This is the reason for

```
iIntros (R) "Hprecondition Hpostcondition_cont".
```

(Note that separating implication  $\multimap$  has no easy “heap entailment”  $\triangleright$  counterpart here,  $\multimap$  is more expressive.)

# Simplified rules for load, store, alloc

**Exercise:** Complete the following implications:

$$\begin{array}{lll} \forall lvQ & \dots\dots\dots & -* ( \dots\dots\dots ) \quad -* \text{wp} (!\ell) Q \\ \forall lvv'Q & \dots\dots\dots & -* ( \dots\dots\dots ) \quad -* \text{wp} (\ell := v) Q \\ \forall vQ & \dots\dots\dots & -* ( \dots\dots\dots ) \quad -* \text{wp} (\text{ref } v) Q \end{array}$$

# Simplified rules for load, store, alloc

**Exercise:** Complete the following implications:

$$\begin{array}{llll} \forall lvQ & l \mapsto v & -* (l \mapsto v -* Qv) & -* \text{wp} (!l) Q \\ \forall llv'Q & l \mapsto v' & -* (l \mapsto v -* Q()) & -* \text{wp} (l := v) Q \\ \forall vQ & \top & -* (\forall l \ l \mapsto v -* Ql) & -* \text{wp} (\text{ref } v) Q \end{array}$$

## Variants/instances of Iris

# Relaxed memory

- Invariants such as  $\boxed{lock \mapsto 0 \vee lock \mapsto 1 * \exists n r \mapsto n}$ <sup>ℓ</sup> only make sense if there is an instantaneous view of the memory, which is not true in relaxed memory
- for now, axiomatic memory models do not fit Iris, but view-based operational memory models (for e.g. for release-acquire synchronisation) can be made to fit
- single-location invariants  $\boxed{\ell \mid I}$  which can provide knowledge + special mechanisms (escrows) to transmit non-persistent resources

# Linearizability

Under sequential consistency linearizability can be reasoned about using logically atomic triples:

$$\langle P \rangle e \langle Q \rangle$$

means: “at the linearization point in the execution of  $e$ , the resources in  $P$  are atomically consumed to produce the resources in  $Q$ ”

# Liveness?

- Transfinite Iris: ordinal step indices for the *existential property* and termination

	Iris	Transfinite Iris
if $\models \exists x P$ then for some $x \models P$	✗	✓
$\triangleright(\exists x P) \Leftrightarrow \exists x \triangleright P$	✓	✗
$\triangleright(P * Q) \Leftrightarrow \triangleright P * \triangleright Q$	✓	✗

- Nola: “no later” at invariant opening, replaced with restricted formulas

$$\frac{\text{IRIS} \quad \{P * \triangleright R\} e \{Q * \triangleright R\}}{\{P * \boxed{R}\} e \{Q\}}$$

$$\frac{\text{NOLA} \quad [P * \llbracket F \rrbracket] e [Q * \llbracket F \rrbracket] \quad F \in Fml}{[P * \boxed{F}] e [Q]}$$

# Conclusion

## Separation Logic

- SL well-suited for data structures with pointers,
- abstract internal representation with higher-order logic
- can reason about other kinds of resources e.g. for time/space complexity, memory leaks
- extends well to concurrency

## Iris in particular

- very expressive ghost state
- proof mode almost necessary in practice
- many extensions:
  - relaxed/weak memory models, effect handlers, distributed systems, cryptographic reasoning, probabilities, ...
- not only for safety: type soundness, e.g. rustbelt, relational separation logics, liveness, linearizability

## More exercises

(1) design a resource algebra such that:

$$\mathcal{V}(r(0)) \quad \forall n \in \mathbb{N} \quad r(n) \equiv t(n) \cdot r(n+1) \quad \neg \mathcal{V}(t(n) \cdot t(n))$$

motivation: allocate once  $\models \exists \gamma \boxed{r(0)}^\gamma$  to generate an infinitely many tokens  $\boxed{t(i)}^\gamma$ , each will be used to transfer resources through single-location invariants at iteration  $i$  of a loop.

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(3) For using Iris, five exercises here:

<https://gitlab.mpi-sws.org/iris/tutorial-popl21>

Iris programming session?